

# Ensemble Data Assimilation of GSMap Precipitation into the Nonhydrostatic Global Atmospheric Model NICAM

Shunji Kotsuki<sup>1</sup>, Koji Terasaki<sup>1</sup>, Guo-Yuan Lien<sup>1</sup>,  
Takemasa Miyoshi<sup>1,2</sup>, and Eugenia Kalnay<sup>2</sup>



<sup>1</sup>Data Assimilation Research Team, RIKEN-AICS, Japan

<sup>2</sup>University of Maryland, College Park, Maryland, USA

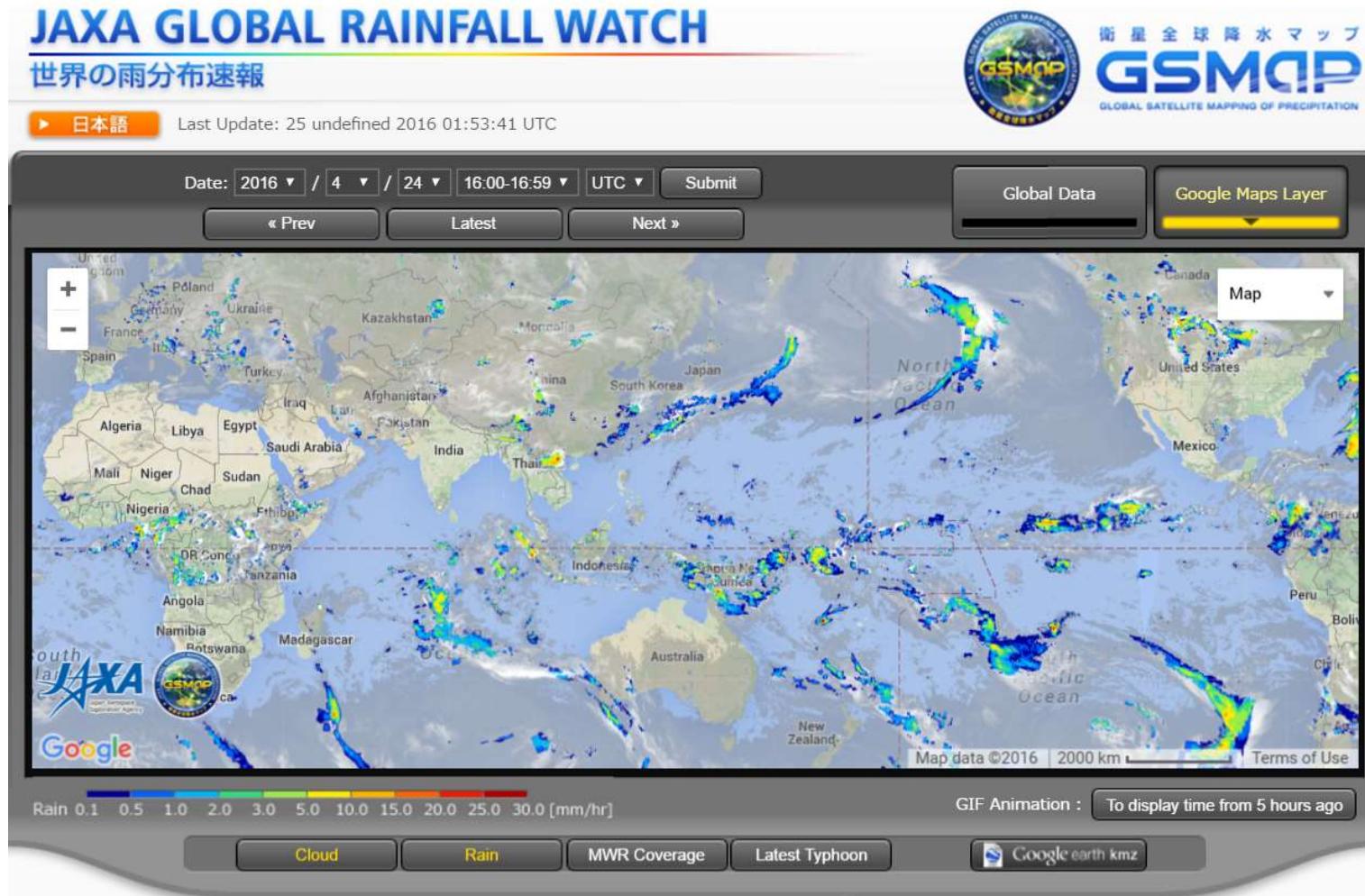


The 7th EnKF Data Assimilation WS, May 26, 2016 @ PA, USA

# Outline

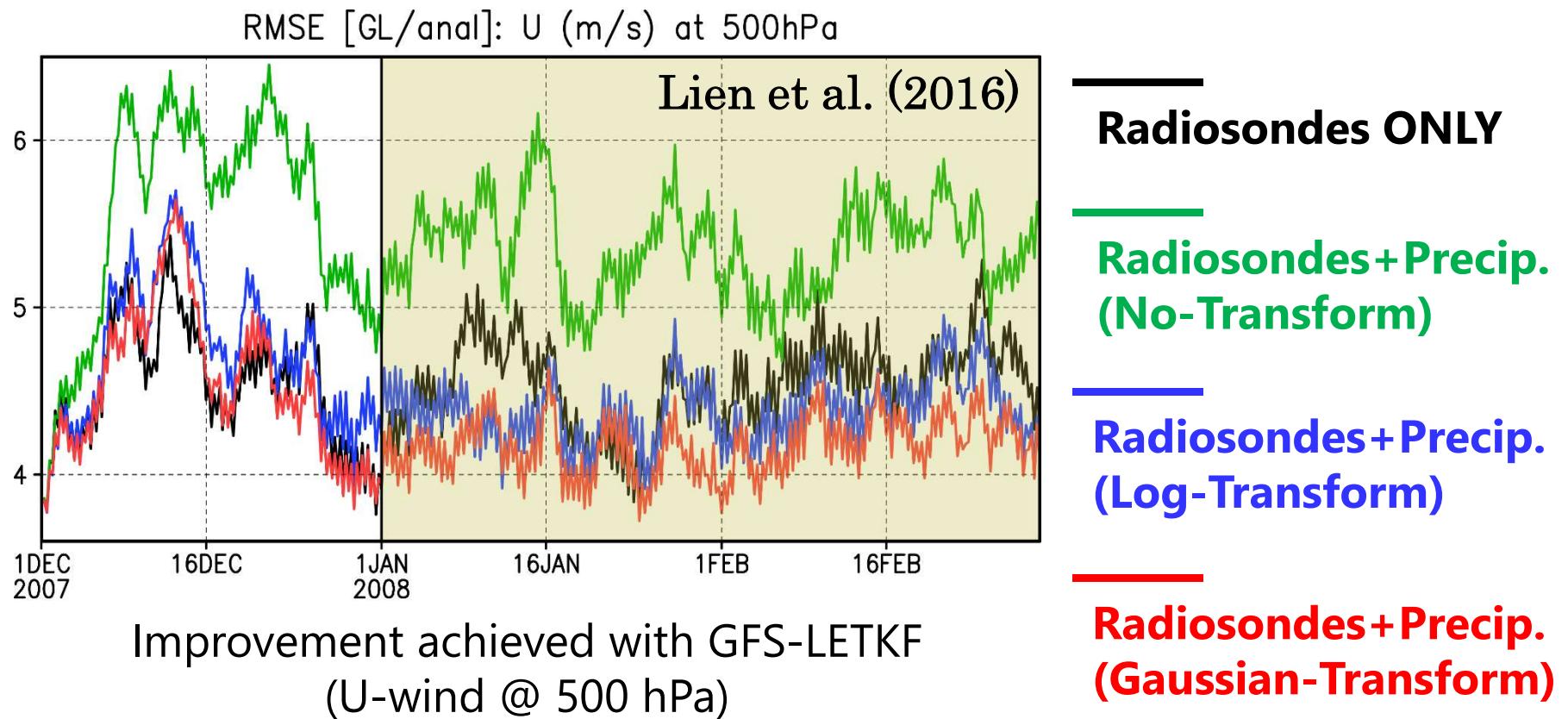
- **Introduction**
- **Gaussian Transformation**
- DA-cycle experiments
- Forecast experiments
- Parameter estimation
- Summary

# GSMaP: Global Satellite Mapping of Precipitation



# Goals

- To improve NWP using satellite-derived precipitation data following **Lien et al. (2013, 2016a, 2016b)**
- To produce a new precipitation product through data assimilation



# Experimental Setting

- **Numerical Model**
  - NICAM (Satoh and Tomita 2004, Satoh et al. 2008, 2014)
    - GL6 (approx. 110 km resolution)
- **Observations**
  - CTRL: PREPBUFR: only upper sounding data (ADPUPA)
  - TEST: + GSMaP\_Gauge (Ushio et al. 2009)
    - with Gaussian transformation
- **Data assimilation**
  - LETKF (Hunt et al. 2007)
  - NICAM-LETKF (Terasaki et al. 2015) with 36 members
    - 3D-LETKF
    - Localization: 400 km for horizontal &  $0.4 \log(p)$  for vertical
    - Relaxation to prior perturbation (Zhang et al. 2004;  $\alpha = 0.7$ )

# Gaussian Transformation

$$F^G(\tilde{y}) = F(y) \Leftrightarrow \tilde{y} = F^{G^{-1}}[F(y)] \Leftrightarrow y = F^{-1}[F^G(\tilde{y})]$$

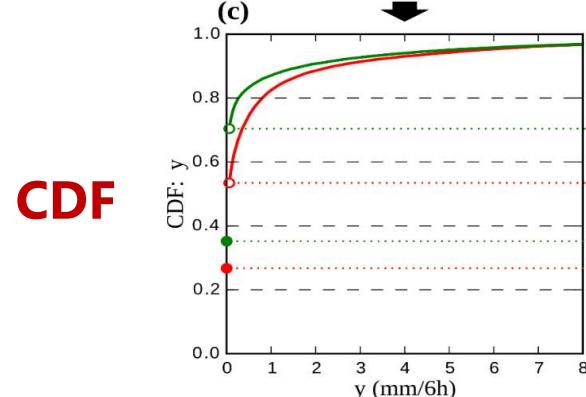
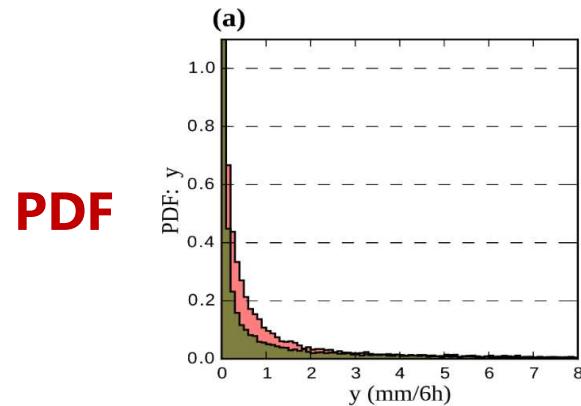
Forward transform (mm/6hr  $\rightarrow$  sigma)      Inverse transform (sigma  $\rightarrow$  mm/6hr)

$y$  : original variable (mm/6hr)

$F()$  : CDF of original variable

$\tilde{y}$  : Transformed variable (sigma)

$F^G()$  : CDF of Gaussian distribution



**Original variable**

—: Model  
—: Obs.

Step 0: Obtain PDF & CDF

Lien et al. (2013, 2016)

# Gaussian Transformation

$$F^G(\tilde{y}) = F(y) \Leftrightarrow \tilde{y} = F^{G^{-1}}[F(y)] \Leftrightarrow y = F^{-1}[F^G(\tilde{y})]$$

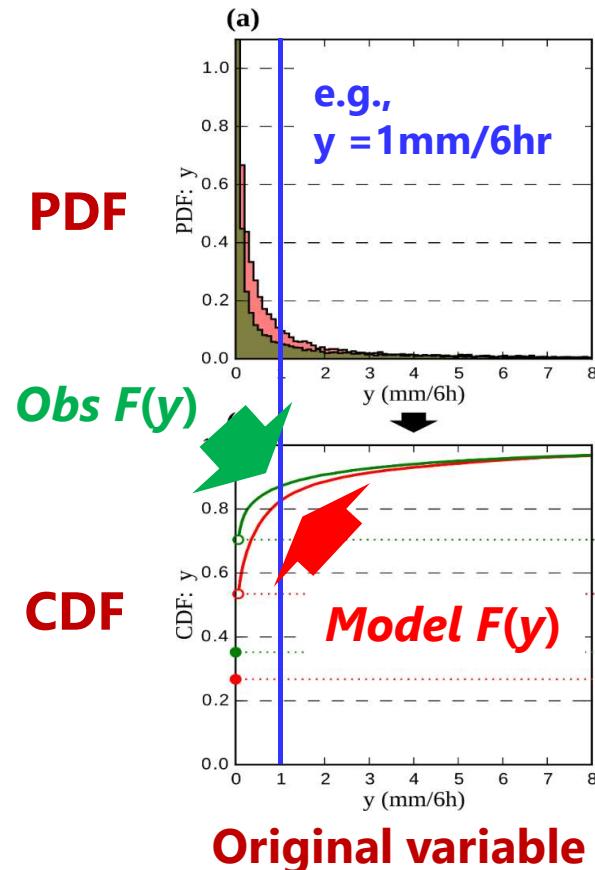
Forward transform (mm/6hr  $\rightarrow$  sigma)      Inverse transform (sigma  $\rightarrow$  mm/6hr)

$y$  : original variable (mm/6hr)

$F()$  : CDF of original variable

$\tilde{y}$  : Transformed variable (sigma)

$F^G()$  : CDF of Gaussian distribution



—: Model  
—: Obs.

Step 0: Obtain PDF & CDF

Step 1: Compute  $F(y)$

Lien et al. (2013, 2016)

# Gaussian Transformation

$$F^G(\tilde{y}) = F(y) \Leftrightarrow \tilde{y} = F^{G^{-1}}[F(y)] \Leftrightarrow y = F^{-1}[F^G(\tilde{y})]$$

Forward transform (mm/6hr  $\rightarrow$  sigma)

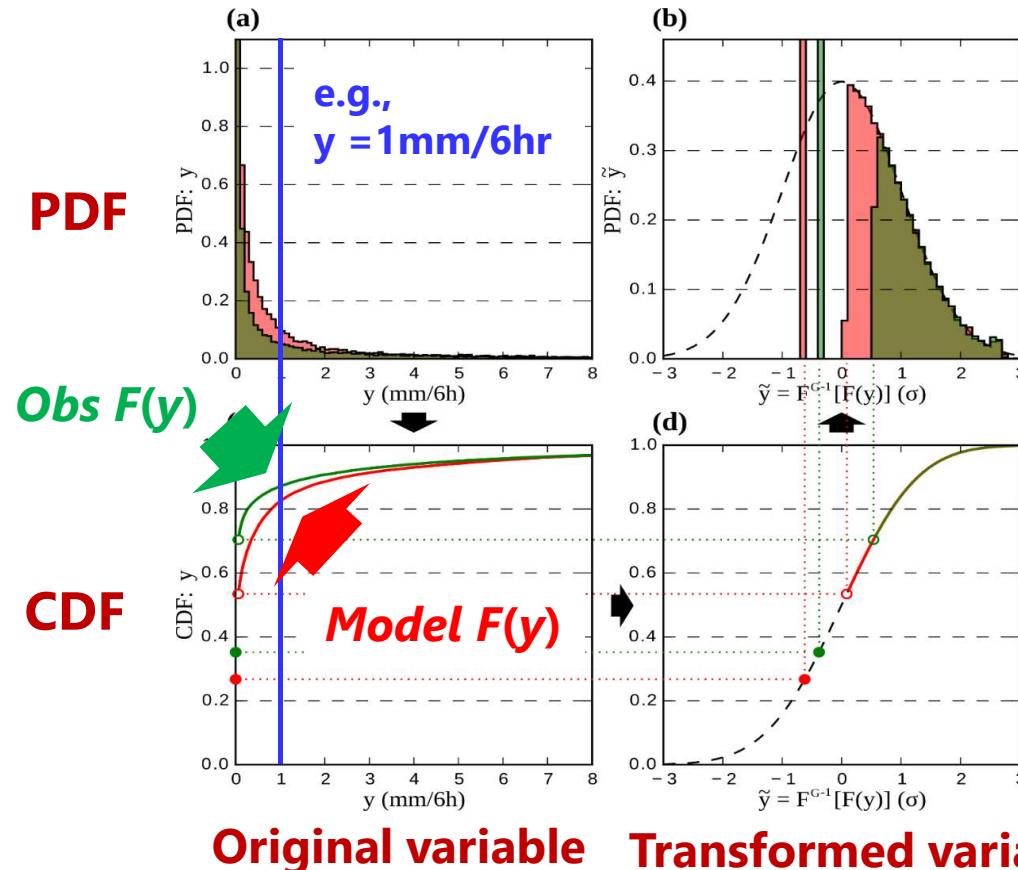
Inverse transform (sigma  $\rightarrow$  mm/6hr)

$y$  : original variable (mm/6hr)

$\tilde{y}$  : Transformed variable (sigma)

$F()$  : CDF of original variable

$F^G()$  : CDF of Gaussian distribution



Step 0: Obtain PDF & CDF

Step 1: Compute  $F(y)$

Lien et al. (2013, 2016)

# Gaussian Transformation

$$F^G(\tilde{y}) = F(y) \Leftrightarrow \tilde{y} = F^{G^{-1}}[F(y)] \Leftrightarrow y = F^{-1}[F^G(\tilde{y})]$$

Forward transform (mm/6hr  $\rightarrow$  sigma)

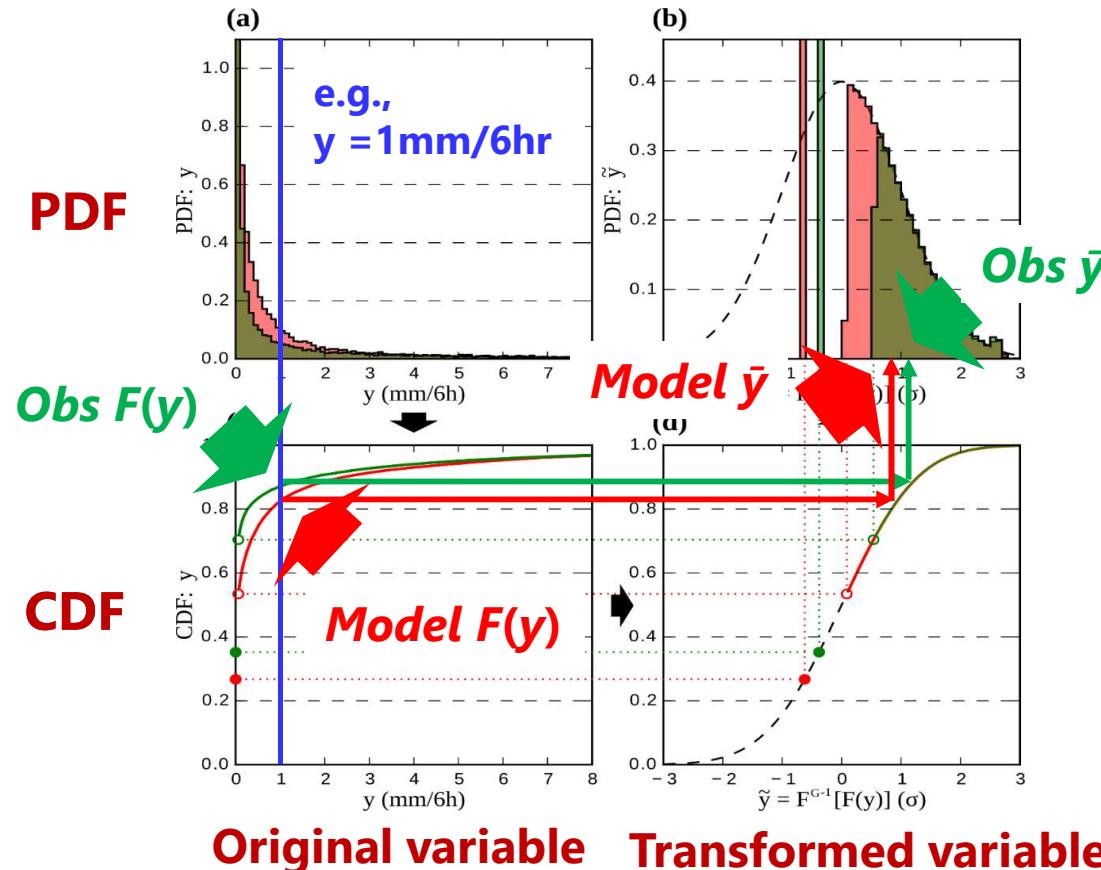
Inverse transform (sigma  $\rightarrow$  mm/6hr)

$y$  : original variable (mm/6hr)

$\tilde{y}$  : Transformed variable (sigma)

$F()$  : CDF of original variable

$F^G()$  : CDF of Gaussian distribution



—: Model  
—: Obs.

Step 0: Obtain PDF & CDF

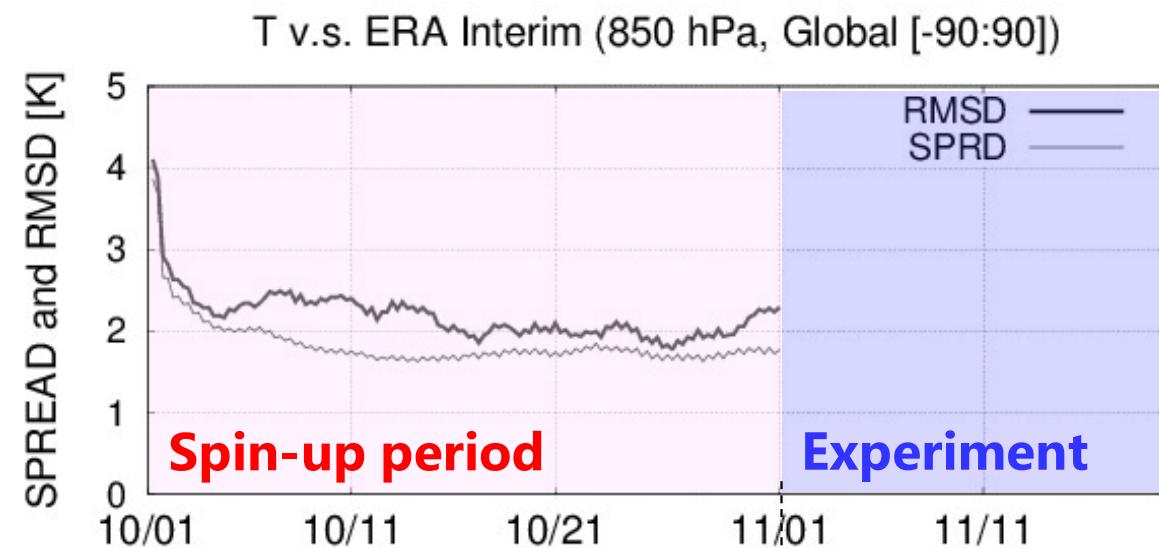
Step 1: Compute  $F(y)$

Step 2: Compute

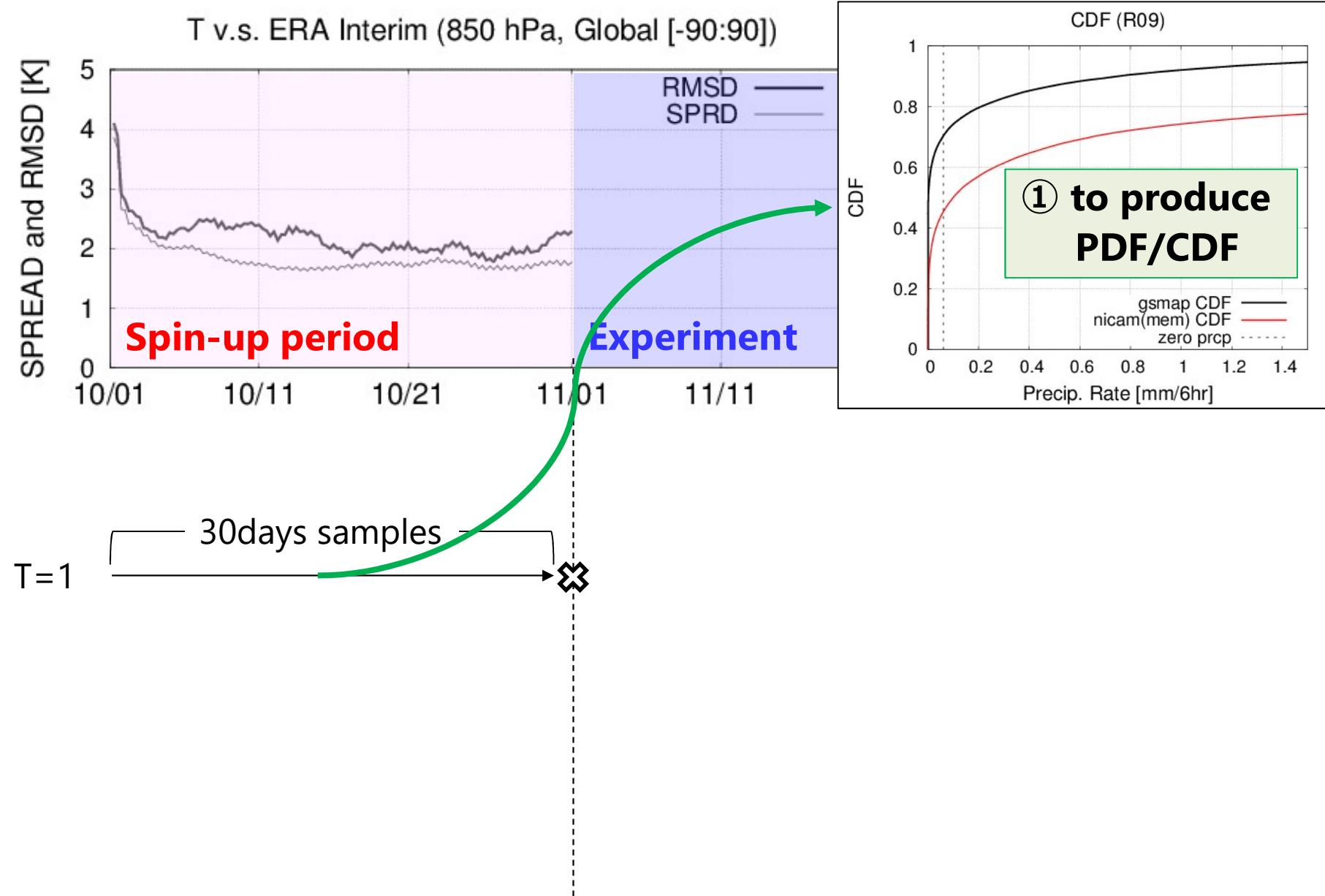
$$\tilde{y} = F^{G^{-1}}[F(y)]$$

Lien et al. (2013, 2016)

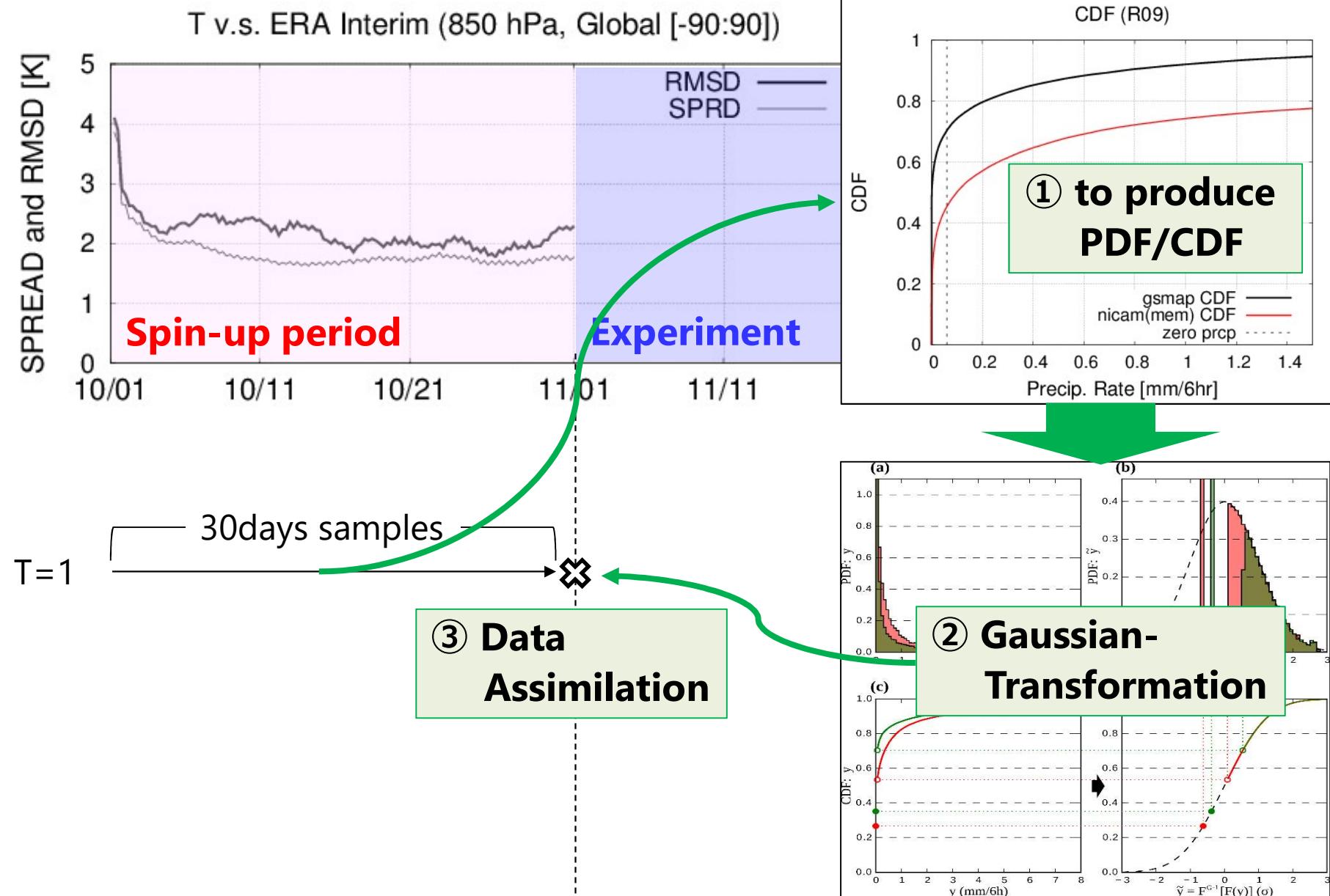
# PDF/CDF construction



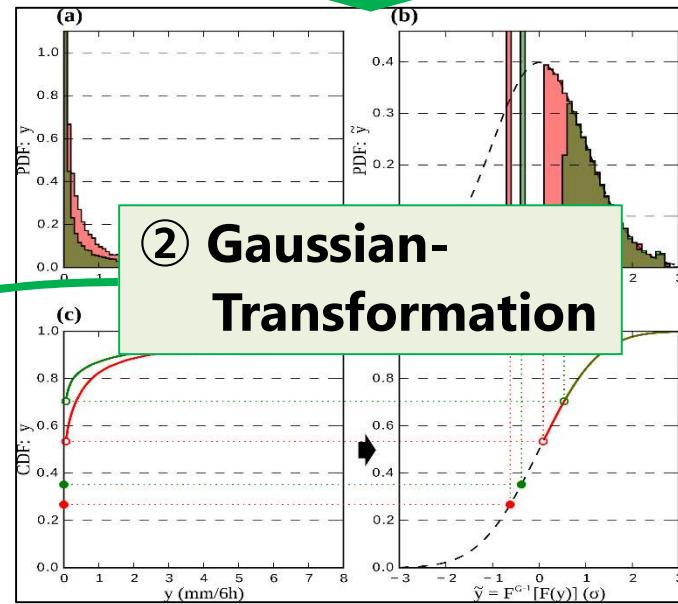
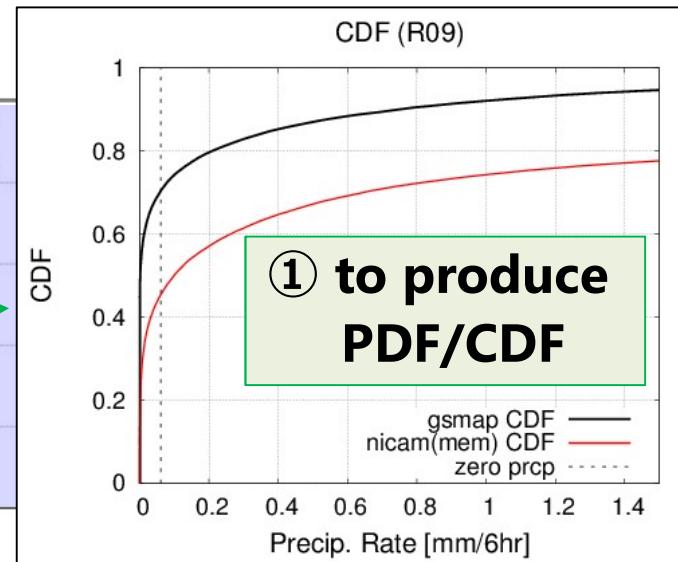
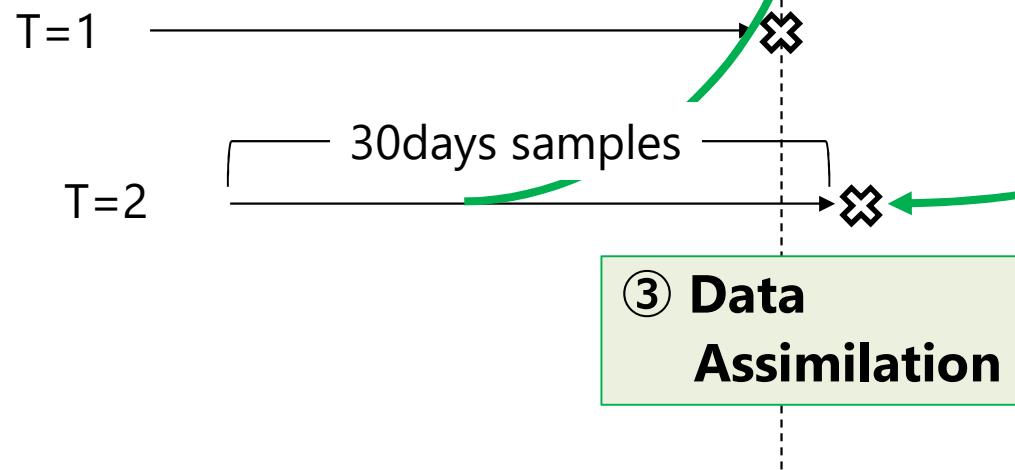
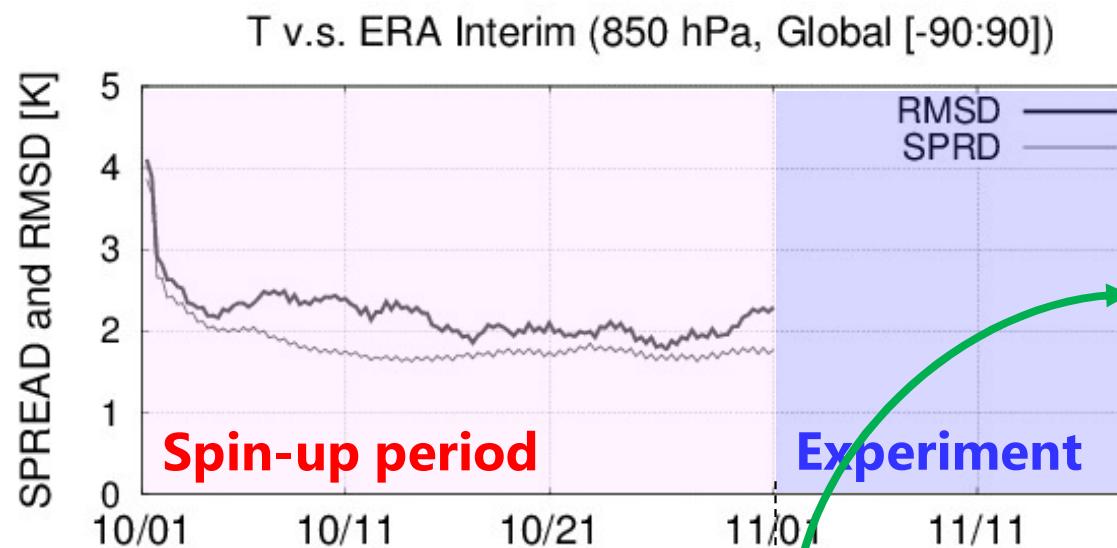
# PDF/CDF construction



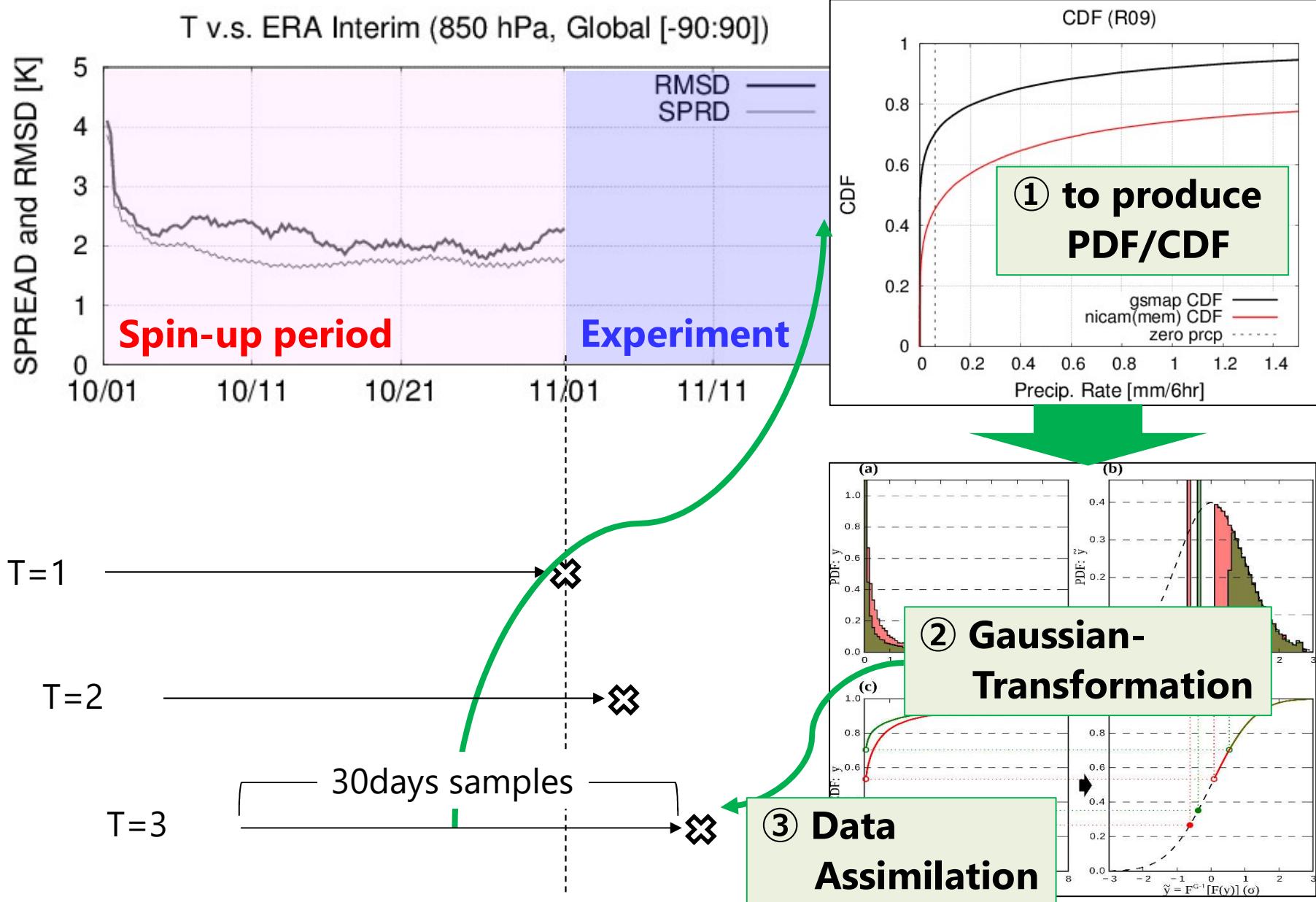
# PDF/CDF construction



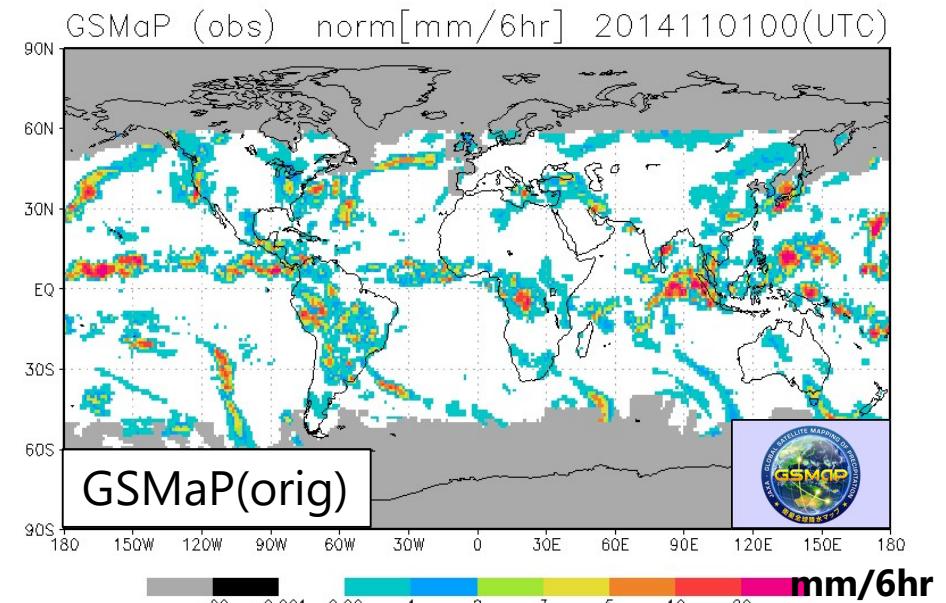
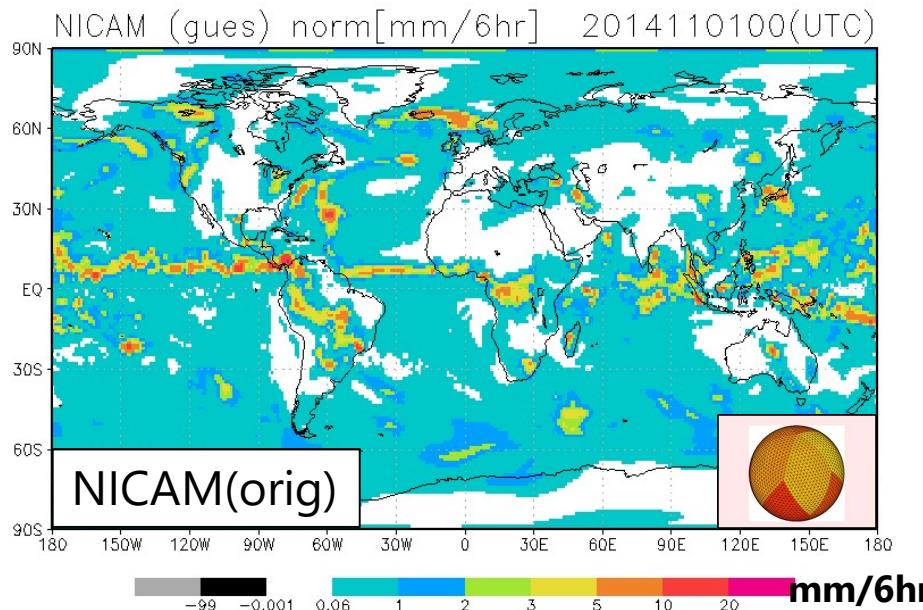
# PDF/CDF construction



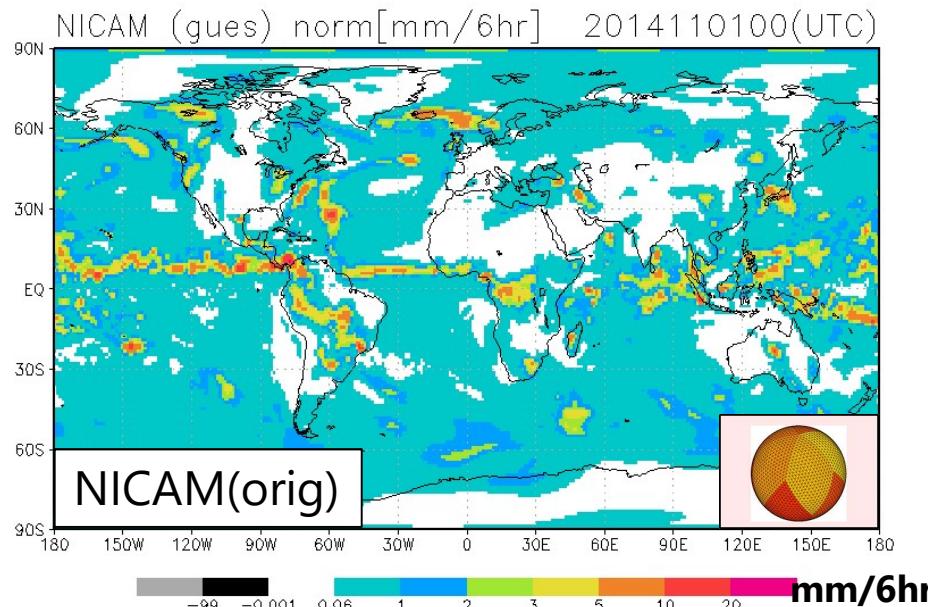
# PDF/CDF construction



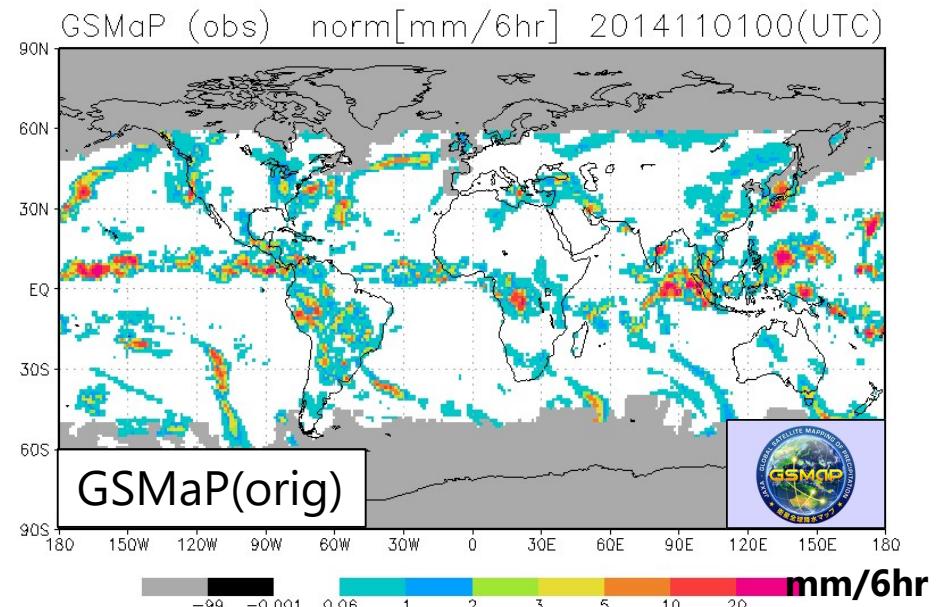
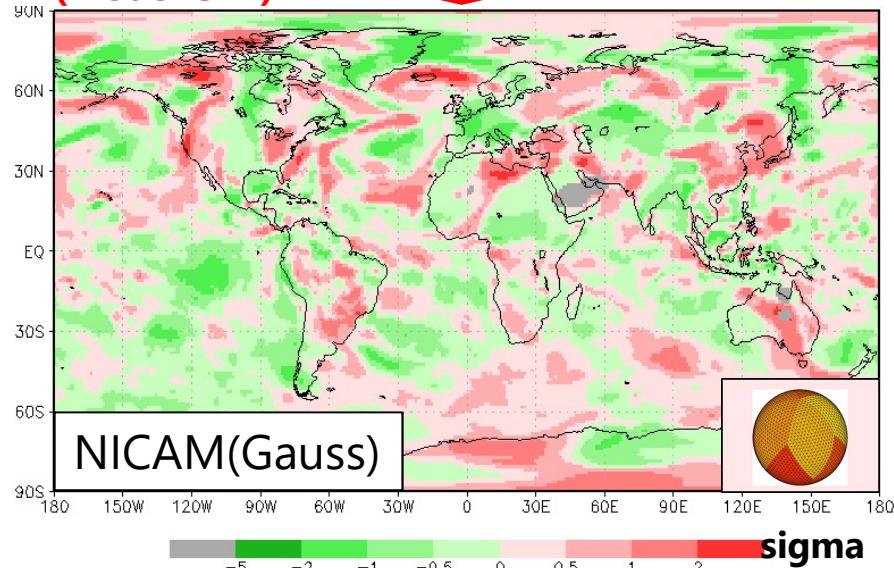
# Gaussian Transformation



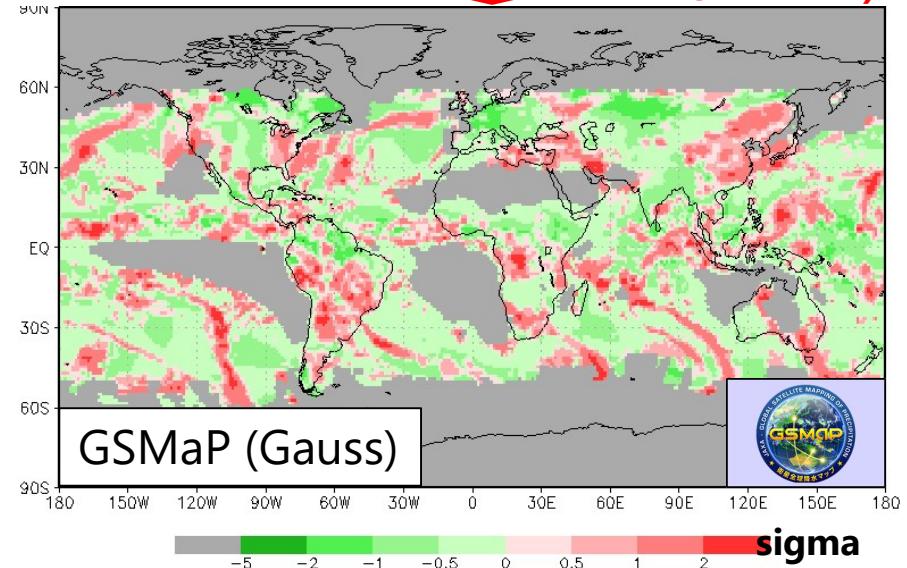
# Gaussian Transformation



Transformation  
(Model CDF)

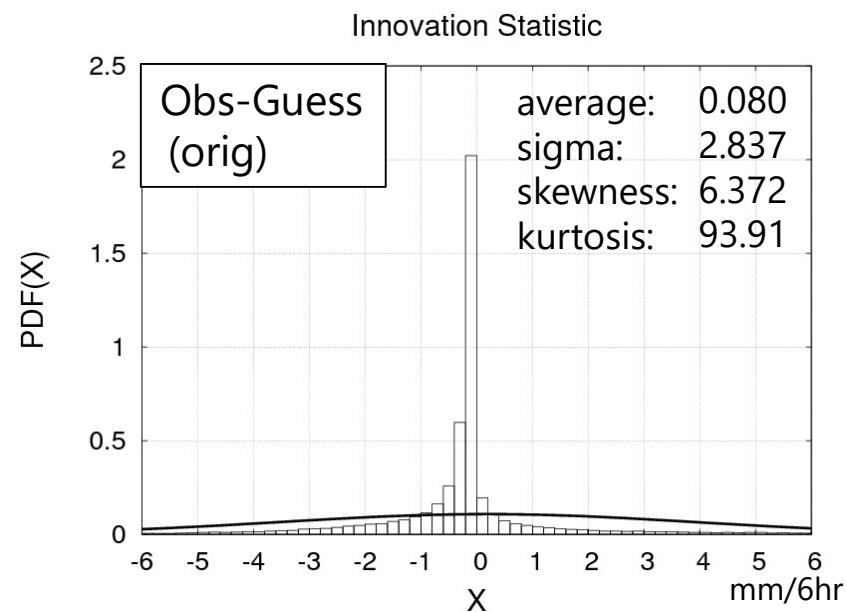


Transformation  
(Obs. CDF)

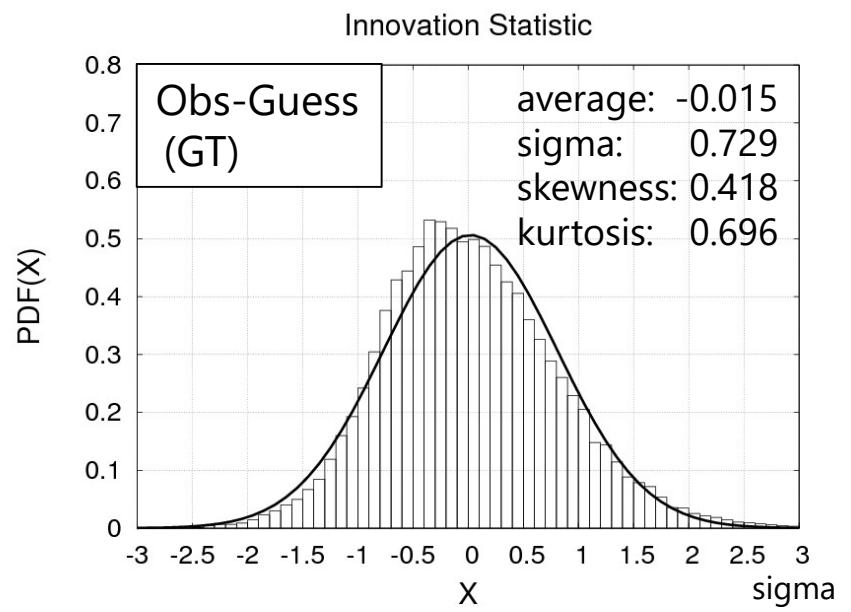


# w/wo Gaussian Transformation

## wo Gaussian-Transformation



## w Gaussian-Transformation



More Gaussian

Sampling period : 2014110100 - 2014110118

# Gaussian Transformation

$$F^G(\tilde{y}) = F(y) \Leftrightarrow \tilde{y} = F^{G^{-1}}[F(y)] \Leftrightarrow y = F^{-1}[F^G(\tilde{y})]$$

Forward transform (mm/6hr  $\rightarrow$  sigma)

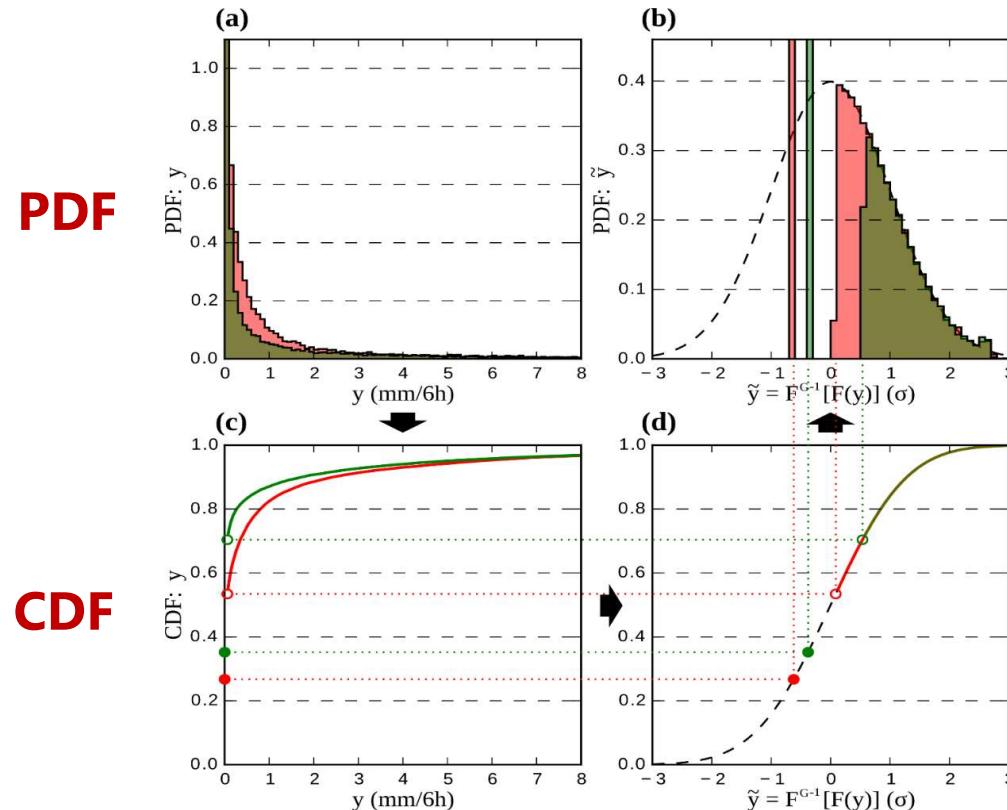
Inverse transform (sigma  $\rightarrow$  mm/6hr)

$y$  : original variable (mm/6hr)

$\tilde{y}$  : Transformed variable (sigma)

$F()$  : CDF of original variable

$F^G()$  : CDF of Gaussian distribution



—: Model  
—: Obs.

Step 0: Obtain PDF & CDF

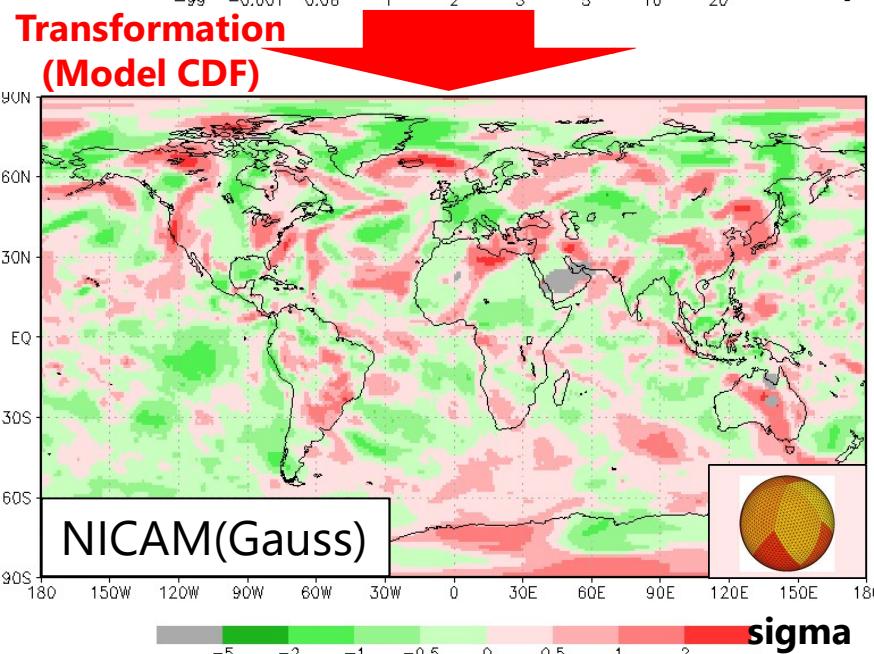
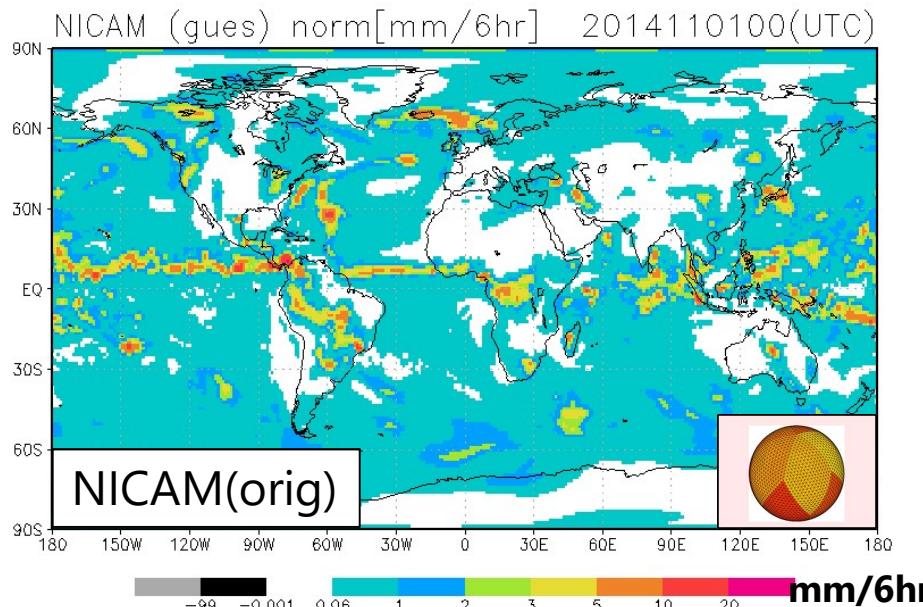
Step 1: Compute  $F(y)$

Step 2: Compute

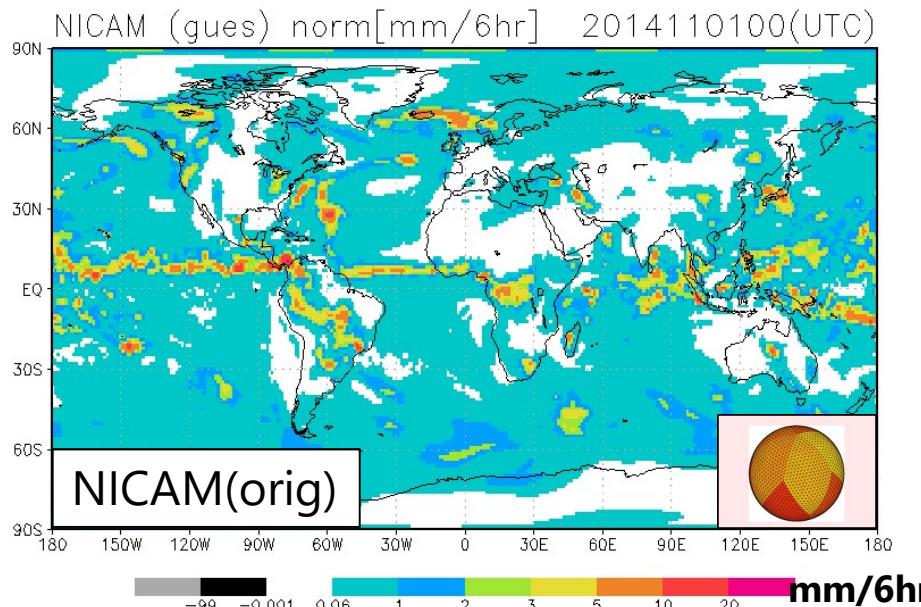
$$\tilde{y} = F^{G^{-1}}[F(y)]$$

Lien et al. (2013, 2016)

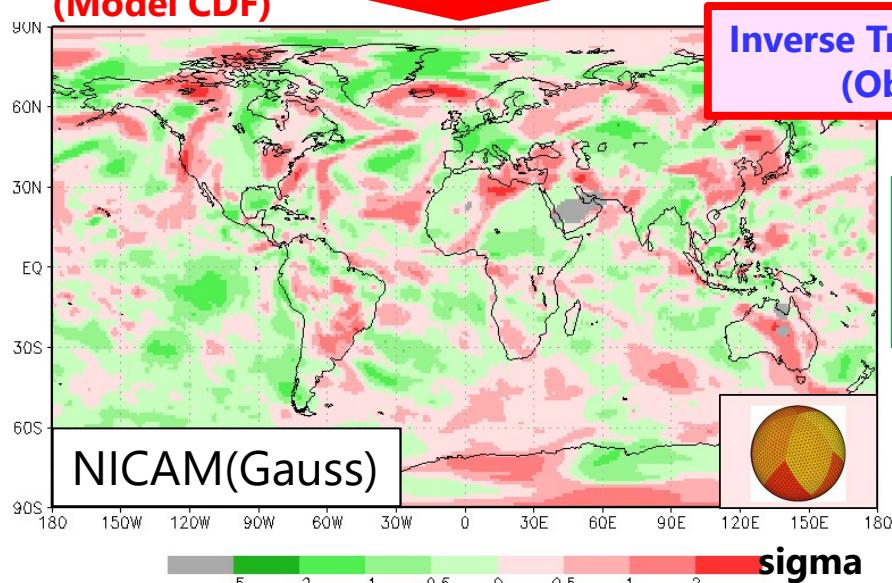
# Forward/Inverse Transformations



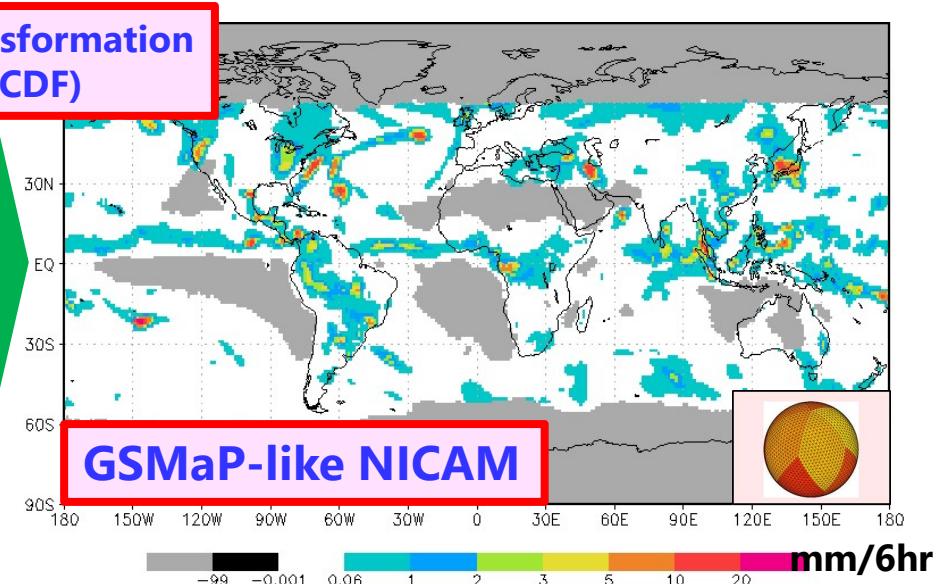
# Forward/Inverse Transformations



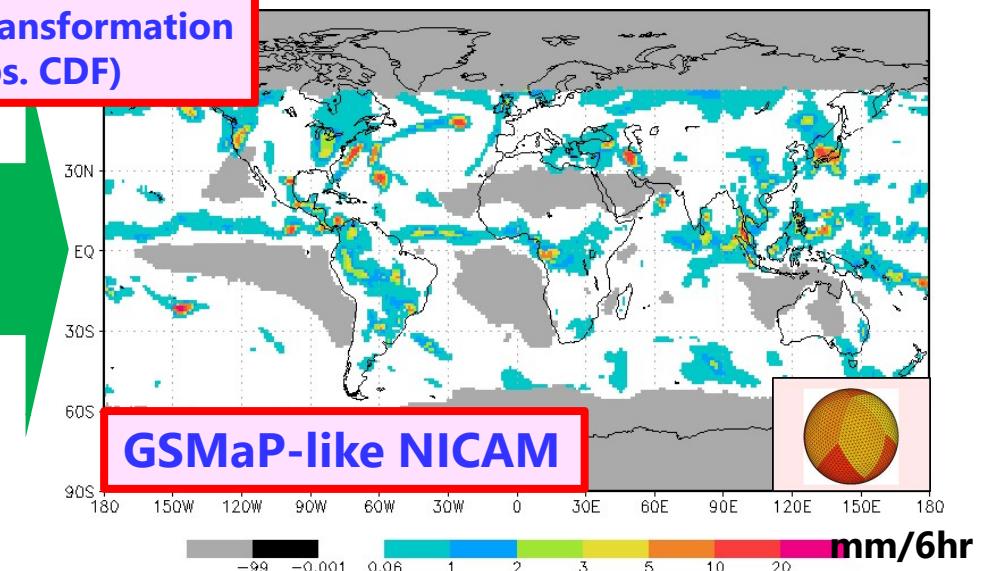
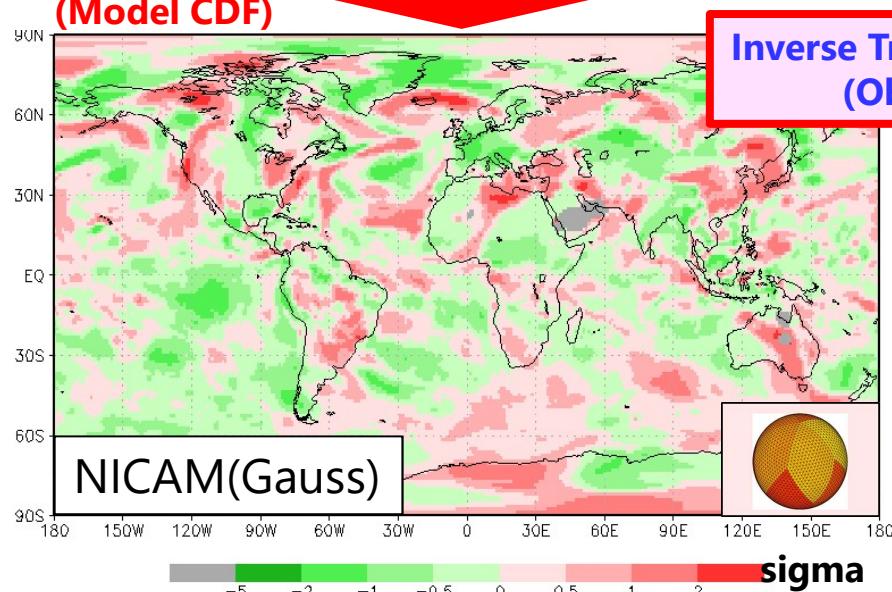
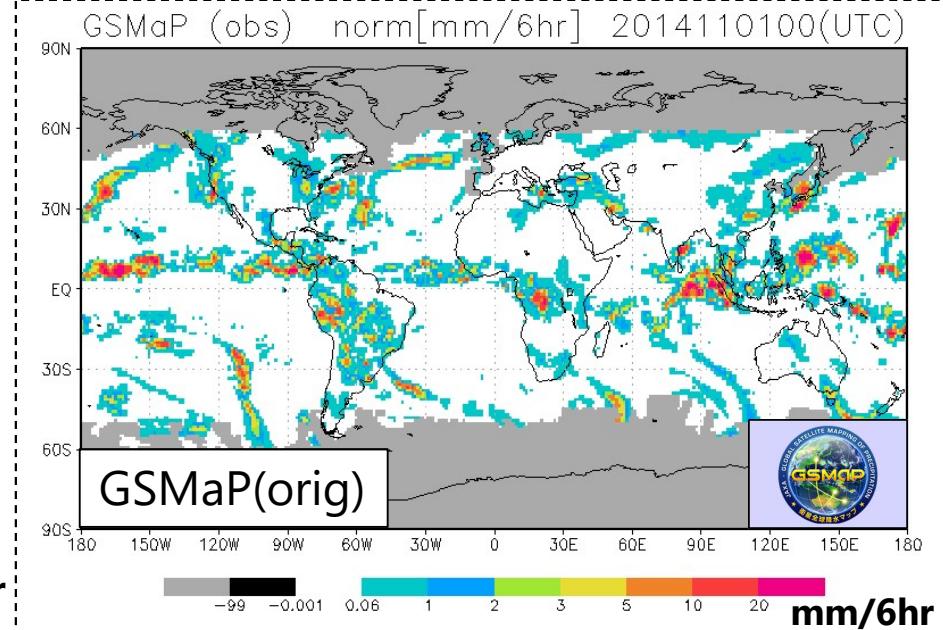
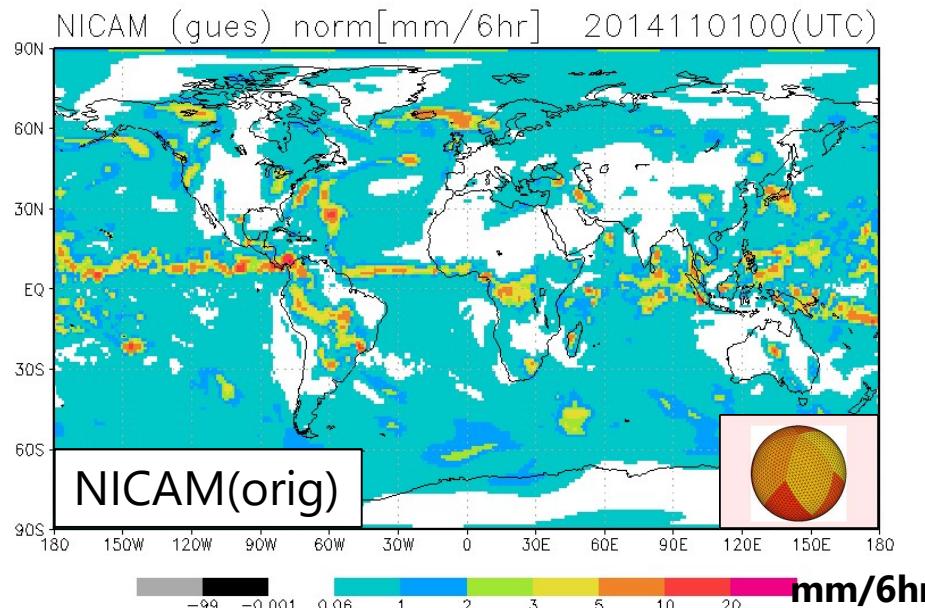
Transformation  
(Model CDF)



Inverse Transformation  
(Obs. CDF)



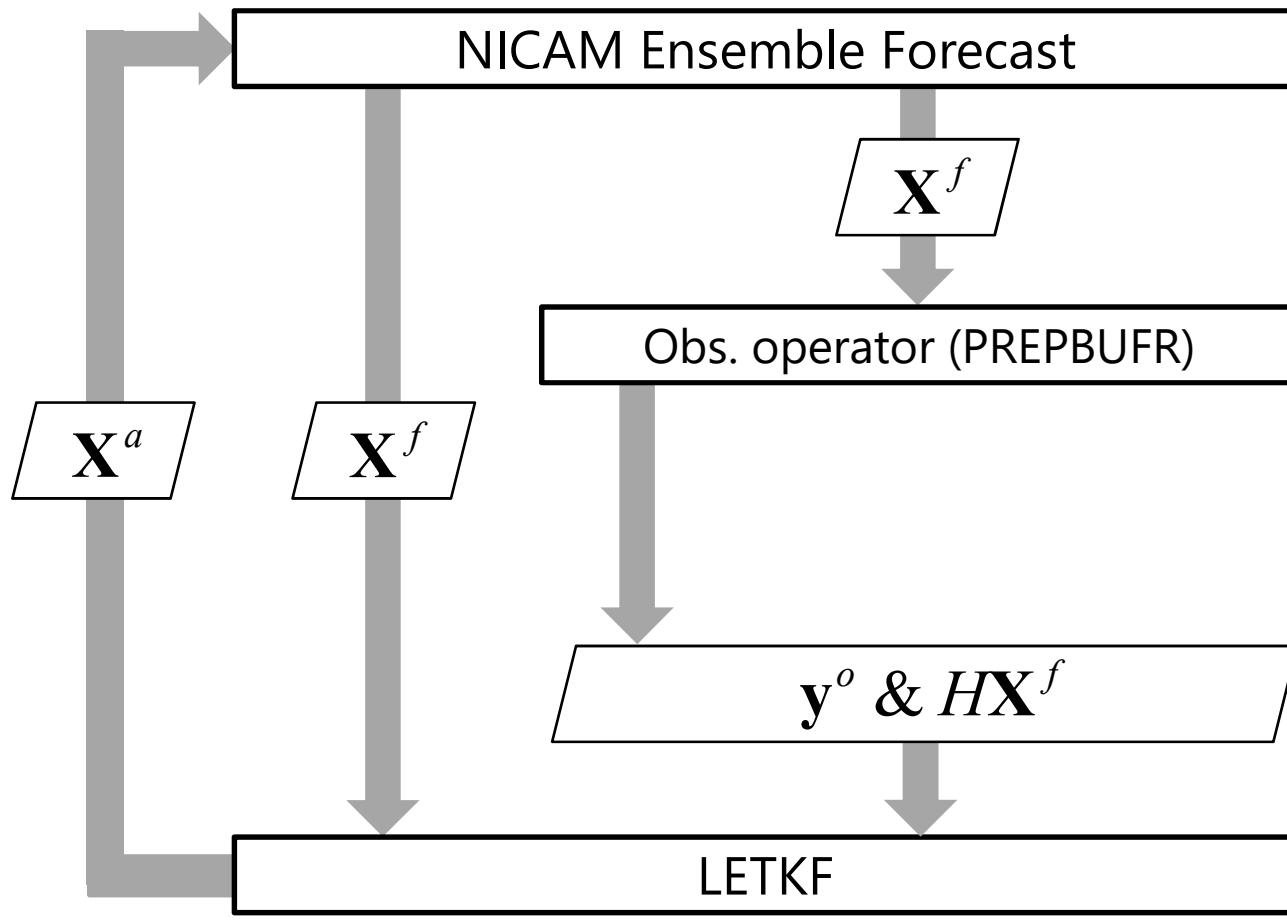
# Forward/Inverse Transformations



# Outline

- Introduction
- Gaussian Transformation
- **DA-cycle experiments**
- **Forecast experiments**
- Parameter estimation
- Summary

# Assimilation of GSMP by NICAM-LETKF

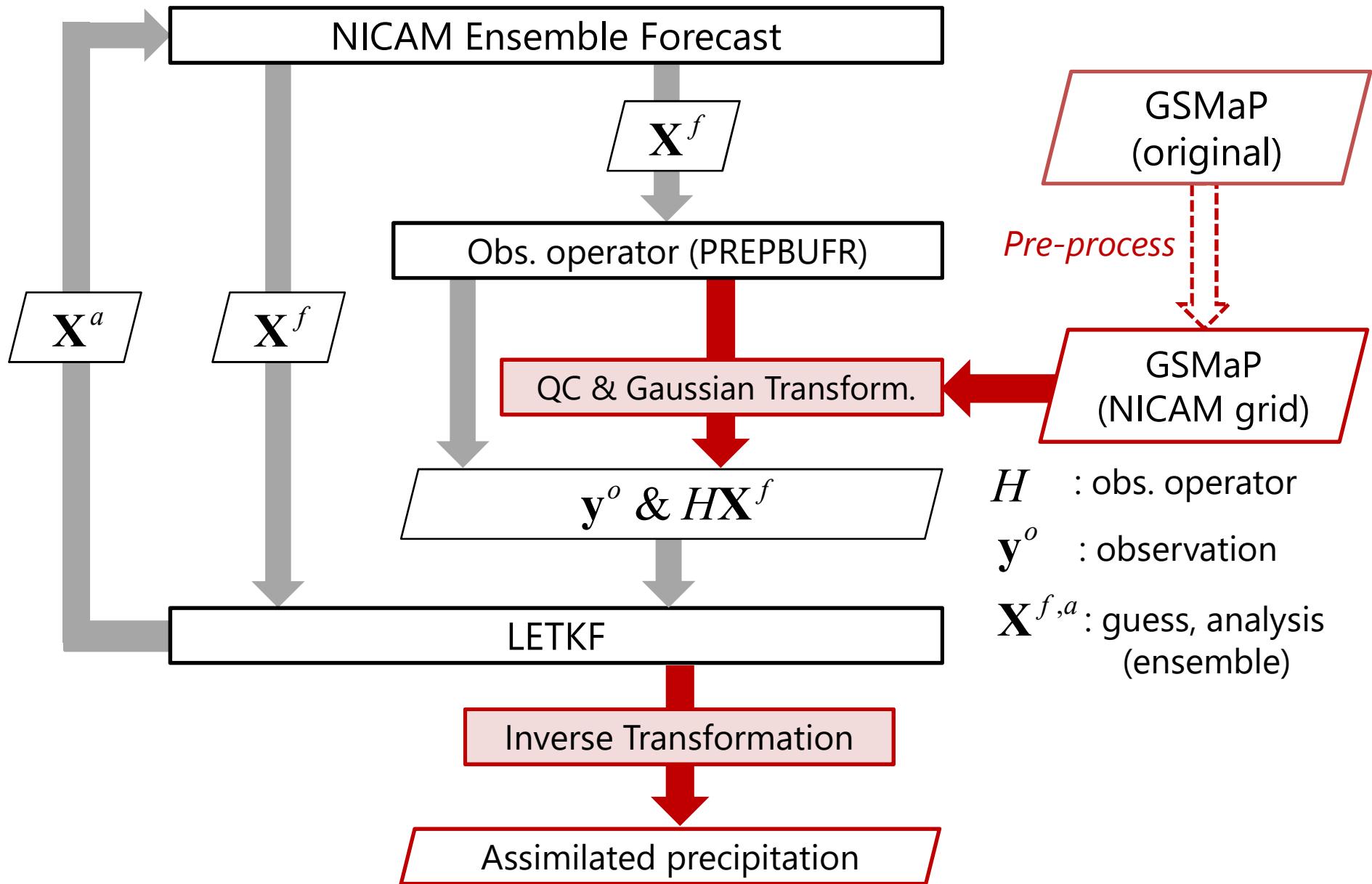


$H$  : obs. operator

$\mathbf{y}^o$  : observation

$\mathbf{X}^{f,a}$  : guess, analysis  
(ensemble)

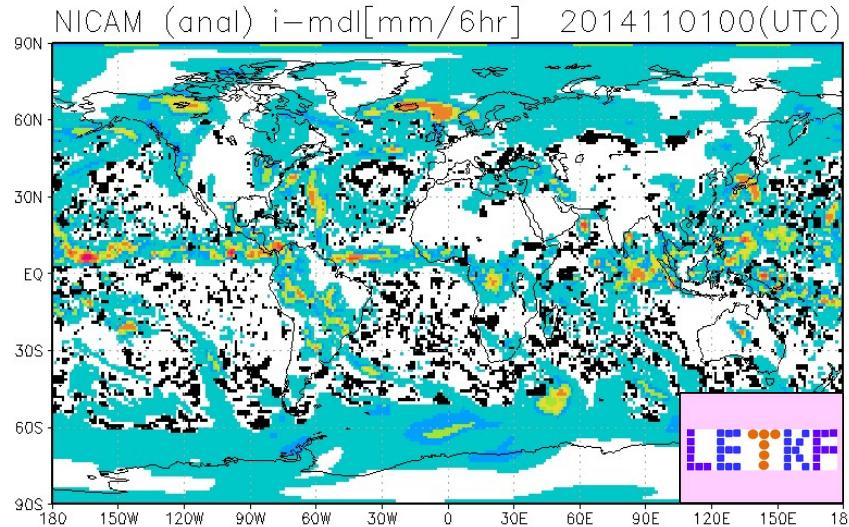
# Assimilation of GSMAp by NICAM-LETKF



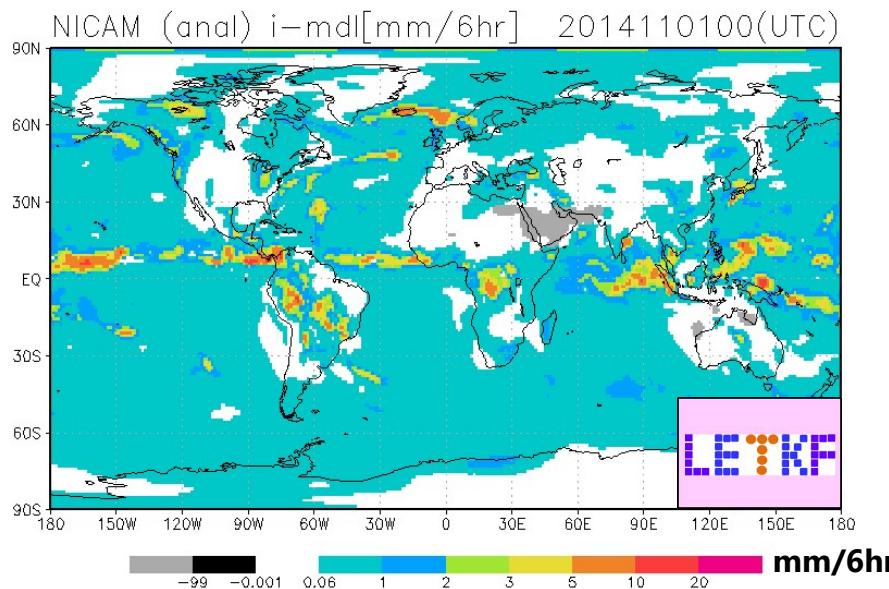
# Precipitation after the first analysis

No-  
Transform  
(NT)

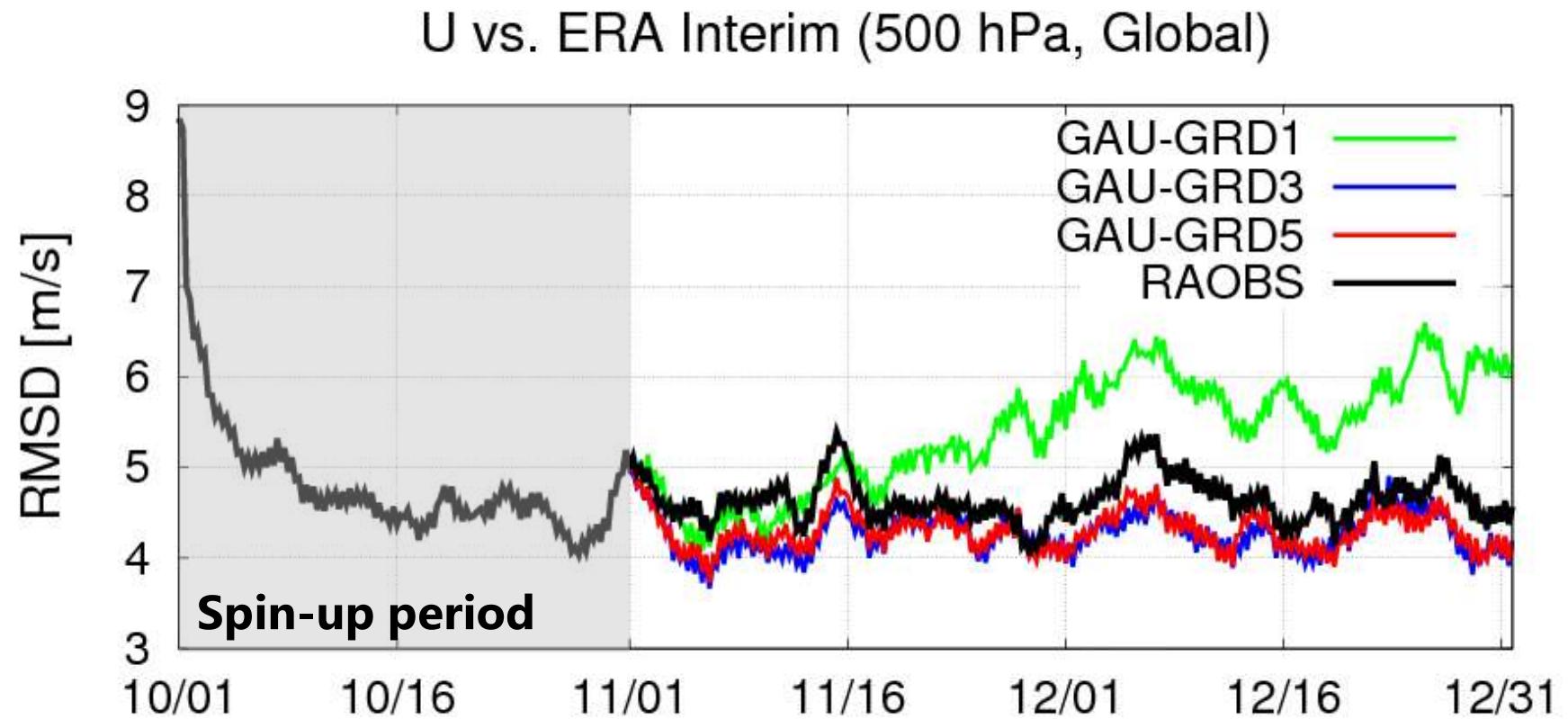
Noisy field w/ negative values



Gaussian-  
Transform  
(GT)



# RMSDs relative to ERA Interim (in 2014)



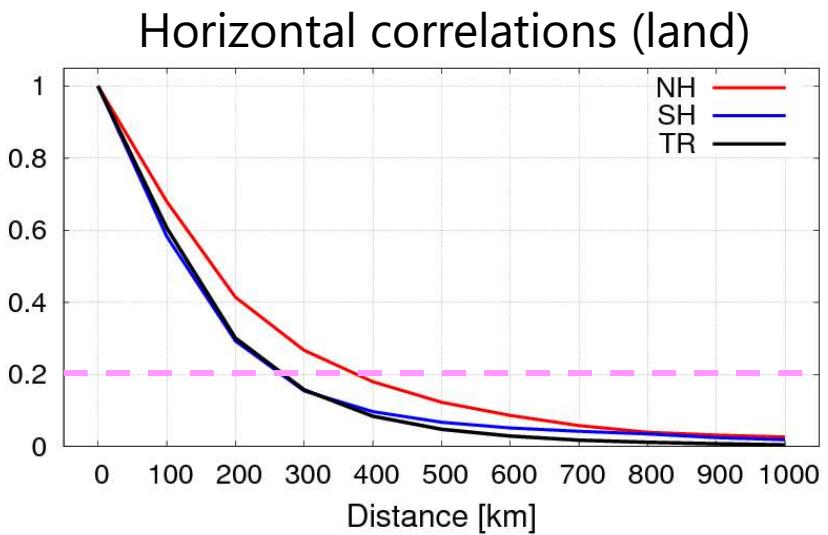
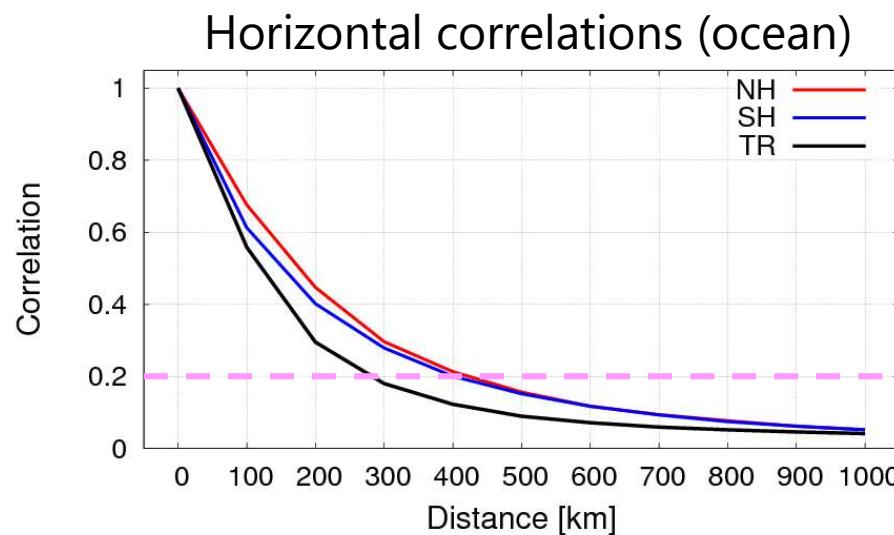
- : Raobs: Radiosondes ONLY
- : GRD1: Radiosondes + GSMAp/Gauge (ALL)
- : GRD3: Radiosondes + GSMAp/Gauge (every 3x3 grids)
- : GRD5: Radiosondes + GSMAp/Gauge (every 5x5 grids)

# Desrozier's diagnostics (for precip. obs)

$$\mathbf{R} = \left\langle \mathbf{d}^a \left( \mathbf{d}^b \right)^T \right\rangle$$

$$\mathbf{d}^{a(b)} = \mathbf{y}^o - H \mathbf{x}^{a(b)}$$

Desroziers et al. (2005)



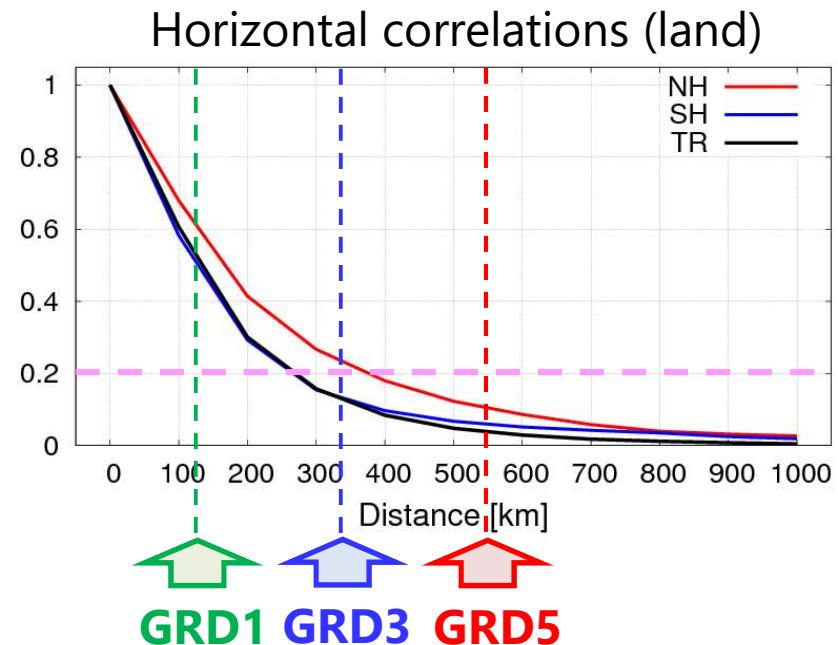
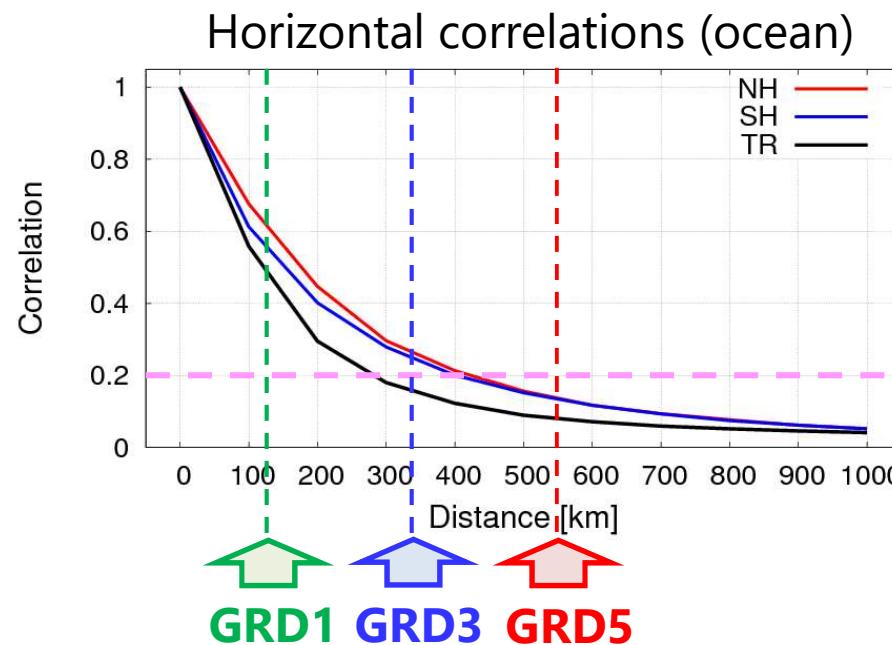
NOTE: Diagnosed with suboptimal experiment GRD1  
2014/12/01 – 2014/12/31

# Desrozier's diagnostics (for precip. obs)

$$\mathbf{R} = \left\langle \mathbf{d}^a \left( \mathbf{d}^b \right)^T \right\rangle$$

$$\mathbf{d}^{a(b)} = \mathbf{y}^o - H \mathbf{x}^{a(b)}$$

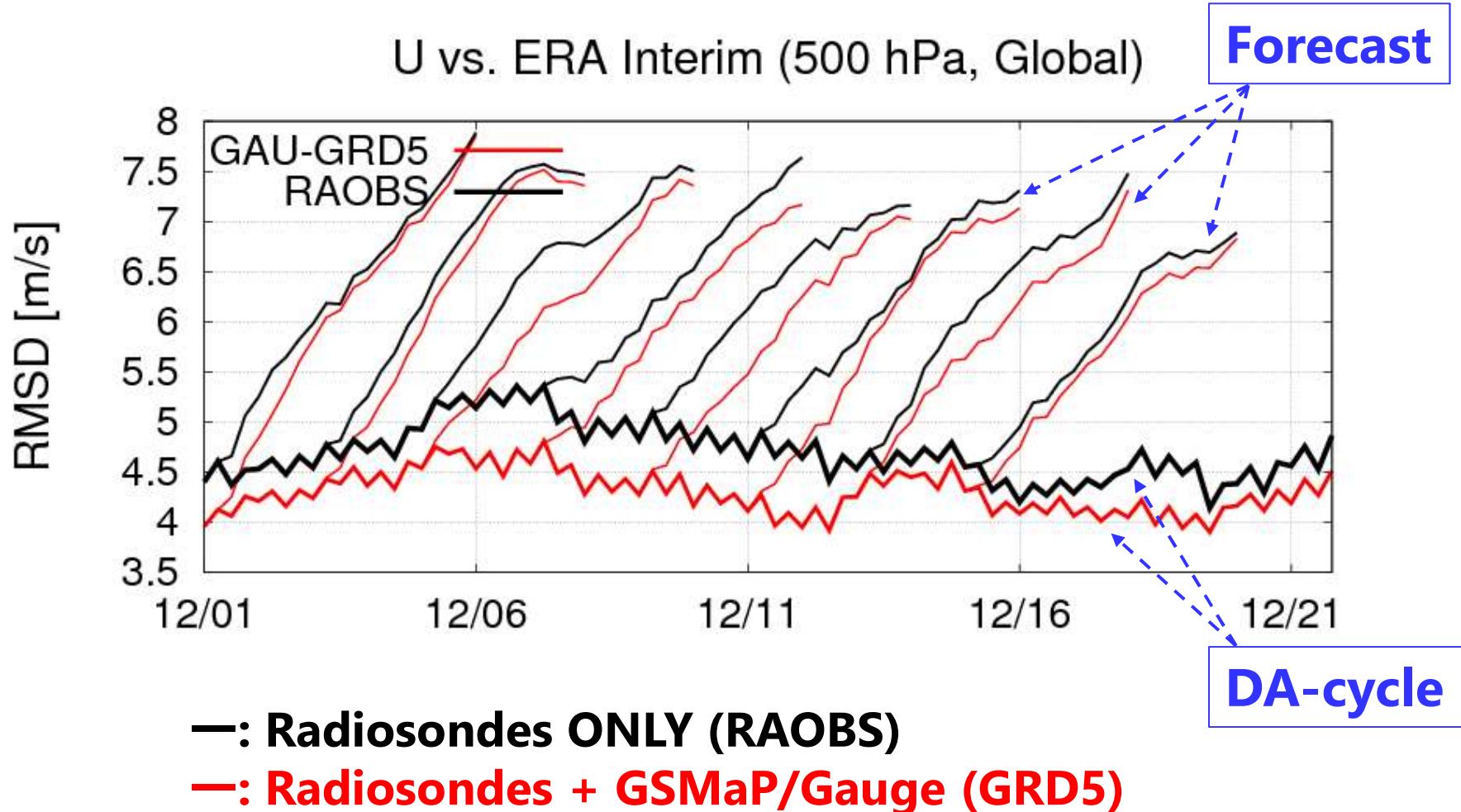
Desroziers et al. (2005)



**Strong horizontal correlation !!!**

NOTE: Diagnosed with suboptimal experiment GRD1  
2014/12/01 – 2014/12/31

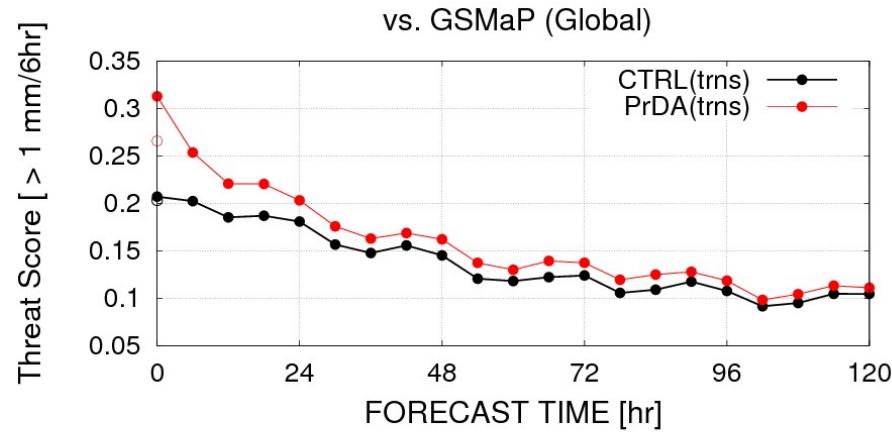
# RMSDs: 120h Forecasts vs. ERA Interim



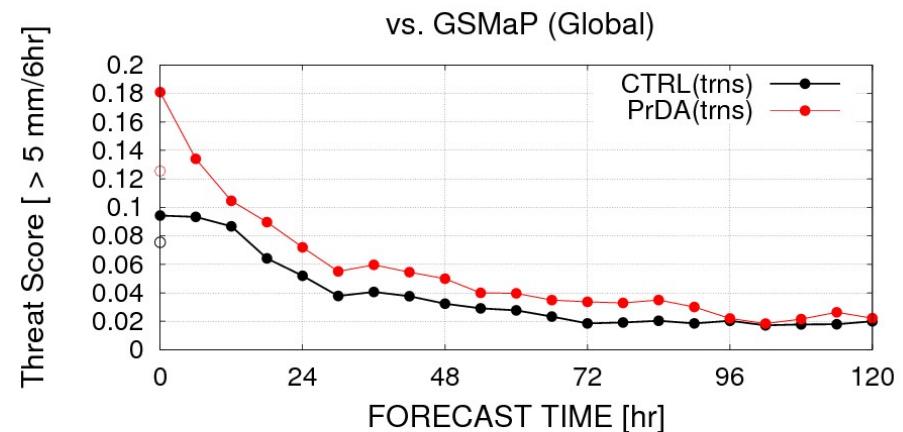
Validation with mean of ensemble forecasts from different initial dates

# RMSDs: 120 hr Forecasts vs. GSMap/Gauge

**Threat Score ( $\geq 1 \text{ mm/6hr}$ )**



**Threat Score ( $\geq 5 \text{ mm/6hr}$ )**



—: Radiosondes ONLY (RAOBS)  
—: Radiosondes + GSMap/Gauge (GRD5)

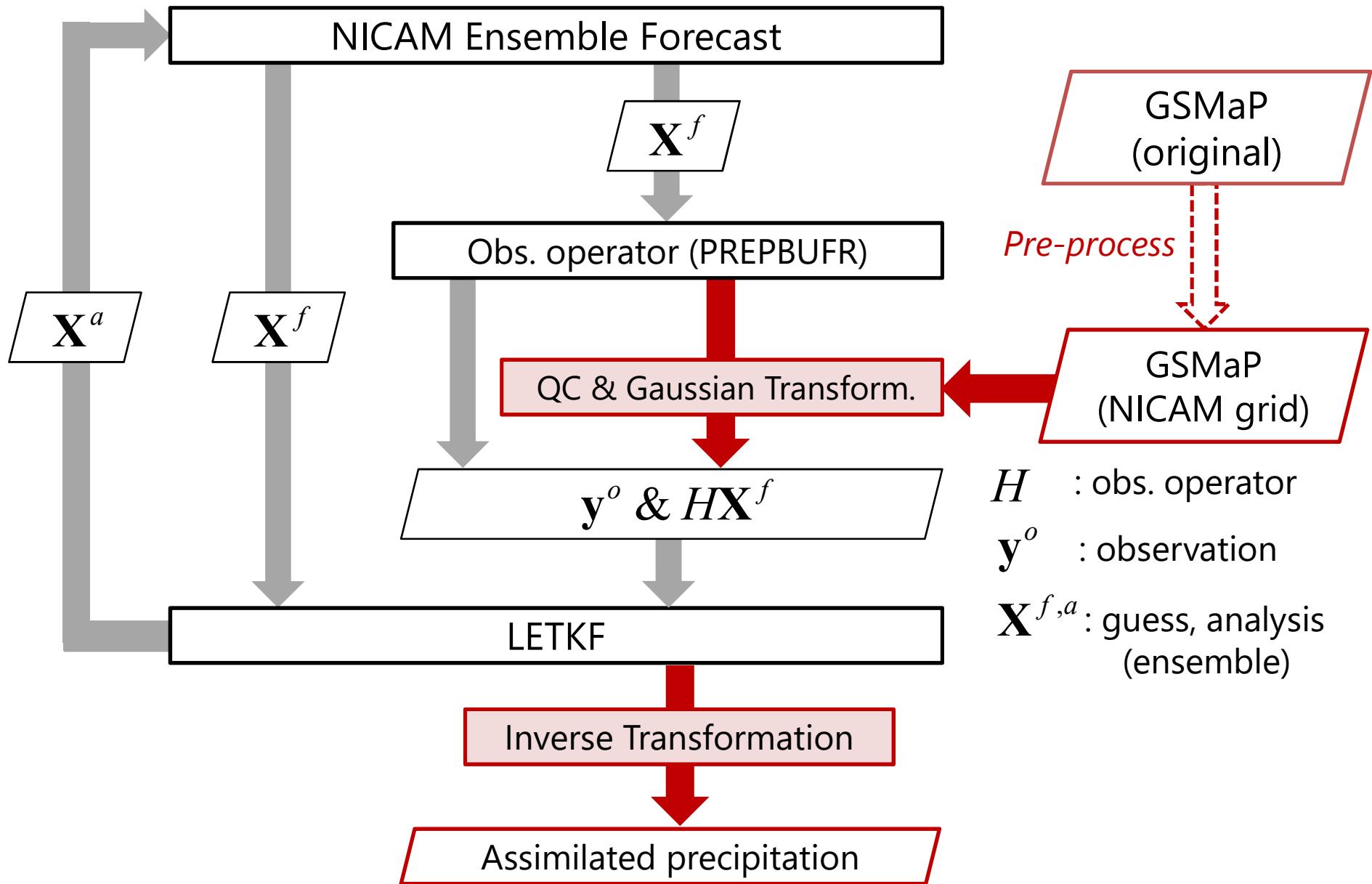
Precipitation forecasts are improved !!!

Average over 8 ensemble forecasts from different initial dates

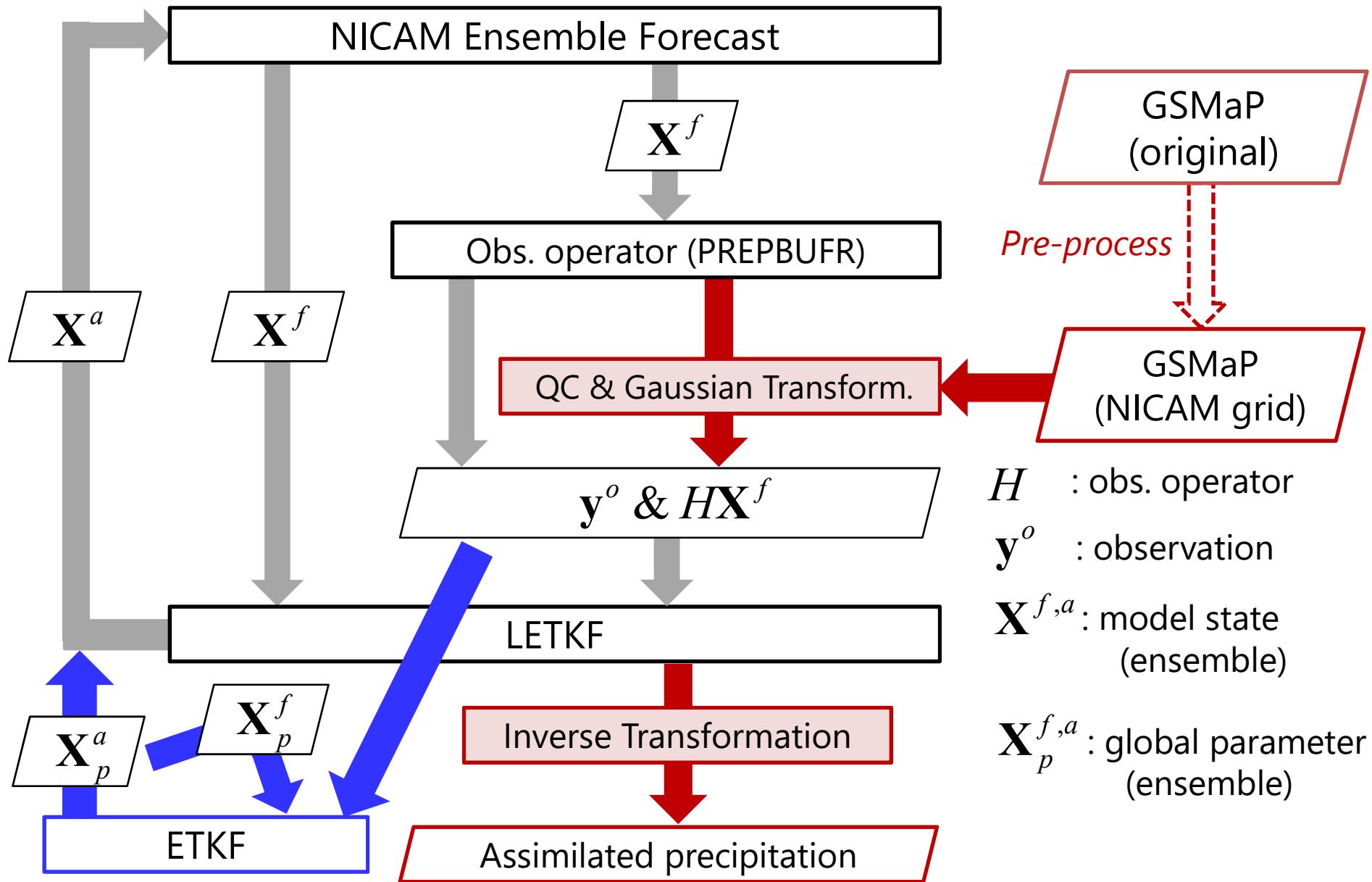
# Outline

- Introduction
- Gaussian Transformation
- DA-cycle experiments
- Forecast experiments
- **Parameter estimation**
- Summary

# Assimilation of GSMAp by NICAM-LETKF



# Parameter estimation by NICAM-LETKF

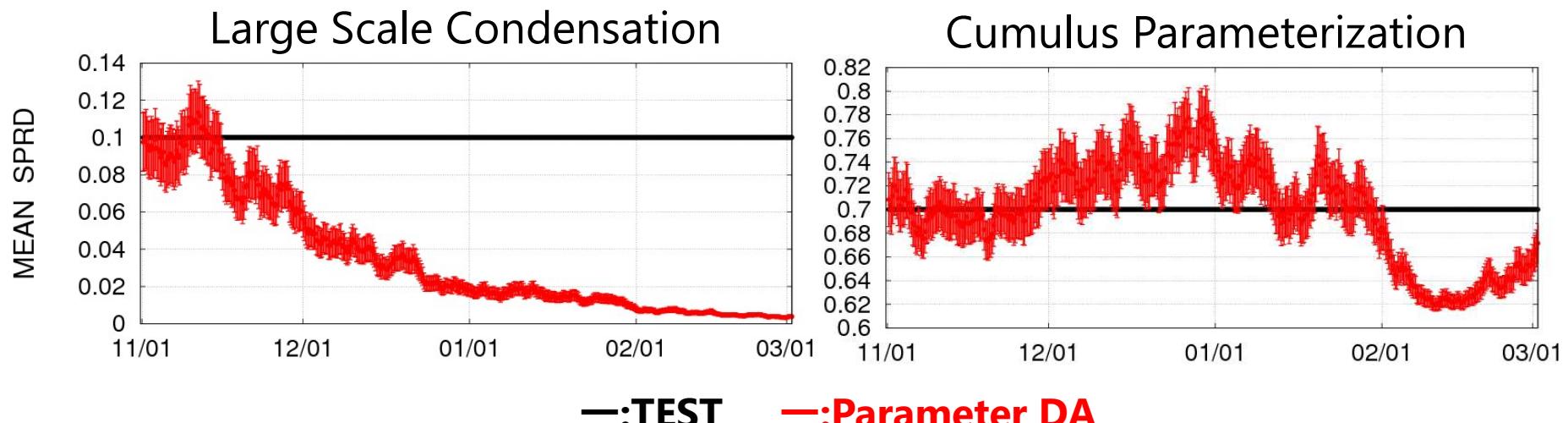


# Parameter Estimation with ETKF

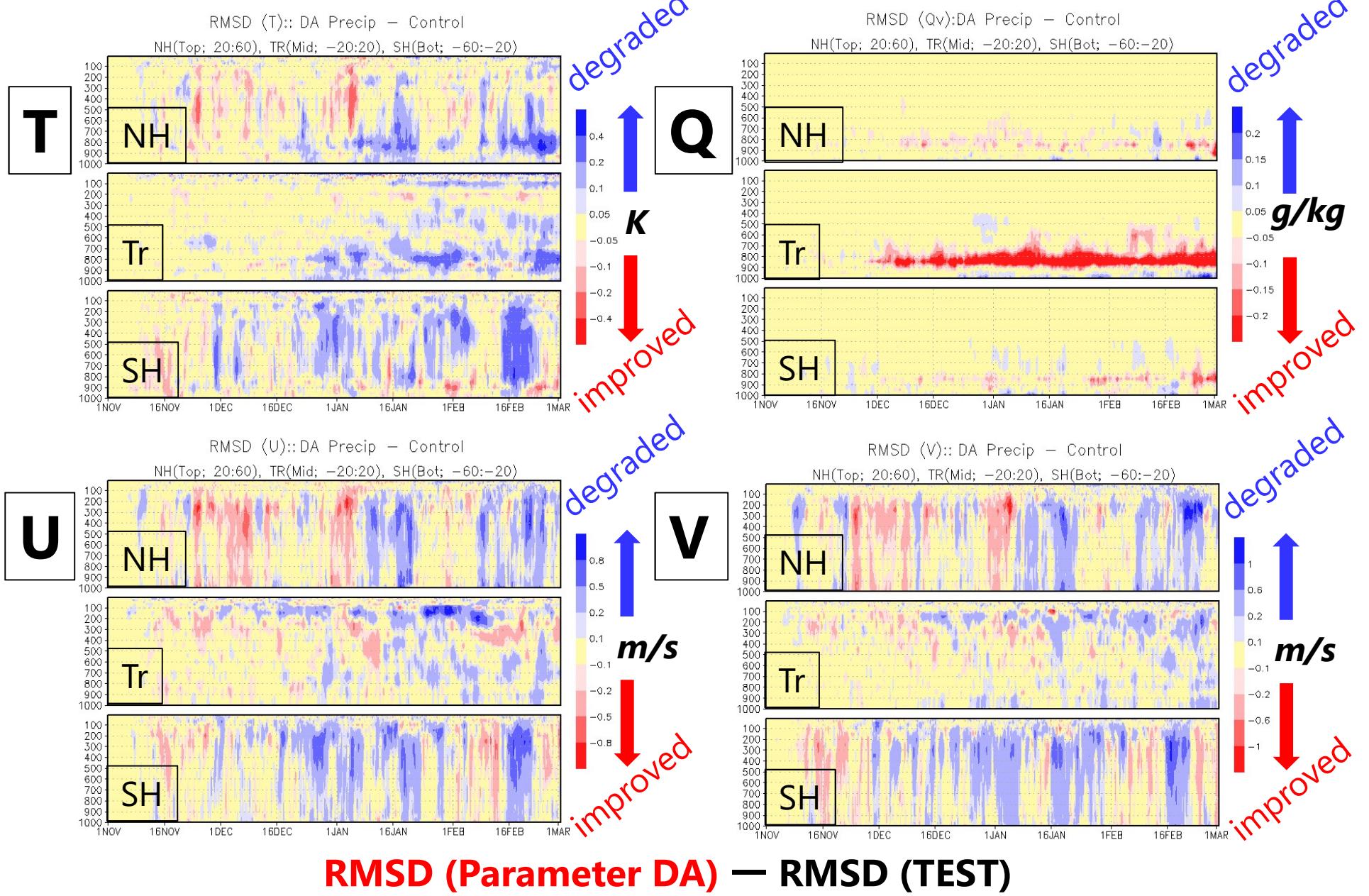
## Experimental setting

Experiment	Parameters	
	Large Scale Condensation	Cumulus Parameterization
<b>TEST</b>	0.10	0.70
<b>Parameter DA</b>	<b>Estimated</b>	<b>Estimated</b>

Time series of estimated parameter (mean and spread)



# Change in RMSD relative to TEST experiment



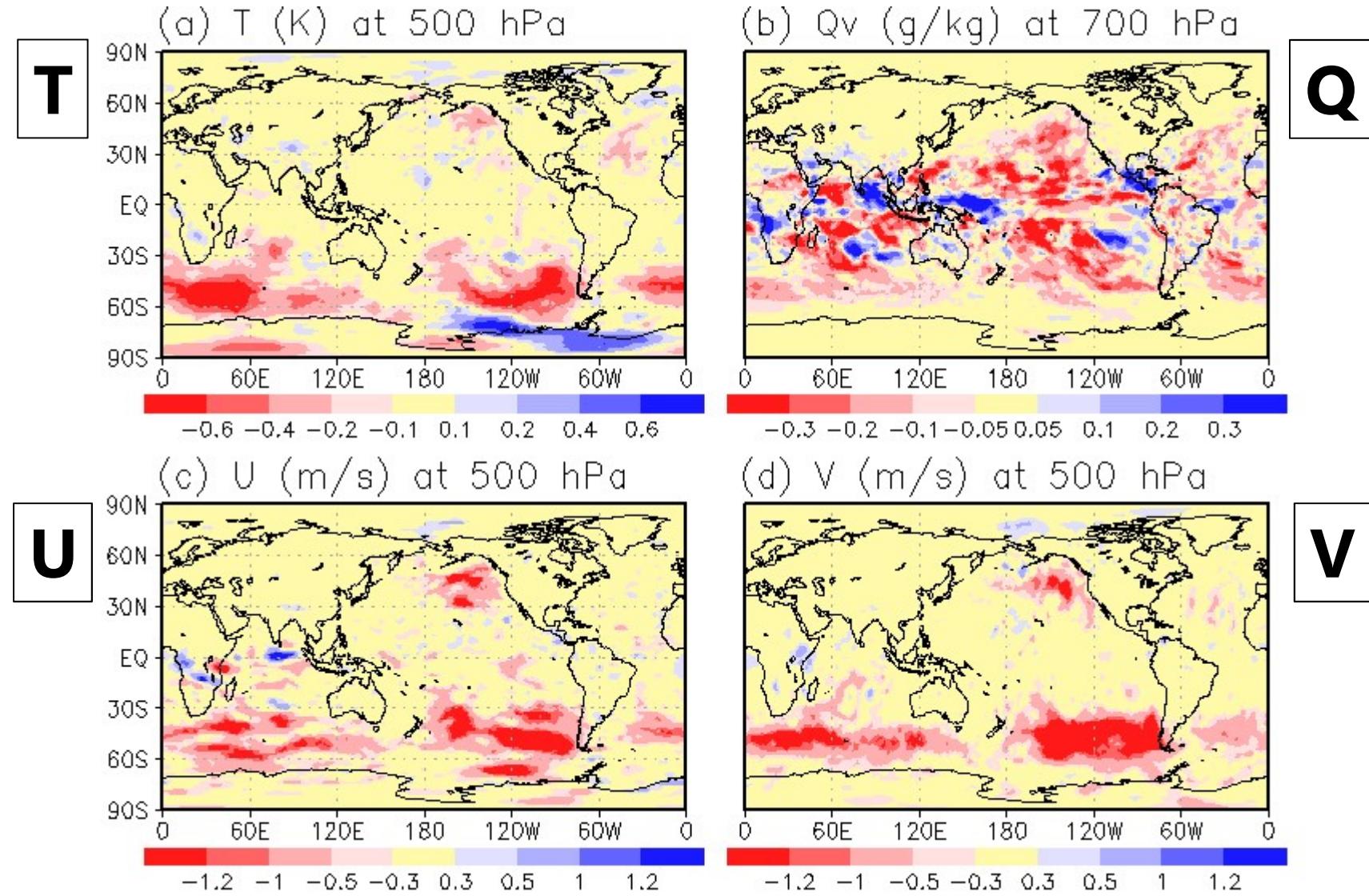
# Summary

- Assimilating GSMP precipitation with NICAM-LETKF
  - Lien et al. (2016a, 2016b) approach works well
  - New methods
    - Construction of flow-dependent PDF/CDF
    - Inverse GT to produce realistic precip. field from forecasts
  - Observation data thinning was essential
    - Horizontal obs. error correlation of precipitation
  - Parameter estimation
    - Estimate as globally-distributed parameters
    - Forecast experiments

# APENDIX

# Best experiments (MAE changes)

45-days average (2014/11/17-2014/12/31)



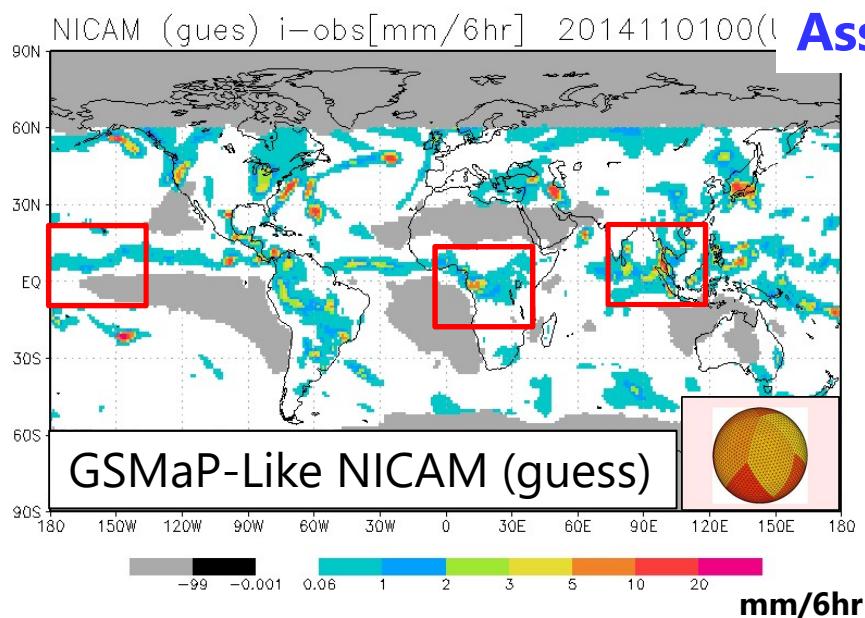
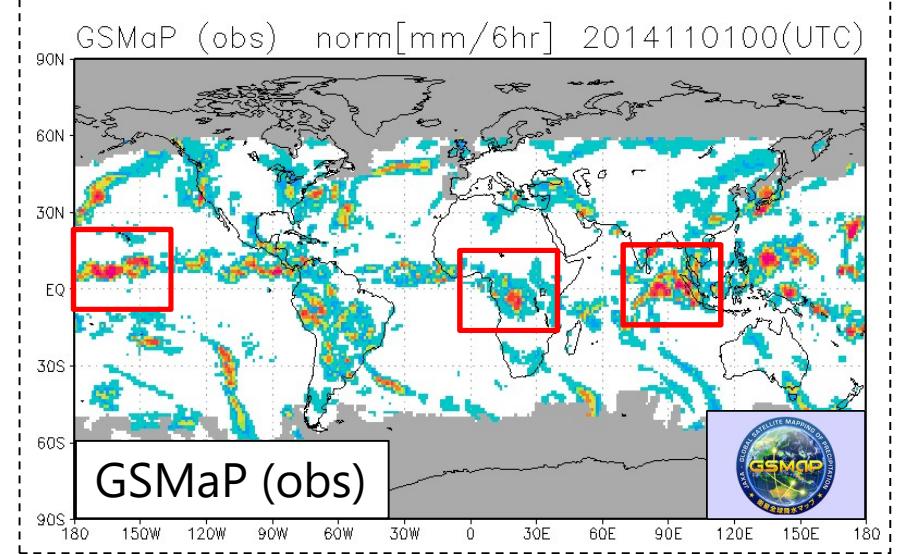
*improved* ←

MAE (GRD5) — MAE (Raobs)

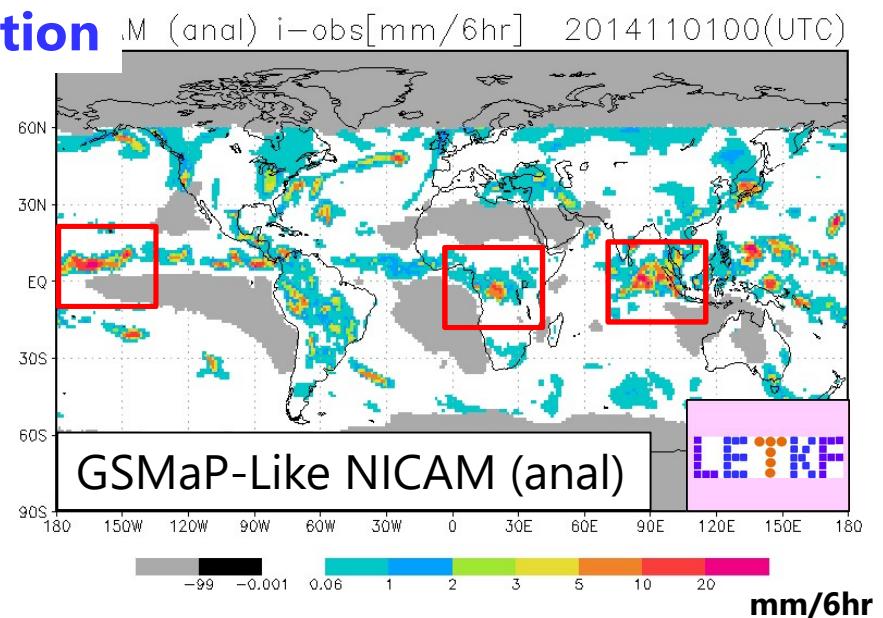
→ *degraded*

# Precipitation after the first analysis

Improvement in precipitation field

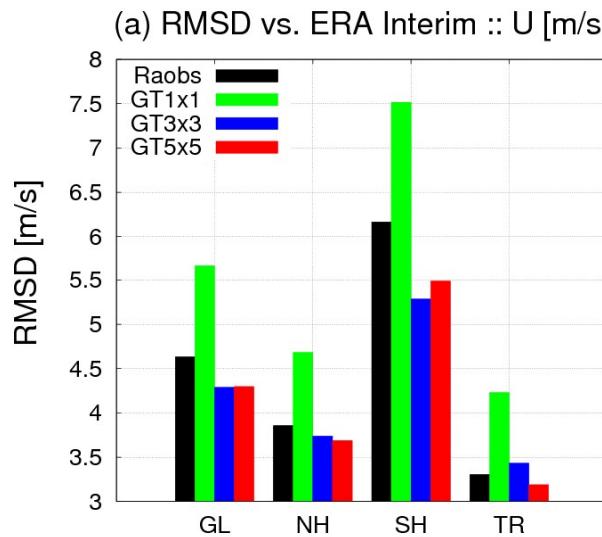


Assimilation

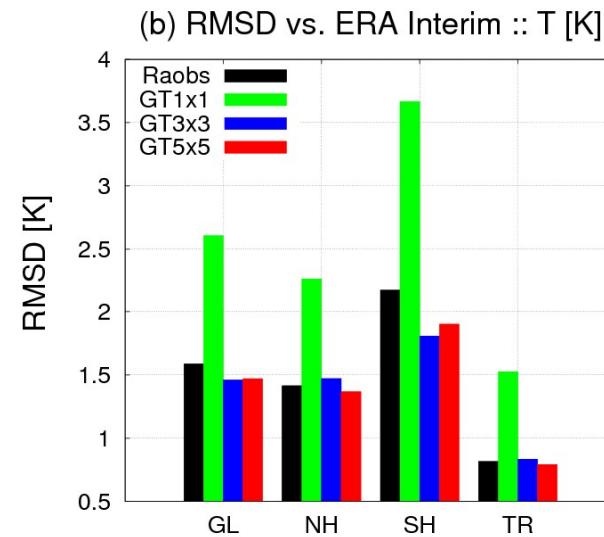


# Sensitivity to thinning

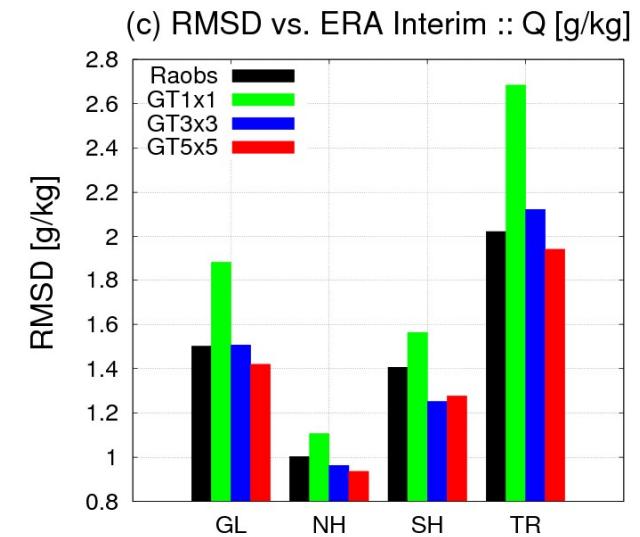
**U**



**T**



**Q**



—: Raobs: Radiosondes ONLY

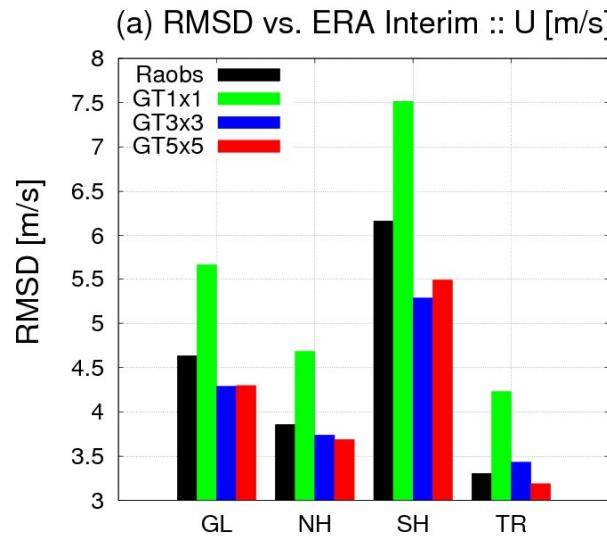
—: GRD1: Radiosondes + GSMP/Gauge (ALL)

—: GRD3: Radiosondes + GSMP/Gauge (every 3x3 grids)

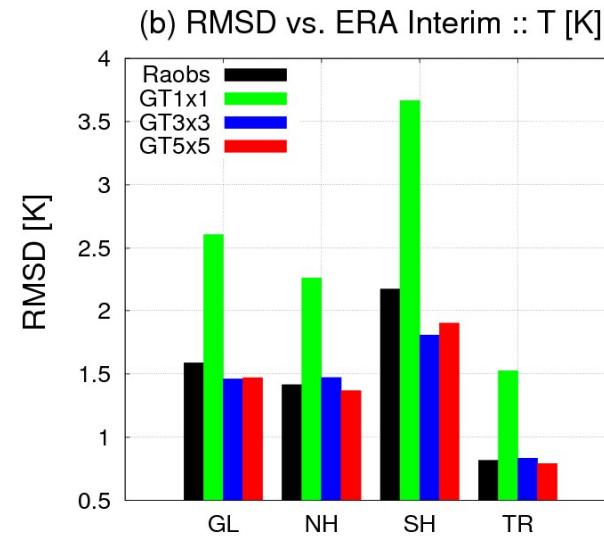
—: GRD5: Radiosondes + GSMP/Gauge (every 5x5 grids)

# Sensitivity to thinning

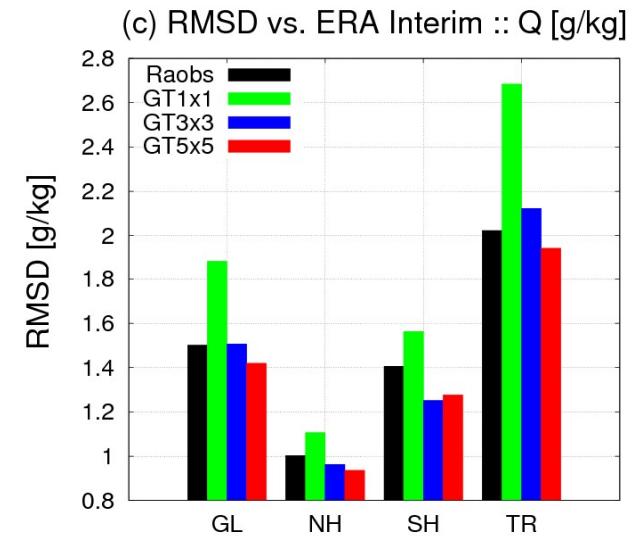
**U**



**T**



**Q**

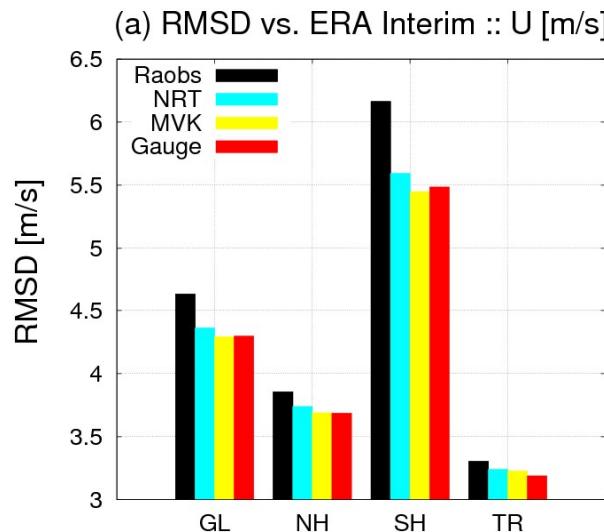


**GRD5 always shows improvements !**

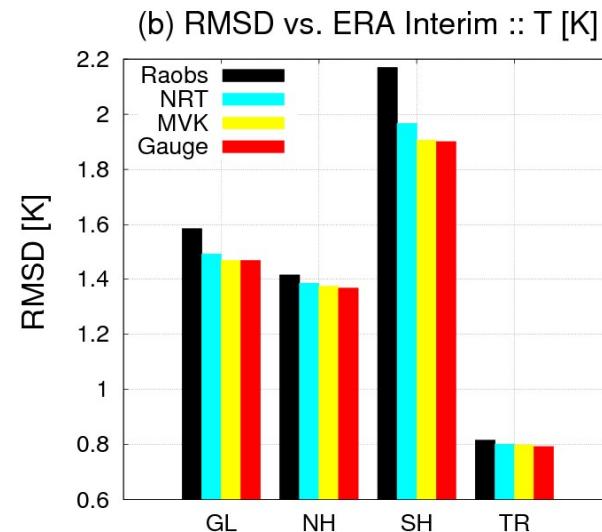
- : **Raobs:** Radiosondes ONLY
- : **GRD1:** Radiosondes + GSMaP/Gauge (ALL)
- : **GRD3:** Radiosondes + GSMaP/Gauge (every 3x3 grids)
- : **GRD5:** Radiosondes + GSMaP/Gauge (every 5x5 grids)

# Sensitivity to GSMAp products (w/ 5x5 thinning)

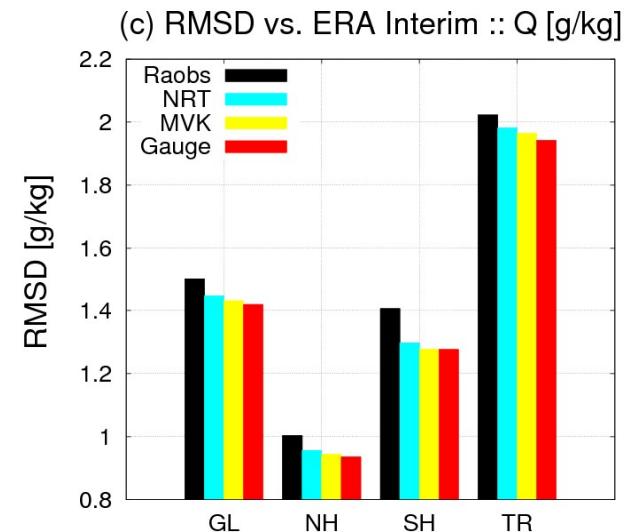
**U**



**T**



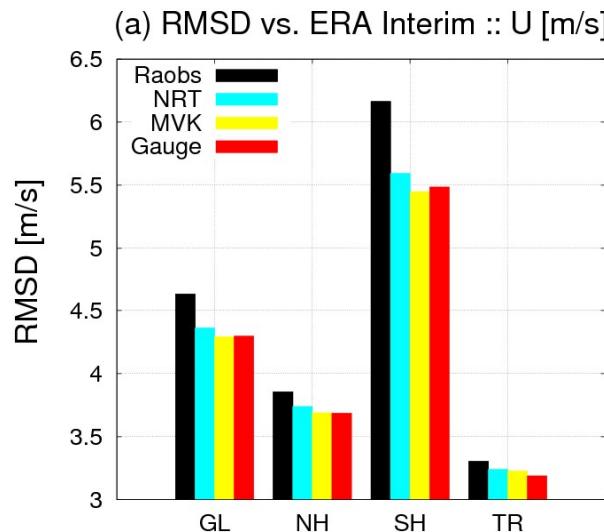
**Q**



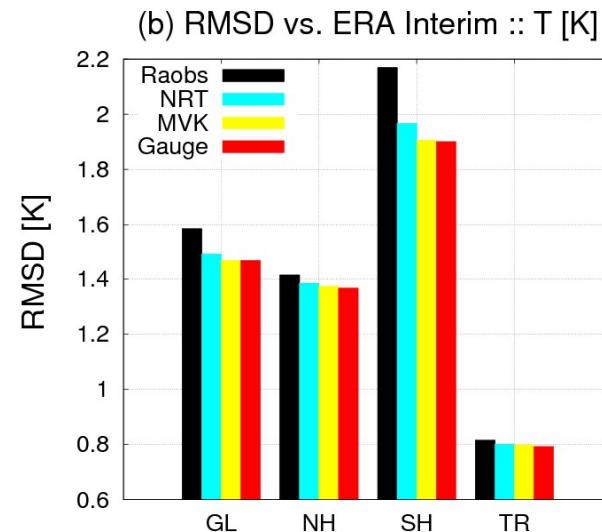
- : **Raobs:** Radiosondes ONLY
- : **NRT:** Radiosondes + GSMAp/ Near Real Time
- : **MVK:** Radiosondes + GSMAp/ Standard
- : **Gauge:** Radiosondes + GSMAp/ Gauge-calibration

# Sensitivity to GSMAp products (w/ 5x5 thinning)

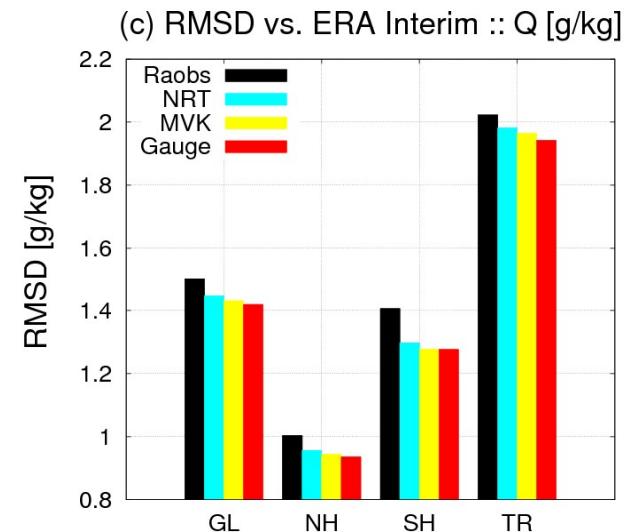
**U**



**T**



**Q**



**Near Real Time product shows improvements !**

- : **Raobs:** Radiosondes ONLY
- : **NRT:** Radiosondes + GSMAp/ Near Real Time
- : **MVK:** Radiosondes + GSMAp/ Standard
- : **Gauge:** Radiosondes + GSMAp/ Gauge-calibration

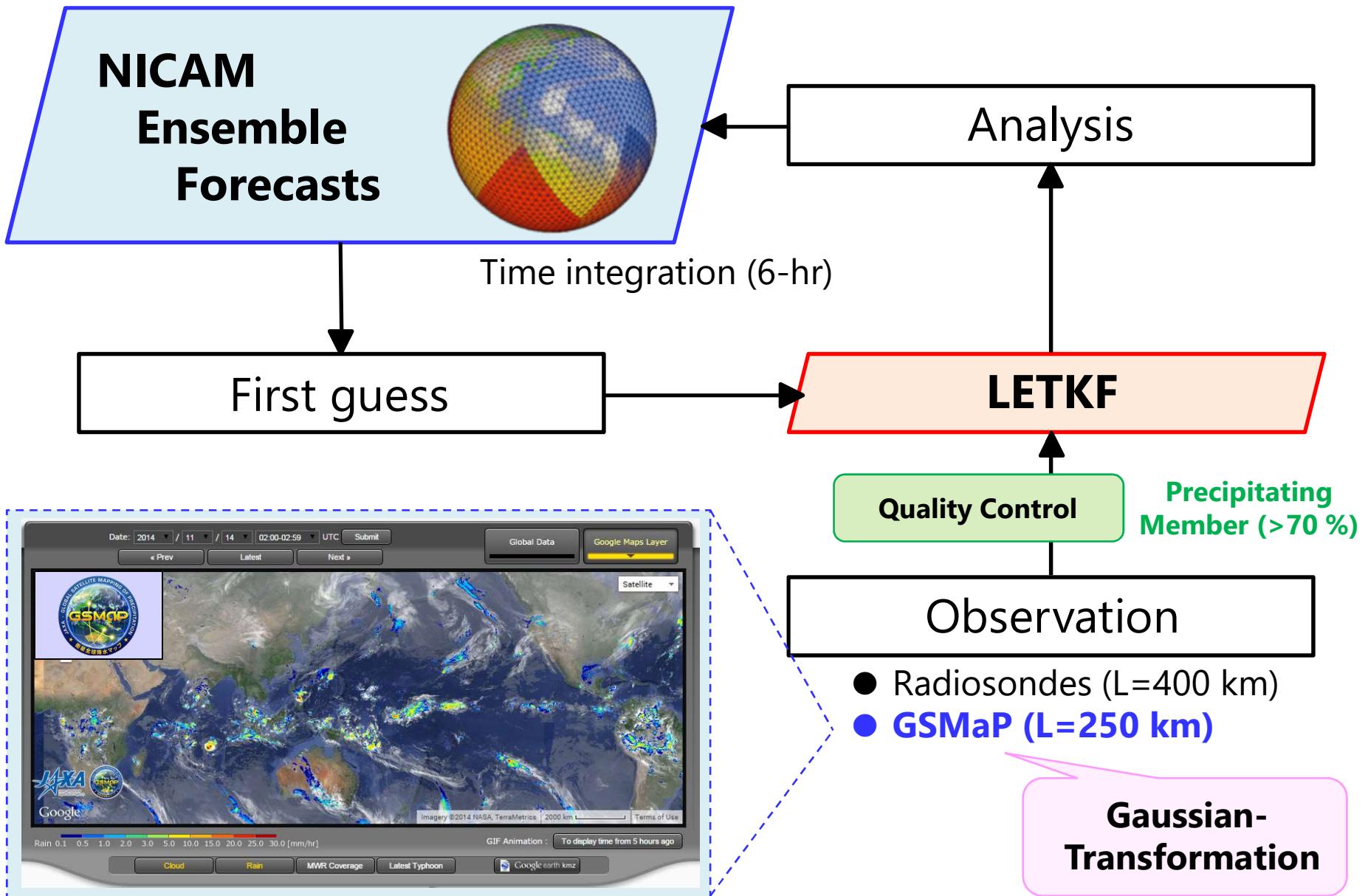
# Outline

- Introduction
- Gaussian Transformation
- DA-cycle experiments
- Forecast experiments
- Parameter estimation
- Summary

# Outline

- **Introduction**
- **Gaussian Transformation**
- DA-cycle experiments
- Forecast experiments
- Parameter estimation
- Summary

# Assimilating GSMaP with NICAM-LETKF



# Gaussian Transformation

$$F^G(\tilde{y}) = F(y) \Leftrightarrow \tilde{y} = F^{G^{-1}}[F(y)] \Leftrightarrow y = F^{-1}[F^G(\tilde{y})]$$

Forward transform (mm/6hr  $\rightarrow$  sigma)

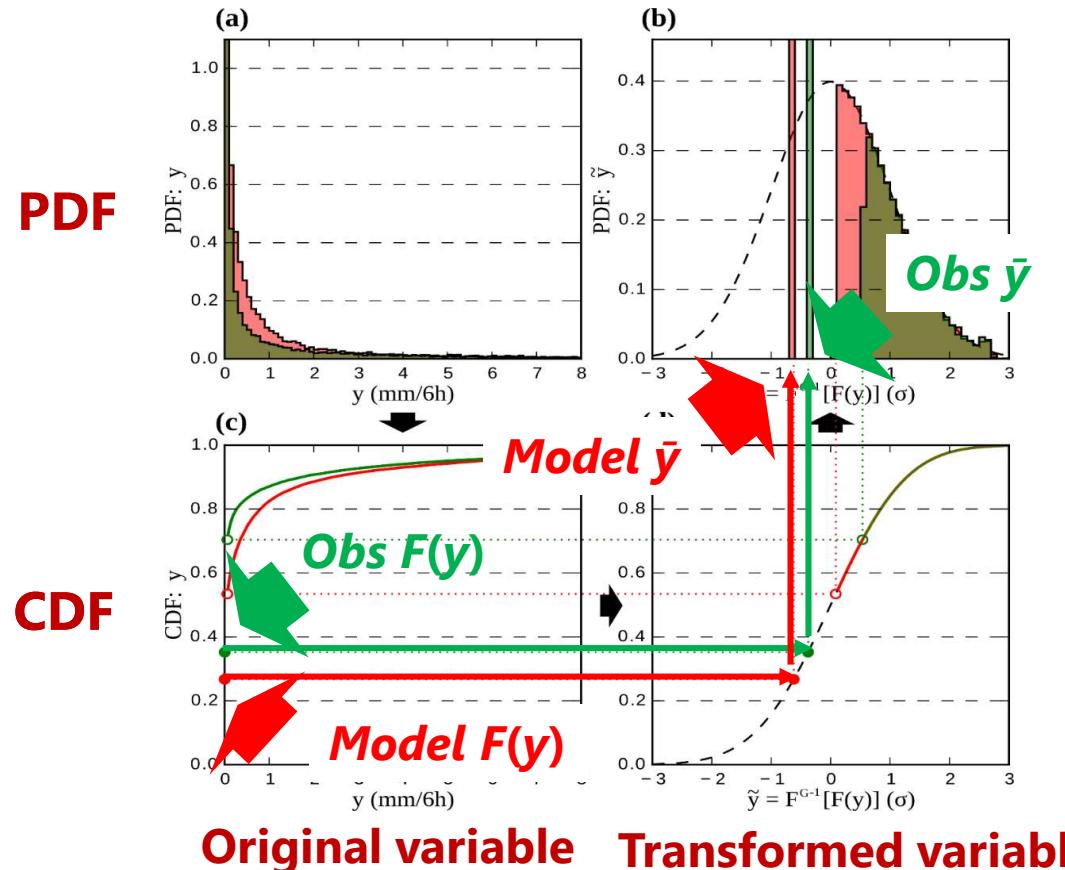
Inverse transform (sigma  $\rightarrow$  mm/6hr)

$y$  : original variable (mm/6hr)

$\tilde{y}$  : Transformed variable (sigma)

$F()$  : CDF of original variable

$F^G()$  : CDF of Gaussian distribution



Step 0: Obtain PDF & CDF

Step 1: Compute  $F(y)$

Step 2: Compute

$$\tilde{y} = F^{G^{-1}}[F(y)]$$

Lien et al. (2013, 2016)