Gravity Wave Predictability and Sources in DEEPWAVE

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Background and Motivation

- Deep Gravity Wave “Hot Spots” over New Zealand & Tasmania:
  - 26 G-V & 13 Falcon flights sampled frequent, but *episodic* gravity wave events
  - *What influences the predictability of gravity waves and deep propagation?*
  - *What are the wave source characteristics?*

- Predictability:
  - Nonlinear numerical models exhibit a sensitive dependence on initial state.
  - Quantify initial state sensitivity & predictability of wave launching and GWs
  - Understand implications for interpreting GW characteristics and fluxes

- “Trailing” Gravity Waves and Sources:
  - Frequent “trailing” gravity waves observed near the New Zealand S. Island
  - Examine role of lateral wind shear associated with SH stratospheric polar jet
  - Identify characteristics and sources of “trailing” and non-orographic waves
Nonlinear model provides a trajectory of model state from initialization \( x_0 \) to the forecast state \( x_f \).

Adjoint Model

Tangent linear model evolves perturbations to the initial state, linearized about nonlinear trajectory.

Adjoint is the transpose of the TLM, and evolves the gradient of a response function \( J \) with respect to \( x_f \) backward through time.

\[
\frac{\partial J}{\partial x_0} = M^T \frac{\partial J}{\partial x_f}
\]

Adapted from Brett Hoover (UW)

Errico (1997); Langland et al. (1995); Doyle et al. (2012; 2014)
Predictability of Deep Propagating GWs

What are the predictability characteristics of deep propagating GWs?

G-V Obs. (29 June 2014, RF12)

- Vertical Vel. (w)
- u (m s\(^{-1}\))
- Terrain

18Z 29 June 2014 (36 h)

- 700-mb u-wind sensitivity and heights
- GW Response Function

- w at 15-23 km

- R. Smith, C. Kruse (Yale)

- Adjoint is used to diagnose sensitivity using a kinetic energy response function (lowest 1 km)
- Sensitivity located ~1200 km upstream near trough
- Adjoint optimal perturbations lead to strong wave propagation (refracted waves south of NZ)
DEEPWAVE G-V Predictability Missions

- G-V predictability flights (w/ drops) sampled initial condition sensitivity regions upstream of S. Alps prior to gravity wave events (3 flights; 6 IOPs).
- Sensitivities located in dynamically active regions (jet, front, convection).
- Evolved adjoint perturbations are large enough to impact wave launching.
- G-V gravity wave “verification” flights (following day) observed deep propagating waves and are used to quantify the predictability.
Moist Adjoint Sensitivity
June-July 2014 Moisture Sensitivity Maximum (m² s⁻¹ (g Kg)⁻¹)

- Maximum sensitivity of the low-level wind speed (GW launching) over the S. Alps (1 km deep response function) to the initial moisture.
- Maxima correspond to the IOP periods in general.
- Largest moisture sensitivity peaks: IOPs 1, 8, 9, lesser 4, 10, 13
**G-V Targeted Dropsonde Impact**

**Adjoint Forecast Sensitivity to Observation Diagnostics**

- **Total Impact**
  - AMDAR
  - Sat. Winds
  - Radiosonde
  - Dropsonde

- **Impact (Per Observation)**
  - Dropsonde
  - AMDAR
  - Radiosonde
  - PIBAL
  - Sat. Winds

**12 h Forecast Error Norm Reduction (J/kg)**

- **Impact using 4D-Var (Drops-No Drops, 6h)**

**Key Points**

- Adjoint (model+DA) observation impact on 12-h forecasts for the 3 predictability flights.
- Targeted dropsondes have the largest impact on a per observation basis, and 4th largest impact overall.
- Forecasts with dropsondes assimilated in 4D-Var differ greatly in wave launching.
40 members: IC/BC’s perturbations from global Ensemble Transform
- Ensemble mean IC/BC interpolated from a NAVGEM analysis
- 93 vertical levels: 38 levels below 10 km, 61 levels below 20 km
- Ensemble runs of w and MFx for 14 June and 04 July
COAMPS Ensemble

Ensemble Gravity Wave Forecasts

IOP 10

• 13 km: low spread, relatively large amplitude
• 40 km: small wave amplitude growth for individual members, large spread in phase
• Little zonal momentum flx aloft, little spread
• Uncertainty in deep layer of decreasing Mfx

Zonal Momentum Flux

IOP 03

• 13 km: Weak wave, some phase uncertainty
• 40 km: Wave grows with height, large phase and amplitude uncertainty near stratopause
• Large uncertainty in zonal momentum flux through depth of the stratosphere
“Trailing” Gravity Waves during DEEPWAVE

Frequent cases during DEEPWAVE of observations of “trailing” gravity waves oriented nearly normal to the terrain ridge.

M. Taylor, D. Pautet

8:06-8:32
High wind speeds imply a large component of wind normal to horizontal wavevector (and intrinsic horizontal group velocity), which allows advection of wave energy perpendicular to wavevector (parallel to phase lines) (see Dunkerton 1984, Sato et al. 2009, Vosper 2015).

Zonal momentum flux in the stratosphere shows refraction due to shear.
Gravity Waves in Sheared Flow

Idealized Shear Experiments

- Stronger shear leads to greater wave refraction and further propagation of the wave energy into the jet and downstream
- Marked asymmetries are apparent in the waves due to the refraction into the jet and absorption at directional critical lines
New Zealand terrain launches gravity waves that are refracted by the shear in a similar manner to the idealized hill.
Sensitivity of TWs to Topography

CNTRL: Real Terrain
W at 30km

CNTRL: 0.8 m/s

RIDGE: a smooth ridge with $h_m = 3$ km

RIDGE: 0.5 m/s

PEAKS: Multiple (4) peaks along ridge

PEAKS: 1.8 m/s

Zonal Momentum Flux in Wave Number Space

CTRL (210, 480)

RIDGE (270, 480)

PEAKS (140, 320)

Wavelength, wave strength, and momentum fluxes are sensitive to topography, suggestive that nature of the terrain is closely linked to the trailing gravity waves characteristics in stratosphere.
• Adjoint identifies most sensitive portion of the Alps for wave launching
• Trailing waves located to S of NZ are launched from S. Alps (south of Cook)
• Excitation of waves by non-orographic sources for detached trailing GWs
Gravity Wave Source Identification
Non-Orographic Waves (RF25)

- Adjoint identifies exit region of jet as a possible source (in at least 2 cases)
- GWs excited by non-linear imbalance in the high-amplitude pattern
Summary and Conclusions

• Gravity Wave Predictability
  – Sensitive regions (particularly moisture) are in physically meaningful locations important for wave launching: troughs, jets, and convection
  – DEEPWAVE dropsondes have a large positive impact on gravity wave launching and impacts the explicit prediction of gravity waves
  – Ensembles highlight large uncertainties in the prediction of mountain wave characteristics and momentum flux

• Gravity Wave Sources
  – Evidence of GW refraction due to lateral shear from the SH polar jet, explains the existence of “trailing” gravity waves in the lee of New Zealand
  – Implications for momentum fluxes and GW drag parameterizations
  – Preliminary adjoint results show non-orographic GWs generated in jet exit and along fronts in moist convection
Trailing Gravity Waves

• Trailing Wave source?
  – GW Launching by Topography

• What determines the TW characteristics?
  – Topography
  – Vertical and lateral wind shear

• How does shear impact the GWs?
  – Vertical directional shear filters out shorter waves
  – The left branch of waves are dissipated or refracted due to lateral shear

• Why are TWs present in stratosphere?
  – TWs in stratosphere are more apparent due to shear filtering
  – Development of TWs may require wave refraction due to strong lateral shear

According to Eliassen-Palm Theorem: For linear stationary waves, the wave energy flux is related to momentum flux as

\[
\frac{p'w'}{\bar{\rho}(z)} = -\left[Uu'w' + Vv'w'\right]
\]

• Wave energy flux is much reduced from troposphere to stratosphere
• In stratosphere, TWs are consistent with EP theorem.
Gravity Wave Source Identification
Trailing Waves in IOP 6 (RF07)

COAMPS Adjoint Optimal Perturbation w (15 hPa); 1800 UTC 19 June (12 h)

- Adjoint identifies most sensitive portion of the S. Alps for wave launching
- Trailing waves located to S of NZ are launched from S. Alps (south of Cook).
Gravity Wave Source Identification
Non-Orographic Waves (RF24)

AIRS 2.5 hPa

250-hPa heights, winds

250-hPa adjoint optimal pert. (KE)

w at 20-hPa

Response Function

• Adjoint identifies left exit region of jet as possible source
• GWs excited by decelerations in high-amplitude pattern.
Sensitivity maximum is locations upstream of the response function near the exit region of a very strong jet and near 7 km near the top of a region of saturated rising motion (e.g., grid scale precipitation).
Gravity Wave Source Identification

Non-Orographic Wave Case

Adjoint optimal perturbation project on to the gravity wave packet generated by the exit region of the jet and precipitation processes, demonstrating the physical significance of the adjoint sensitivity.
Adjoint Optimal Perturbation Growth

- Rapid growth for 24 & 28 June cases - slower growth for 13 June case.
- Growth most rapid at medium (synoptic) scales.

FFT Spectrum (0 h, 24 h)