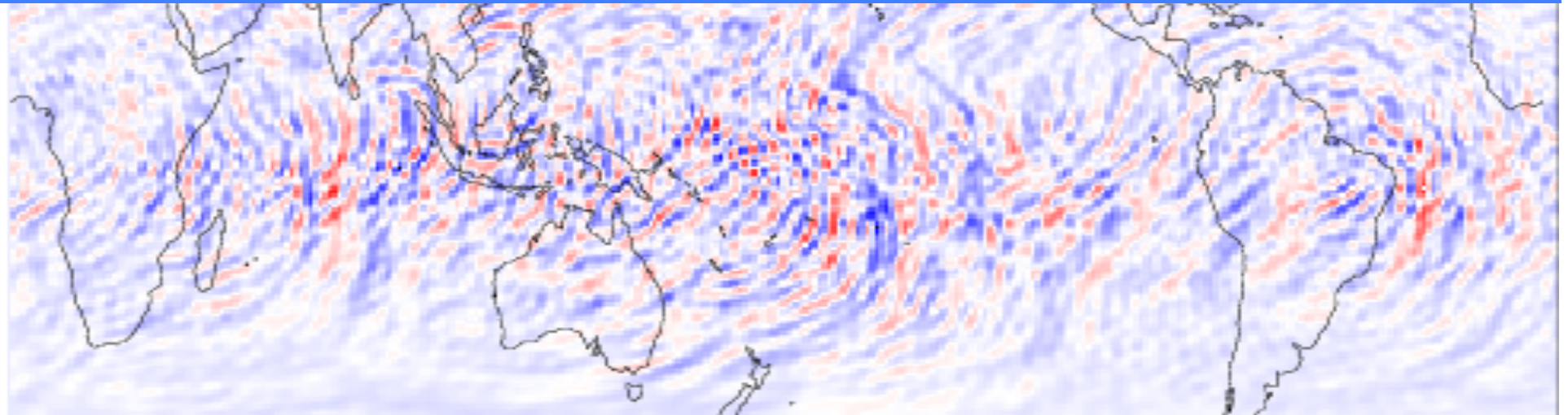


# Tropical Gravity Wave Effects on Circulation and Climate



*M. Joan Alexander and David Ortland,  
NorthWest Research Associates  
Boulder, Colorado USA*

# Stratospheric Winds and Regional Climate

Skillful long-range prediction of the North Atlantic Oscillation

The NAO is highly predictable months ahead [Scaife et al., 2014]

Skill requires deep atmosphere coupling with ocean & sea ice.

Stratospheric Quasi-biennial Oscillation (QBO) is an important source of skill.

ENSO teleconnection has a stratospheric pathway.



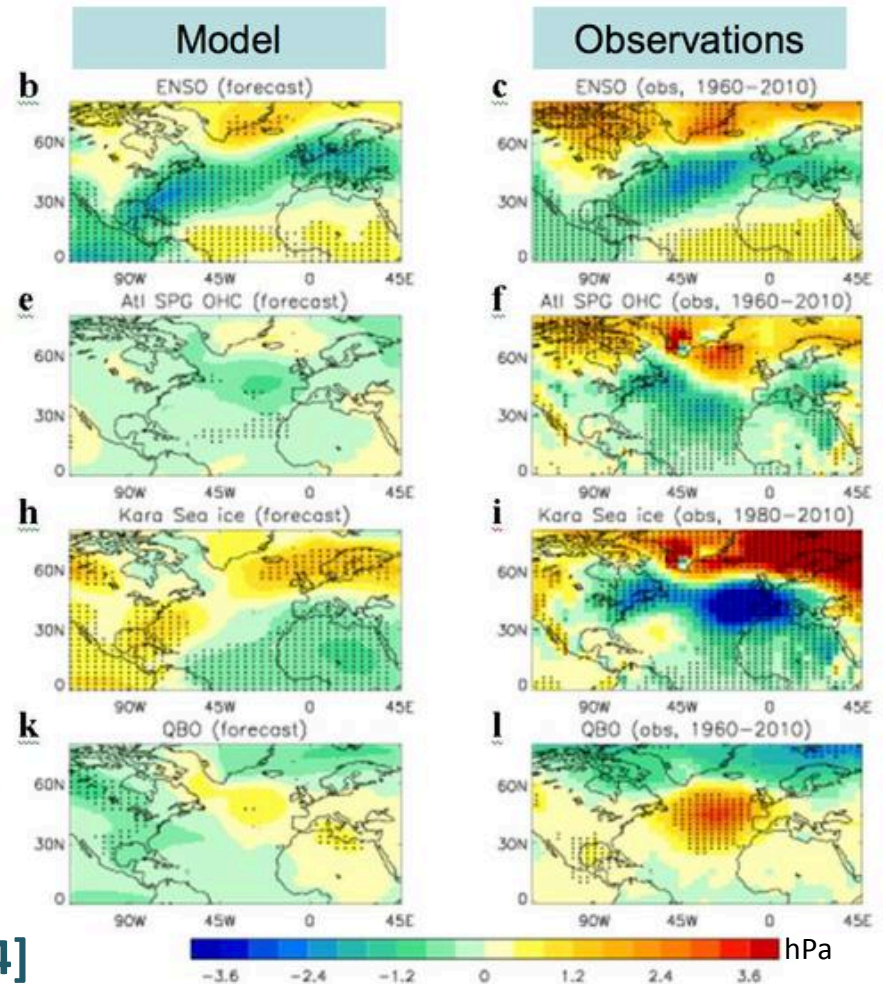
## NAO sources of skill

ENSO

Atlantic SST

Kara sea ice

QBO

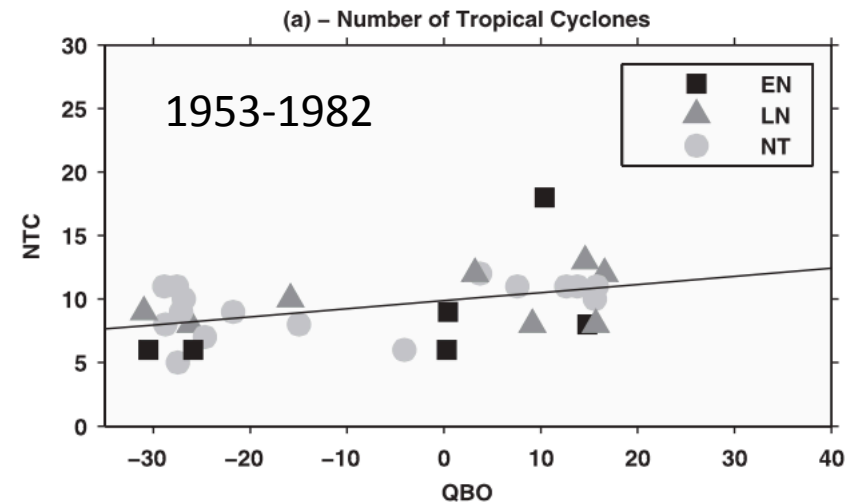


Scaife et al. [2014]

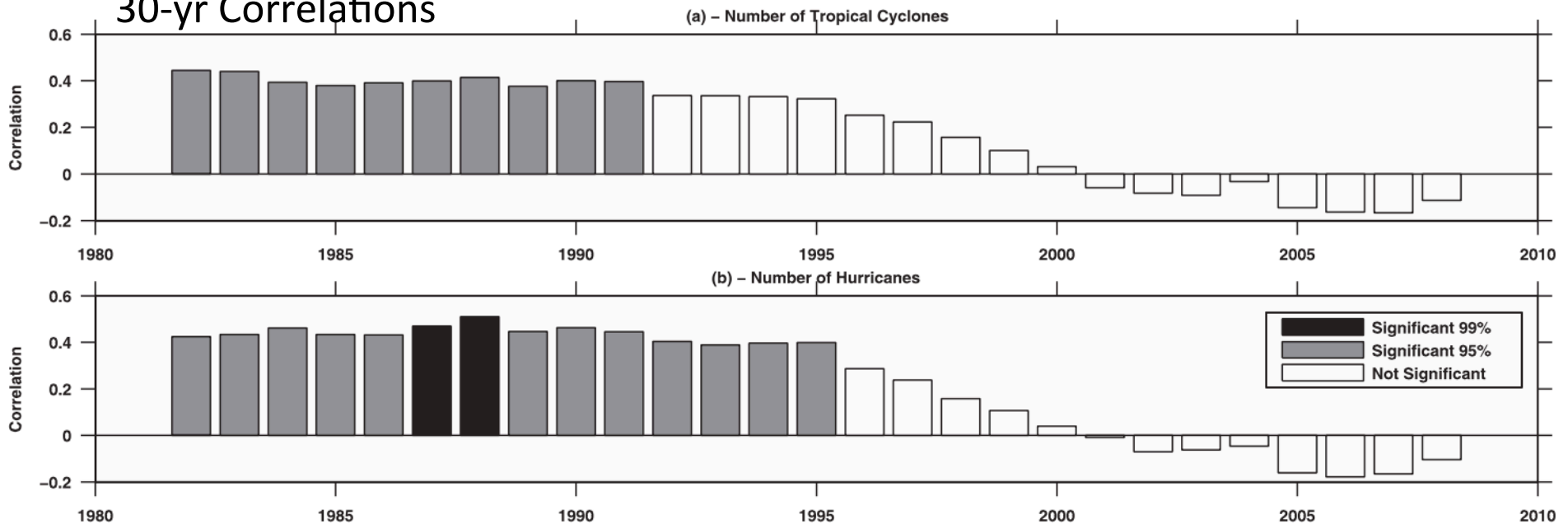
# QBO & Tropical Cyclone Activity

- QBO wind correlated with Tropical Cyclones 1953-82
- Possible mechanism: tropopause wind shear disrupts cyclone intensification
- Correlation not significant in recent decades

**Camargo and Sobel [2010]**



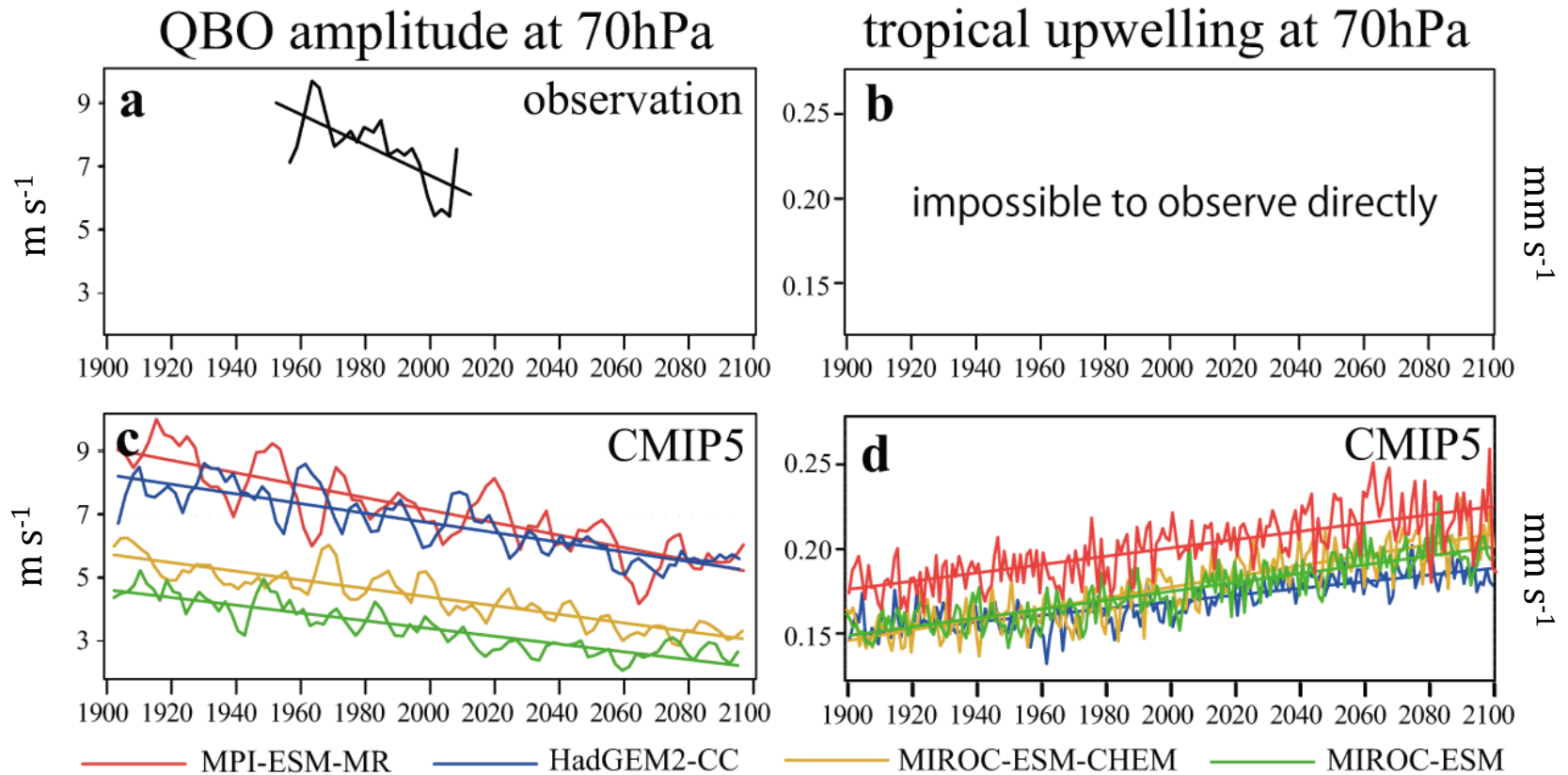
## 30-yr Correlations



# QBO Changes with Climate?

Evidence the QBO may be changing with warming climate

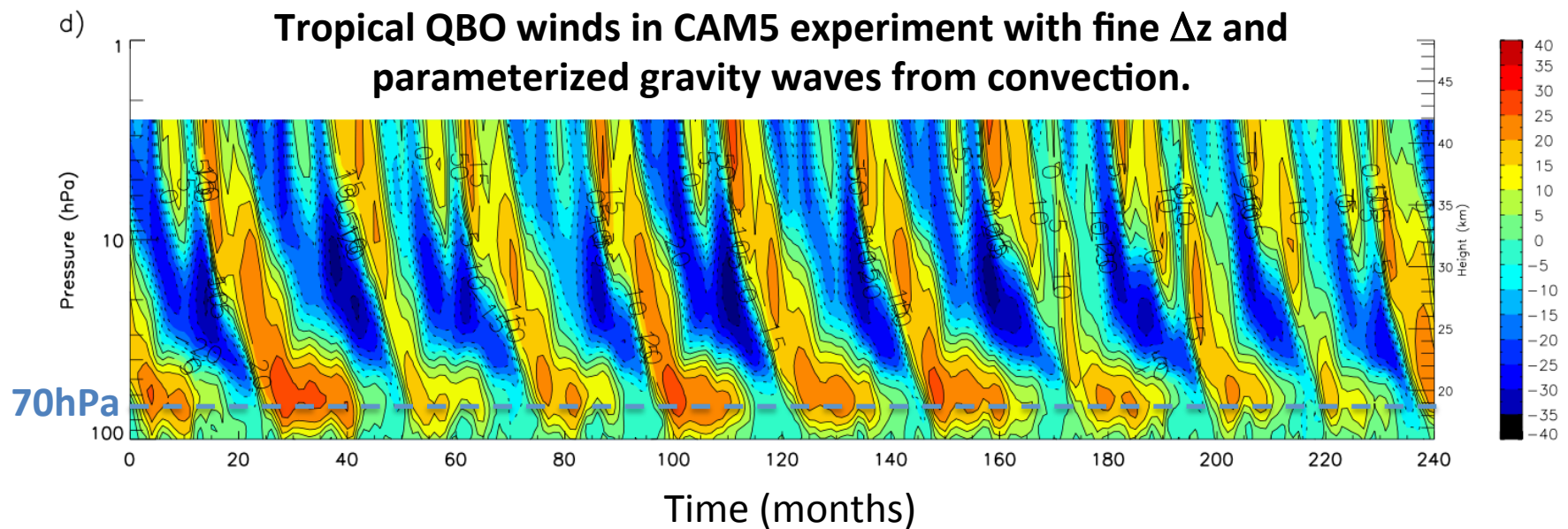
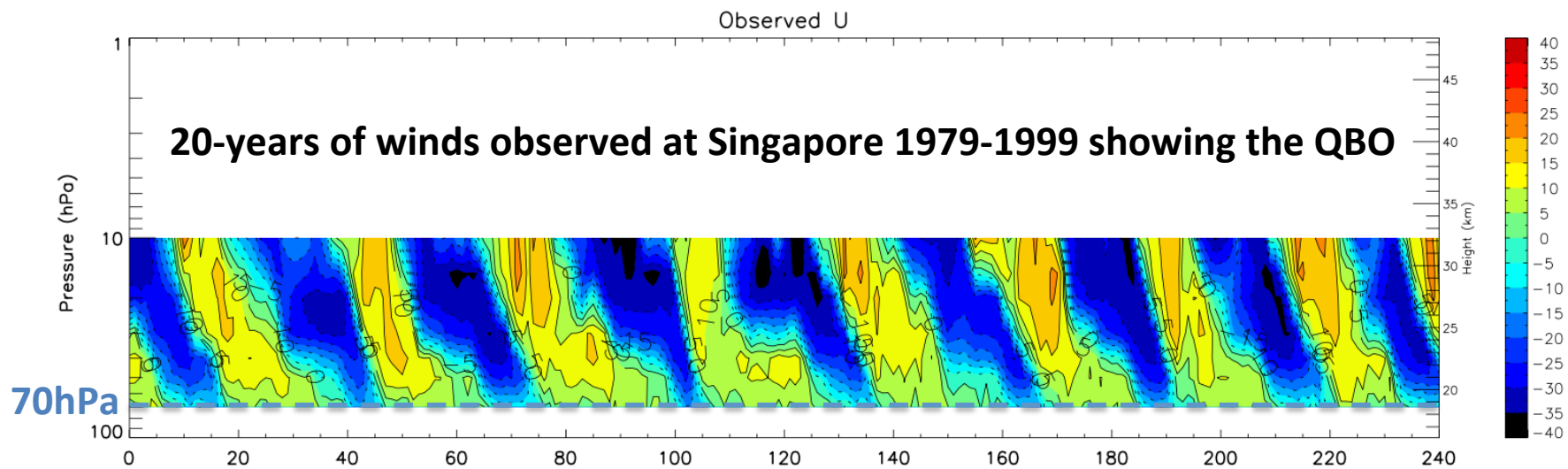
**Kawatani and Hamilton [2013]:**



- Evidence that QBO winds near tropopause have grown weaker with time
- Consistent with model predictions that the Brewer-Dobson circulation is growing stronger, and may continue to do so in the future.
- Models also tend to predict the QBO period will get longer in the future.

# QBO in Models

Richter et al [2015]: QBO in the NCAR 60-Level CAM5 Model



# Gravity Waves and the QBO

**Kawatani et al. [2010]:** Analysis of T213 spectral model with 300m vertical resolution and no gravity wave parameterization

Internal inertia-gravity waves

Wind shear

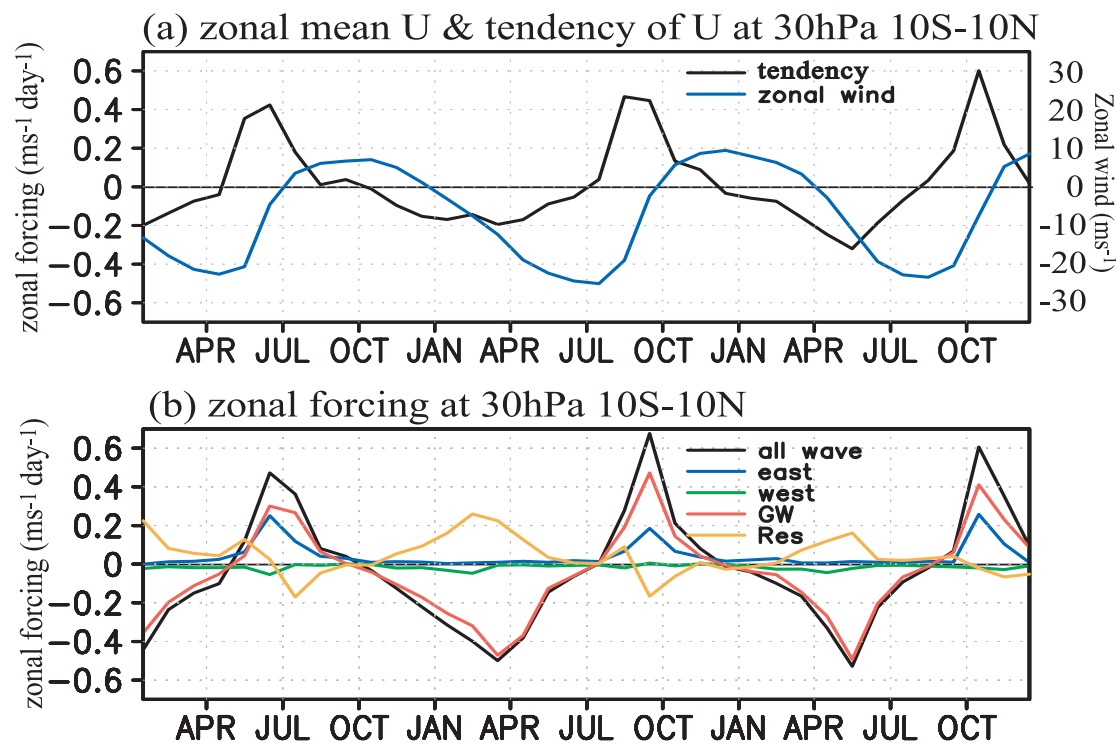
EQWs

Eastward  
Westward

~25%–50%  
Up to 10% during weak westward wind phase

~50%–75%  
 $\lambda_x \lesssim 1000$  km main wave forcing\*

\*Some additional contribution from extratropical Rossby waves

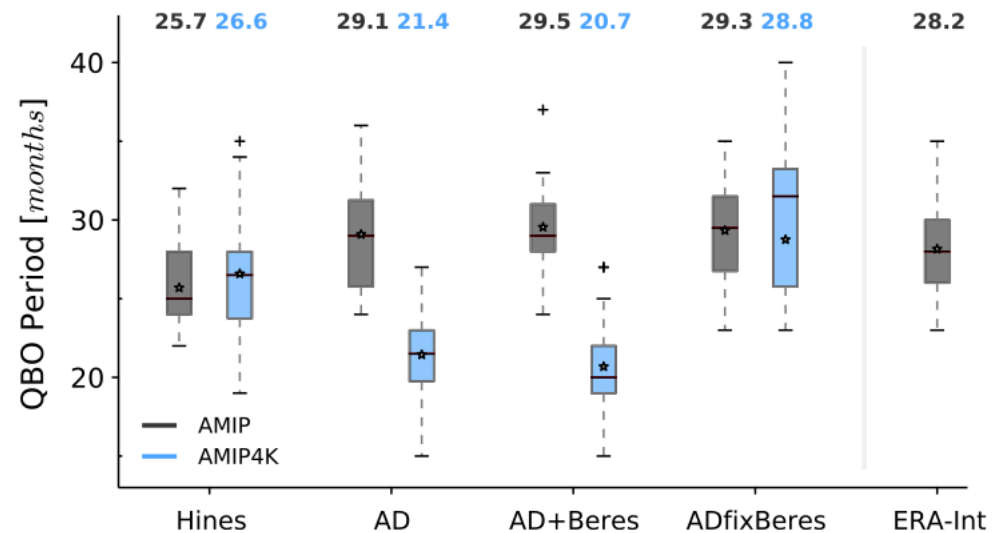
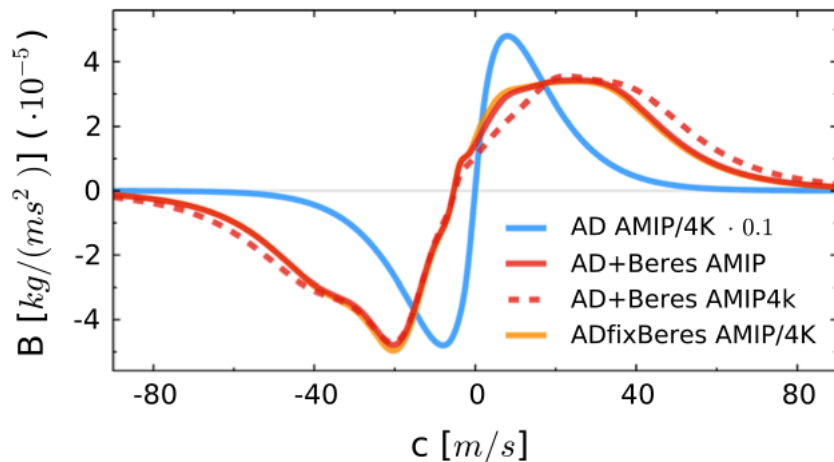


# QBO Changes with Climate?

## The quasi-biennial oscillation in a warmer climate: sensitivity to different gravity wave parameterizations

Schirber et al [2014]

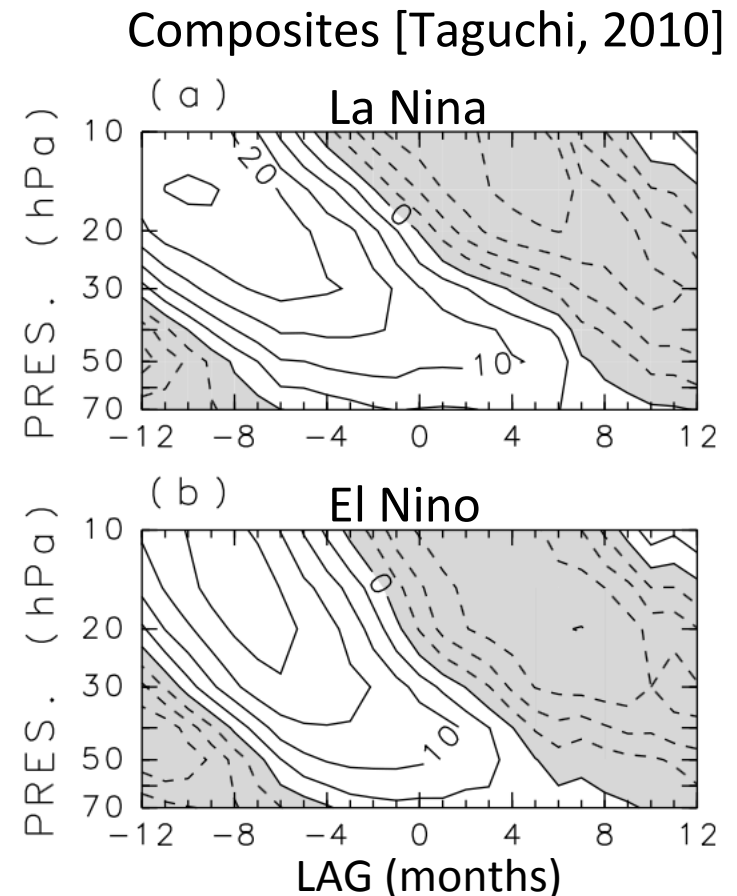
Effects of changes to the model's gravity wave scheme on the simulated QBO.



- Subtle changes in the gravity wave parameterization details gave different predictions for changes in the QBO in a warmer climate.
- Different cases have almost the same average momentum flux spectrum, but assume either frequent weak waves or intermittent stronger waves.

# Observations and Speculations in the Literature

- **Taguchi [2010]:** Evidence that
  1. QBO period shorter El Nino and longer La Nina.
  2. QBO wind amplitude 10% stronger La Nina.
- **Geller et al. [2016]** suggest:
  1. GW momentum flux is higher during El Nino than La Nina, but
  2. The phase speed spectrum is broader during La Nina than El Nino.





# Model Study with Observational Validation

- Study of tropical gravity waves in differing ENSO conditions
- Observationally constrained model:
  - Global idealized primitive equation model

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- Study of tropical gravity waves in differing ENSO conditions
- Observationally constrained model:
  - Global idealized primitive equation model
  - **Forced with latent heating derived from observed precipitation rates**
  - Zonal mean state constrained to MERRA reanalysis
  - Validation of waves with 3-dimensional limb-sounding momentum fluxes



SOURCES

# Model Study with Observational Validation

- Study of tropical gravity waves in differing ENSO conditions
- Observationally constrained model:
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  - Forced with latent heating derived from observed precipitation rates
  - **Zonal mean winds and temperatures constrained to MERRA reanalysis**



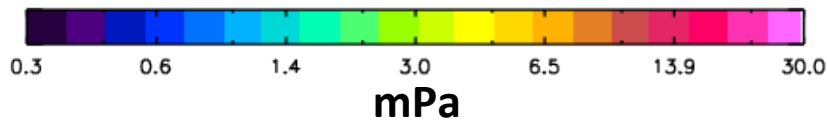
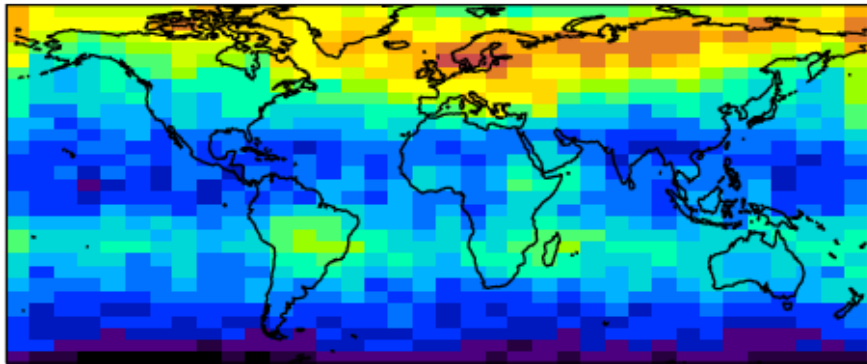
# Model Study with Observational Validation

- Study of tropical gravity waves in differing ENSO conditions
- Observationally constrained model:
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  - Zonal mean state constrained to MERRA reanalysis
  - **Validation of waves with 3-dimensional limb-sounding momentum fluxes**



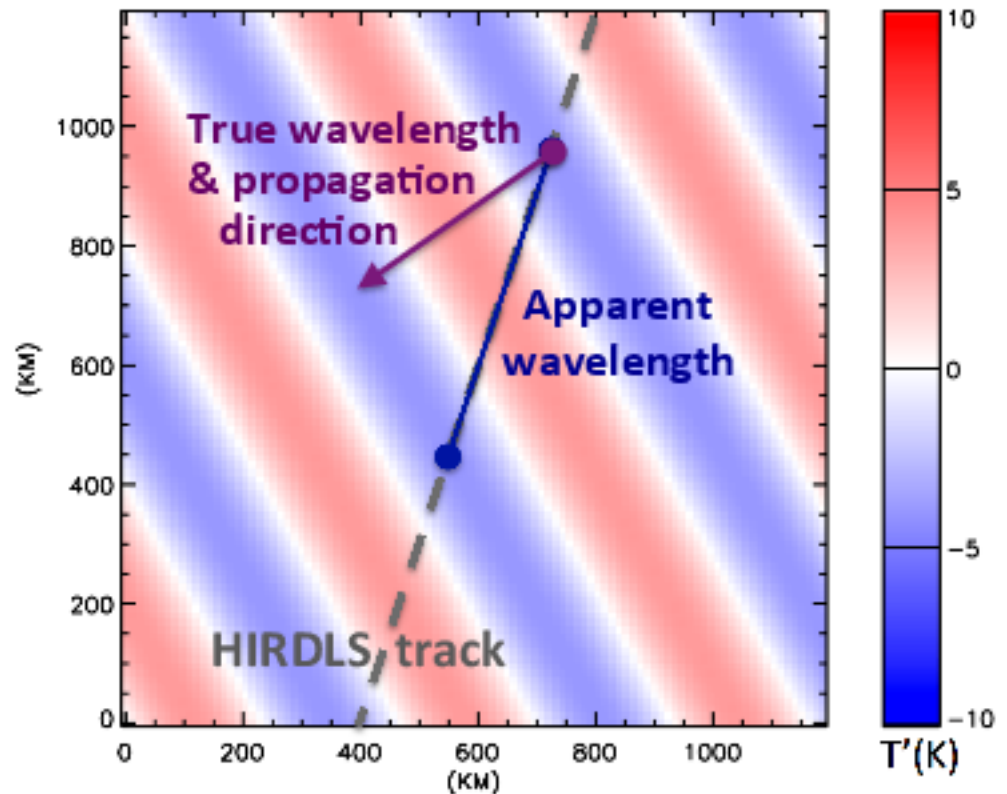
# HIRDLS "2D" Gravity Wave Momentum Flux

HIRDLS "2D" Momentum Flux



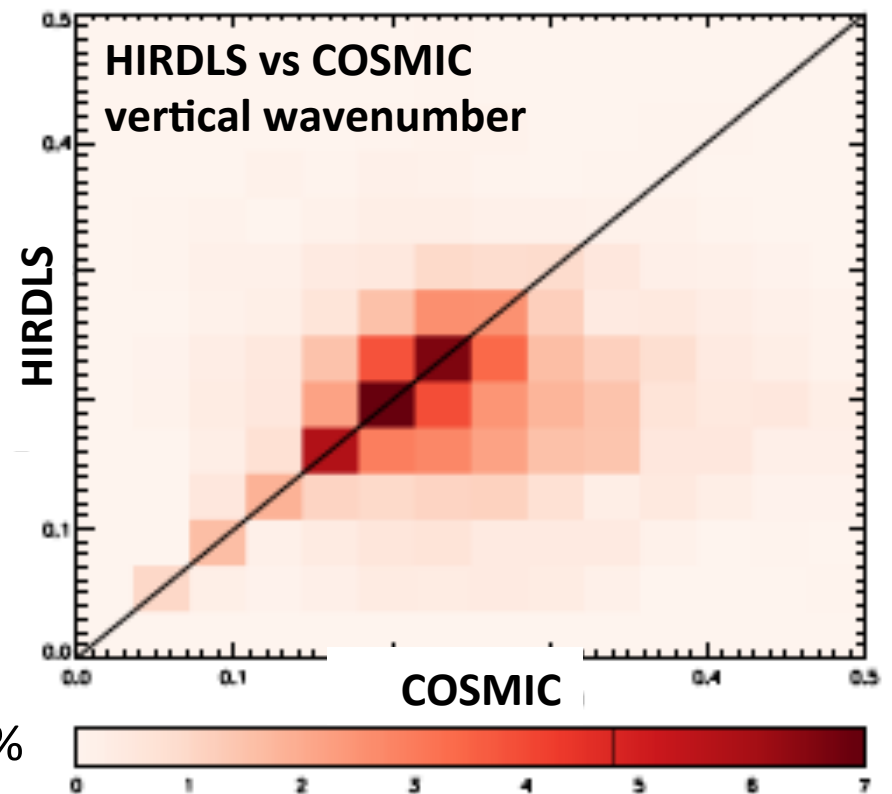
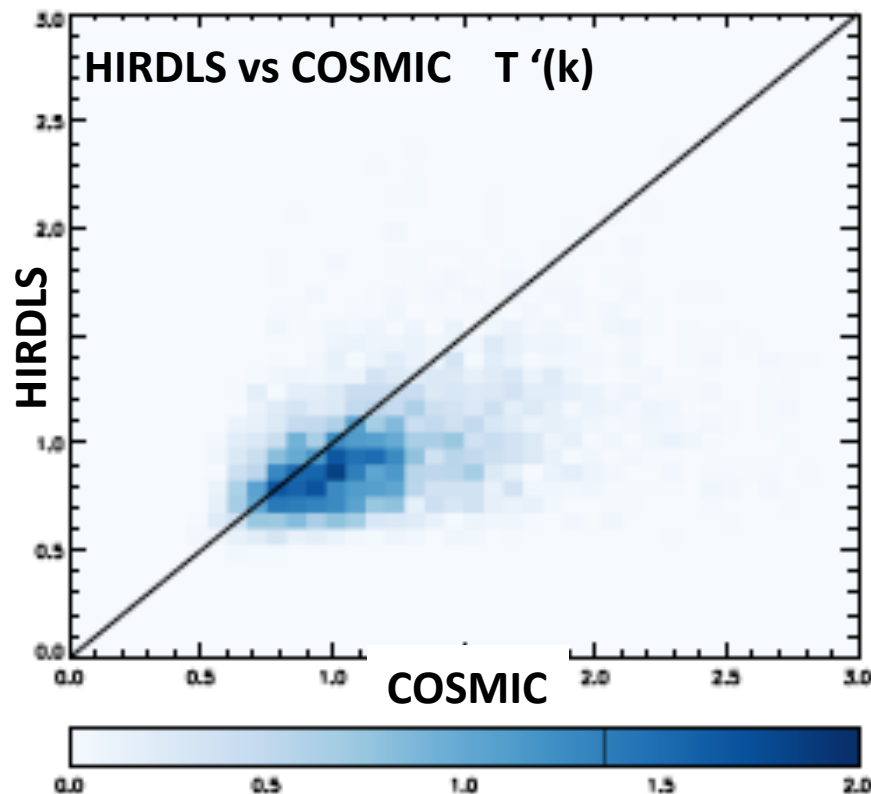
HIRDLS has best coverage and resolution in lower stratosphere. Method is limited to a "2D" approach due to the satellite sampling pattern.

Need "3D" information off the measurement track to correct the major known bias in these momentum fluxes



# 3D Method: Combines GPS and HIRDLS

- Previous analysis compared amplitudes of largest wave components of co-located profiles, suggested HIRDLS & COSMIC RO temperatures have approximately same vertical resolution [Gille et al 2008; Barnett et al 2008].
- **Wright et al. (2011)**: HIRDLS resolution = 1 km, COSMIC slightly better, and COSMIC amplitudes slightly larger.



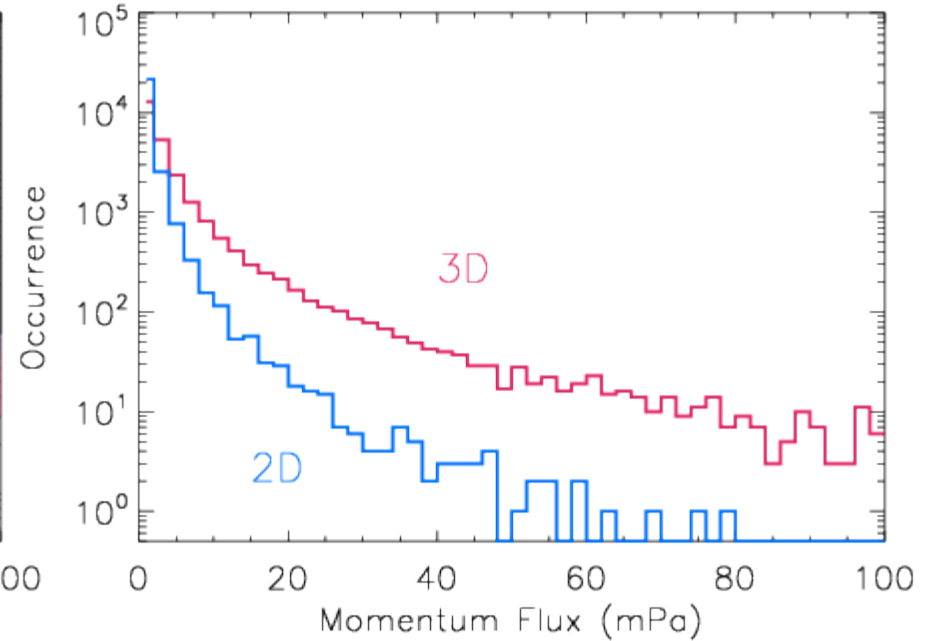
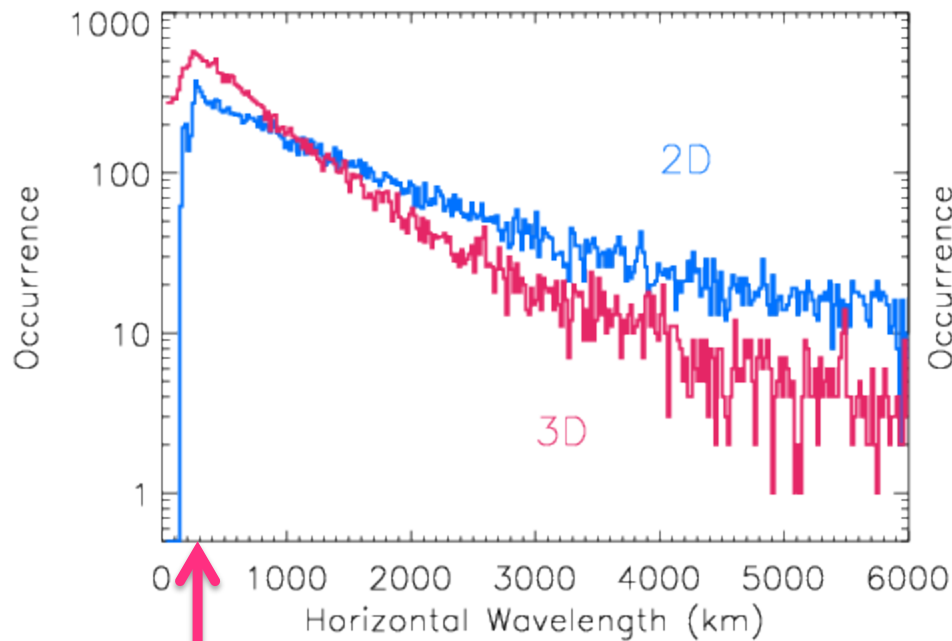
# Combined GPS and HIRDLS

Alexander [2015]

## Distributions of Horizontal Wavelength and Momentum Flux

2D = HIRDLS-only

3D = HIRDLS+COSMIC



Median horizontal wavelength change is small:

270 km → 250 km

Mean wavelength decreases substantially:

888 km → 354 km

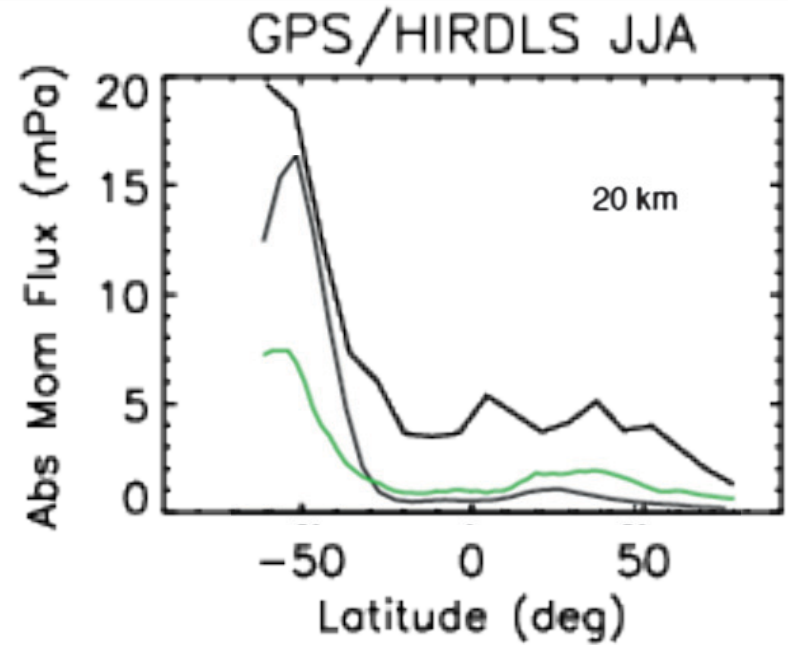
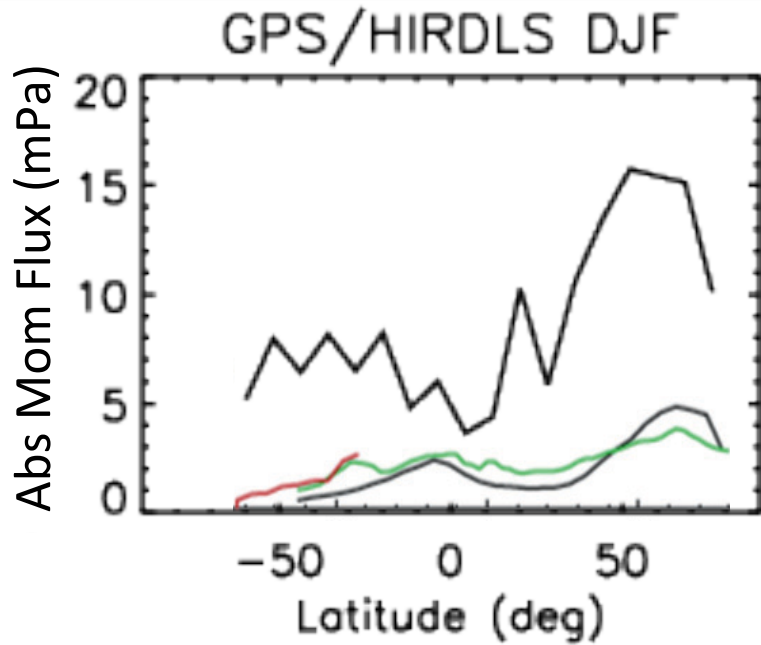
Mean absolute momentum flux increases by a factor of 3.7:

1.7 mPa → 6.4 mPa

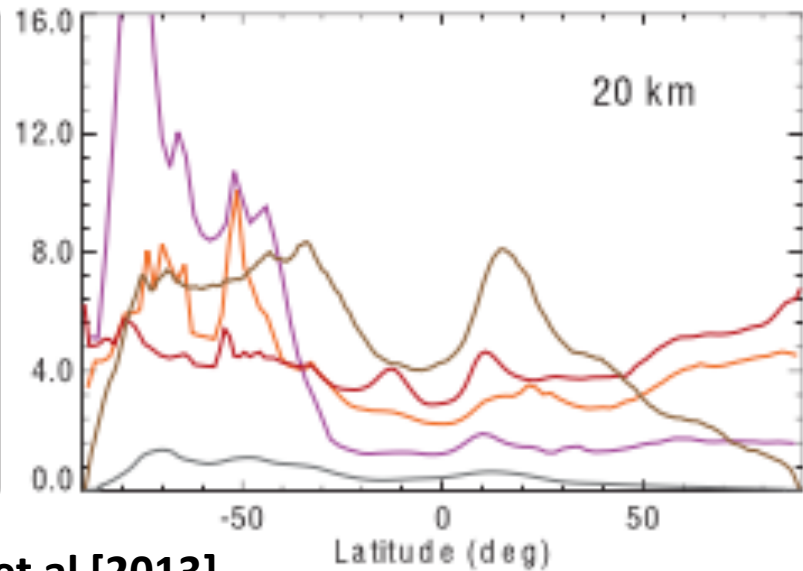
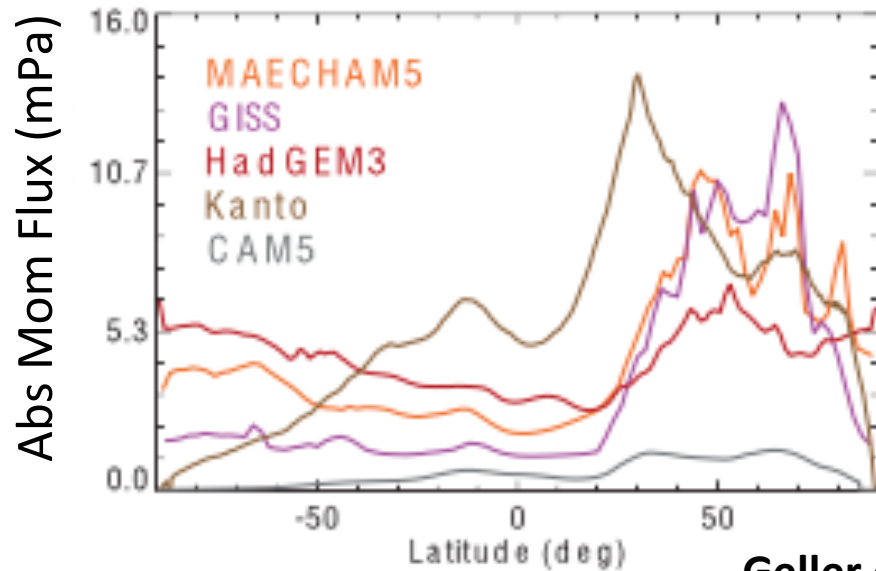
- Amplitudes display long large-amplitude tails.

# Compare to Geller et al [2013] Momentum Flux

OBSERVATIONS



MODELS



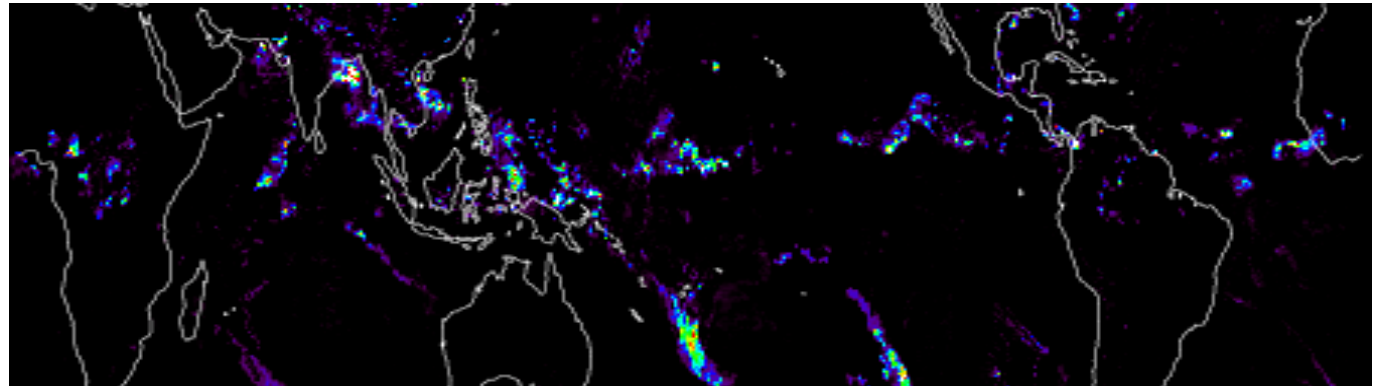
Geller et al [2013]



# Tropical Wave Model Description

30 minute CMORPH rainrate

- Dry global primitive equation model [Ortland et al 2011]
- **Waves forced by latent heating**  
 $Q(x,y,z,t)$   $30^{\circ}\text{S}-30^{\circ}\text{N}$

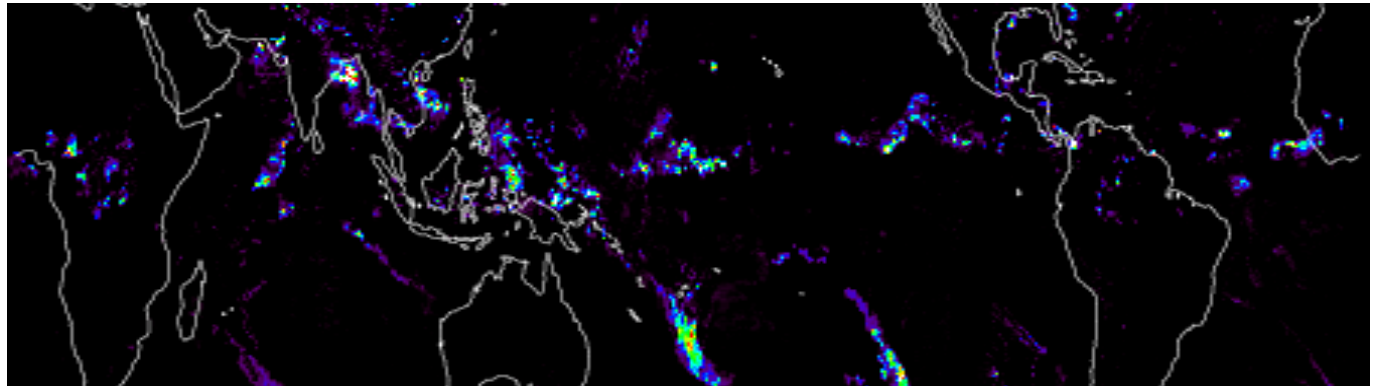


- **Heating derived from CMORPH rain observations** [Joyce et al. 2004]  
Method of Ryu et al. [2011] includes convective and stratiform heating profiles

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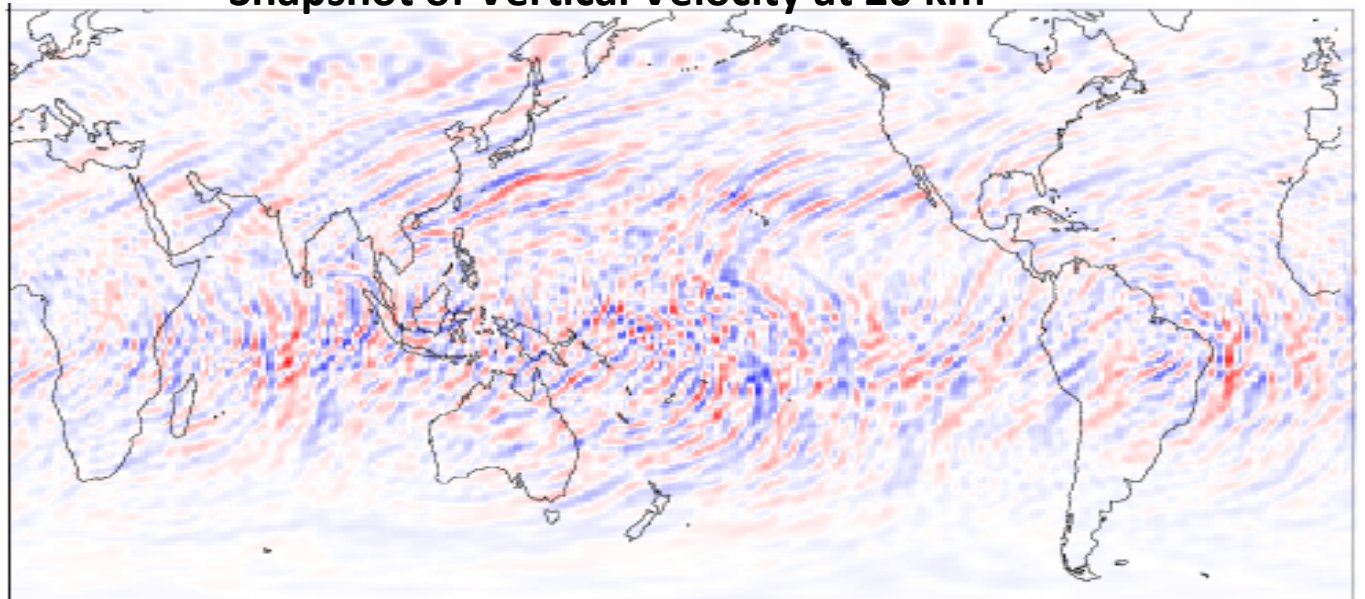
30 minute CMORPH rainrate



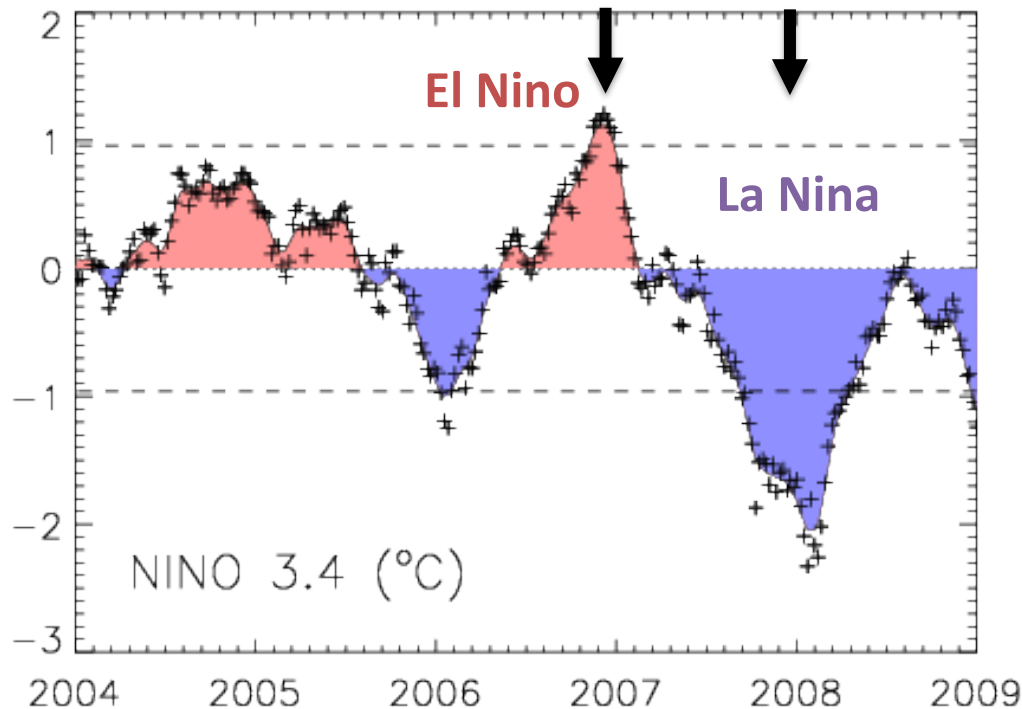
- **Heating derived from CMORPH rain observations** [Joyce et al. 2004]  
Method of Ryu et al. [2011] includes convective and stratiform heating profiles

- Variable heating:  
resolution  $\Delta t=30\text{min}$
- T120,  $\Delta z=500\text{m}$   
resolution designed to simulate waves observed with HIRDLS+GPS method

Snapshot of Vertical Velocity at 20 km



# Model Comparisons: Dec 2006 vs Dec 2007



Yuan et al. [2013]:

El Niño Mean

La Niña Mean

QBO Period  
= 25.0 mo

QBO Period  
= 31.8 mo

QBO Amplitude  
= 1.15

QBO Amplitude  
= 1.24

El Niño Case

La Niña Case

**Dec 2006**

**Dec 2007**

QBO Period  
= 20.9 mo

QBO Period  
= 25.1 mo

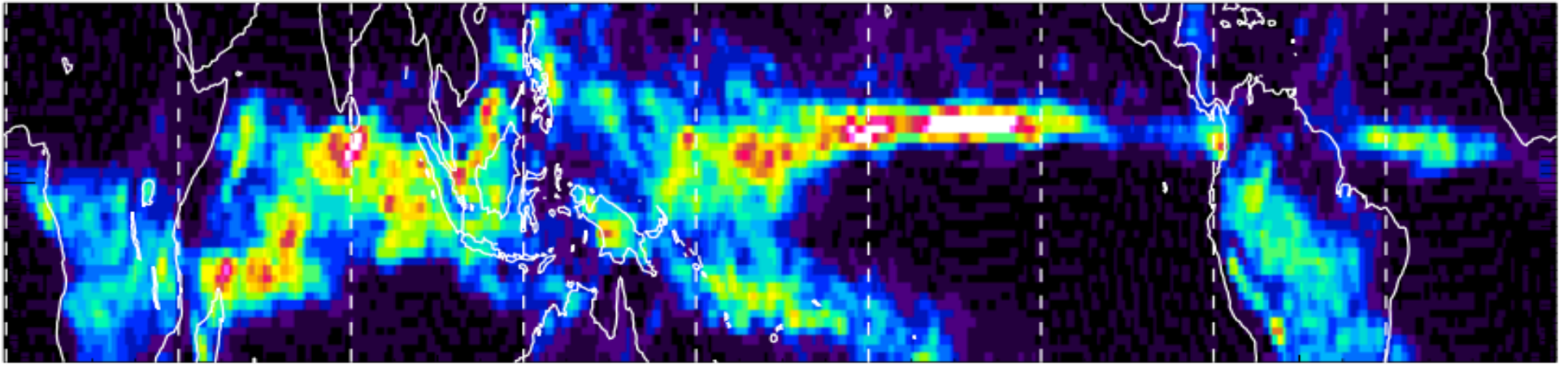
QBO Amplitude  
= 0.90

QBO Amplitude  
= 1.49

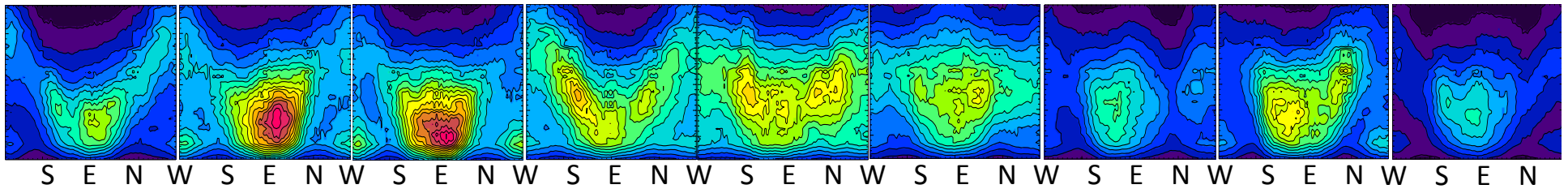
→ Geller et al. [2016] hypotheses

- The **El Niño** year has significantly shorter QBO period  
→ **larger gravity wave fluxes**
- The **La Niña** year has significantly larger QBO amplitude  
→ **broader phase speed spectrum**

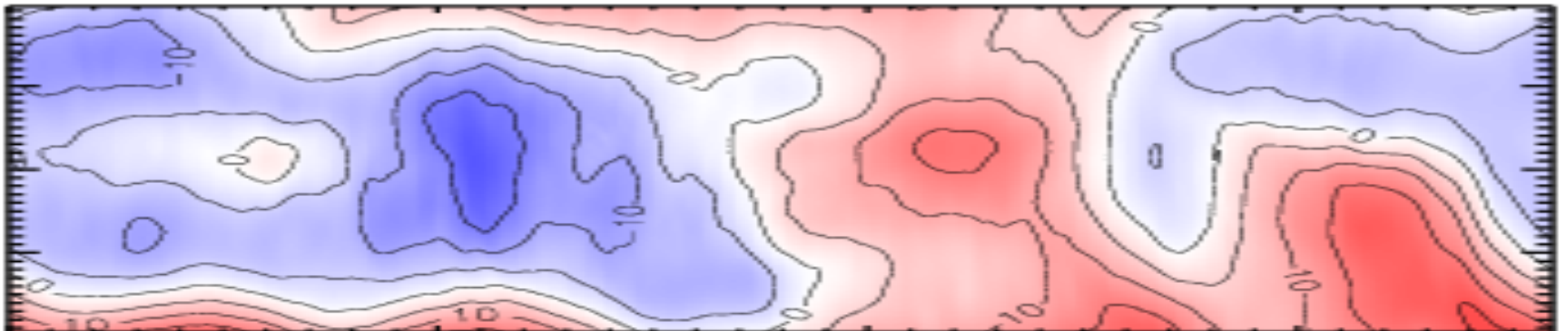
# Total heating Dec06



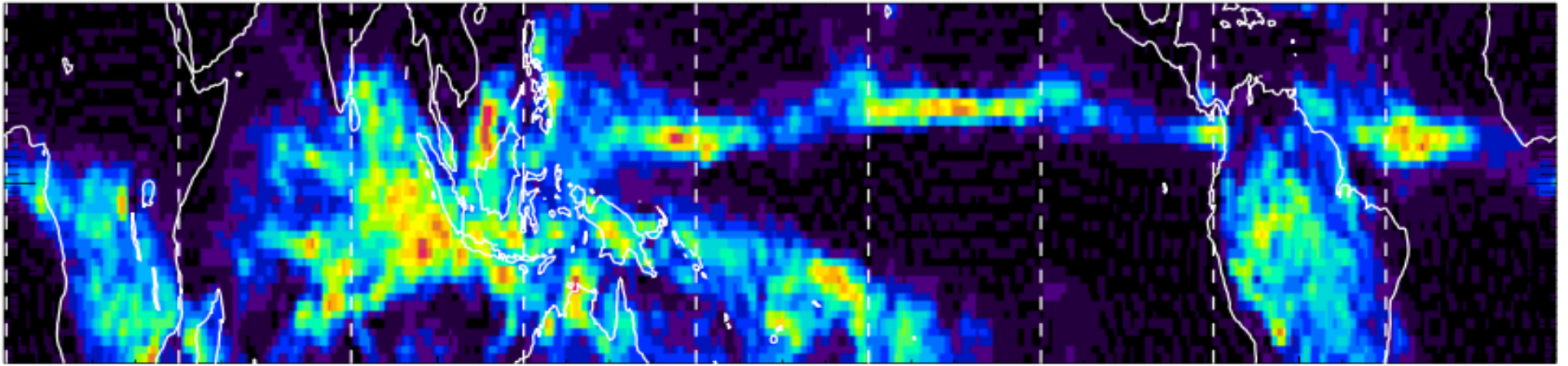
Flux at 17 km: Azimuth (W-S-E-N-W)/ Phase Speed (0-32 m/s)



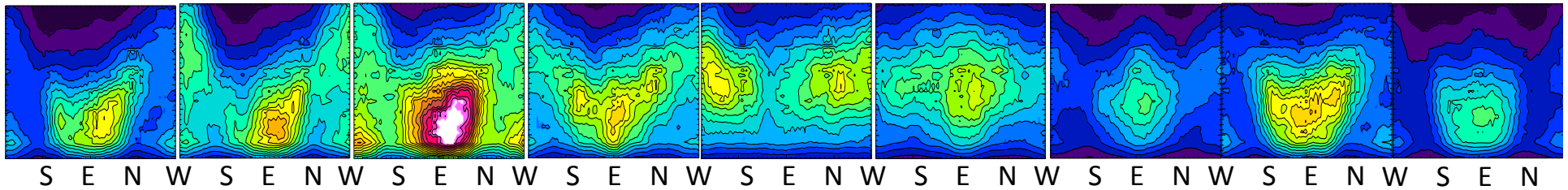
Mean Zonal Wind (m/s) at 95 hPa



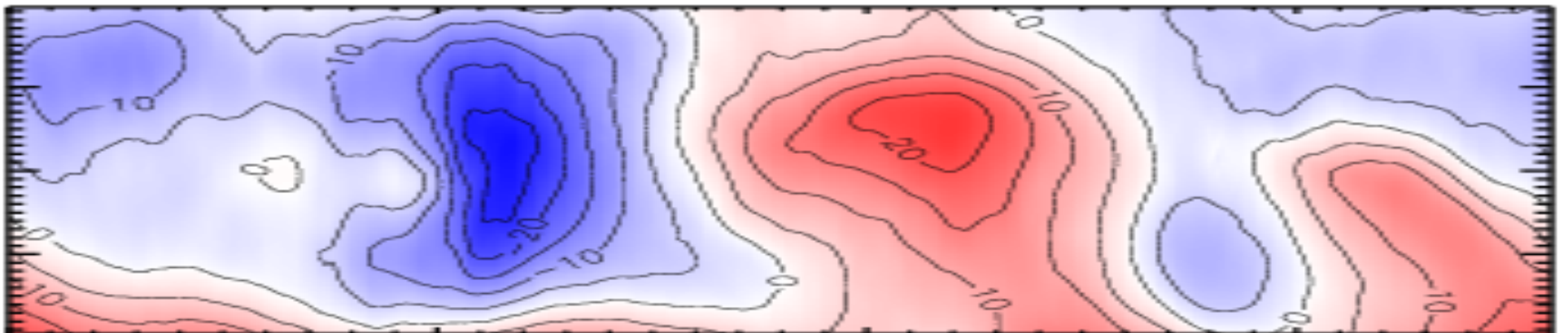
# Total heating Dec07



Flux at 17 km: Azimuth (W-S-E-N-W)/ Phase Speed (0-32 m/s)



Mean Zonal Wind (m/s) at 95 hPa



# Compare Model & Observations

Gravity wave momentum fluxes at 20km:

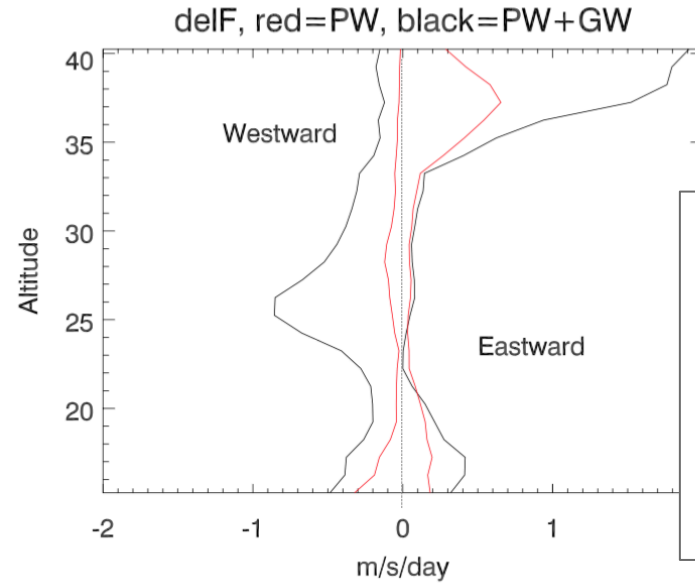
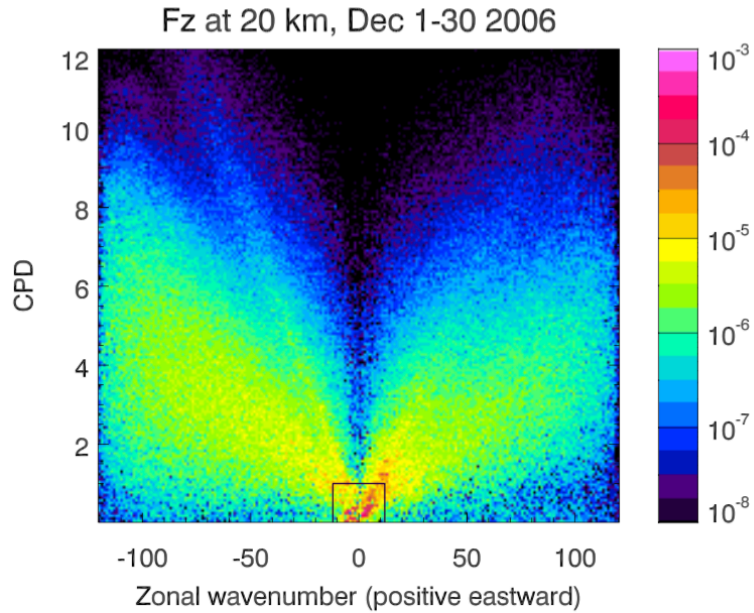
20°S—20°N	<u>Model</u>	<u>GPS/HIRDLS</u>	<u>HIRDLS-only</u>
Zonal mean flux Dec 2007	2.6 mPa	3.4 mPa	0.8 mPa
Fraction zonal flux Dec 2007	76%	75%	N/A

Zonal mean flux Mar-May 2010 PreConcordiasi balloons: 3.9 – 5.4 mPa\*  
(balloons include a broader spectrum of waves)

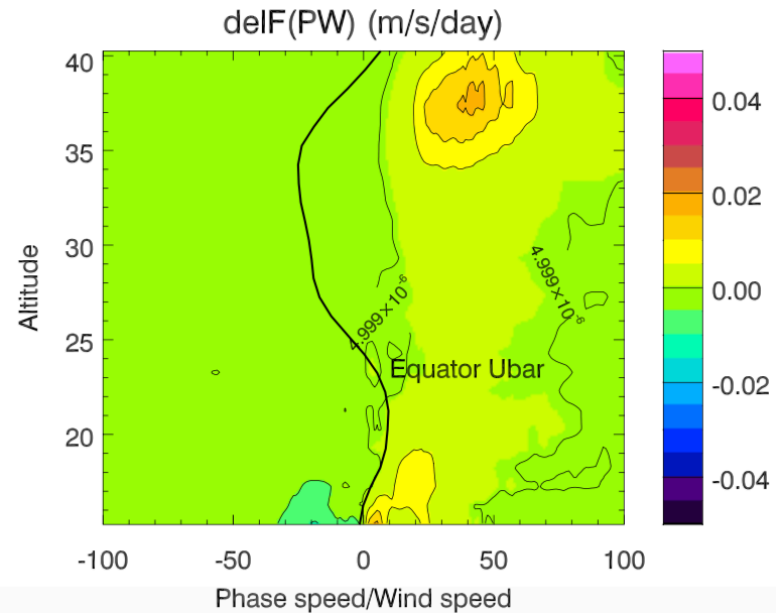
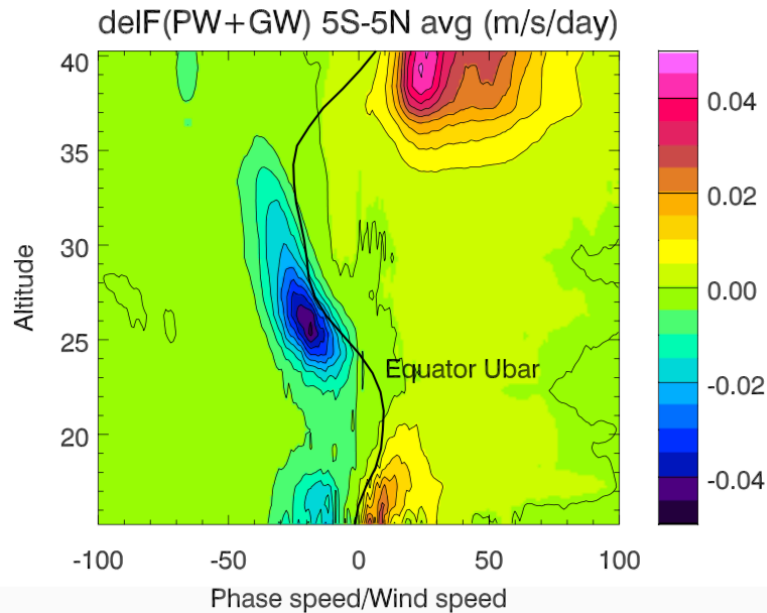
\*[Jewtoukoff et al., 2013]

# Model Results: Force on the Flow

December 2006

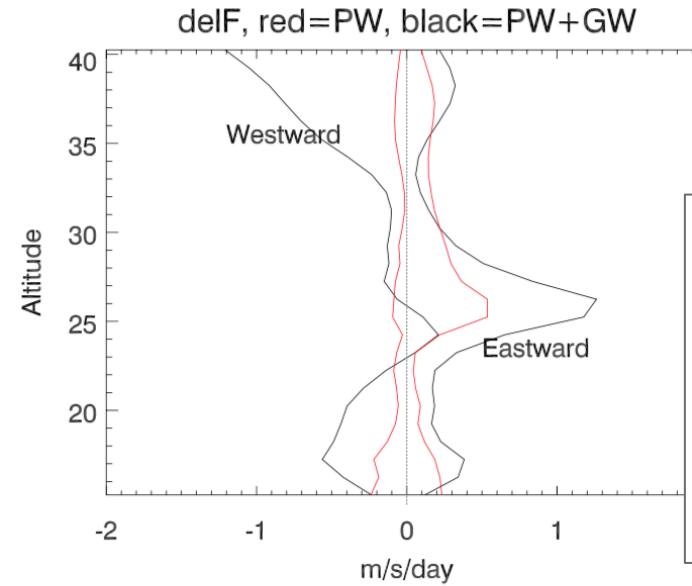
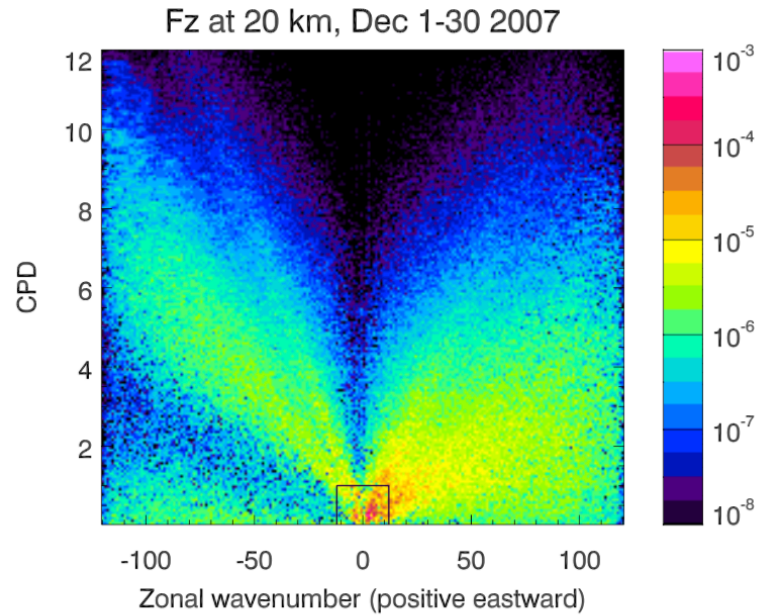


Difference between the black and red curves show the gravity wave forcing

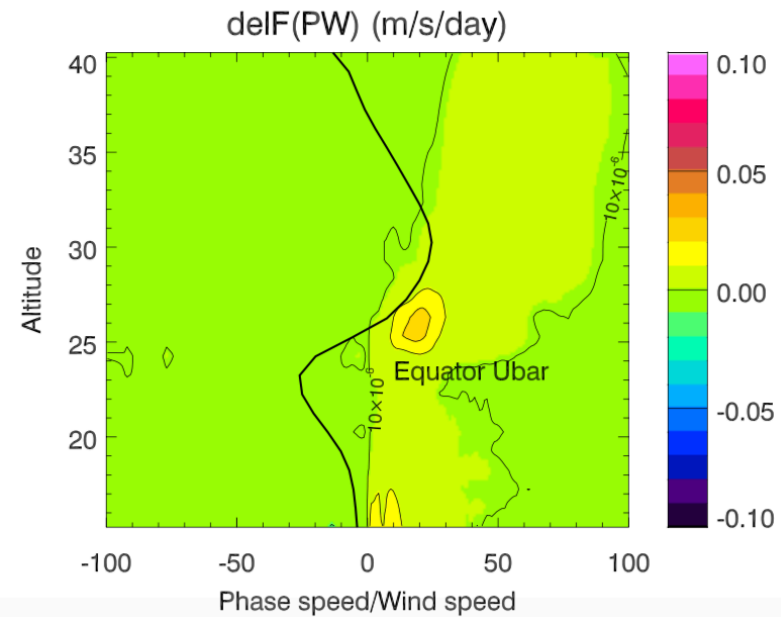
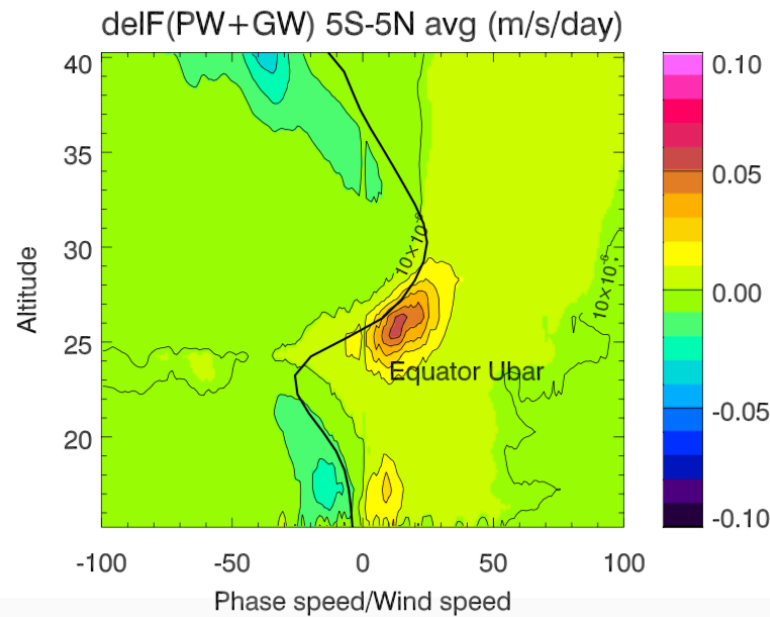


# Model Results: Force on the Flow

December 2007



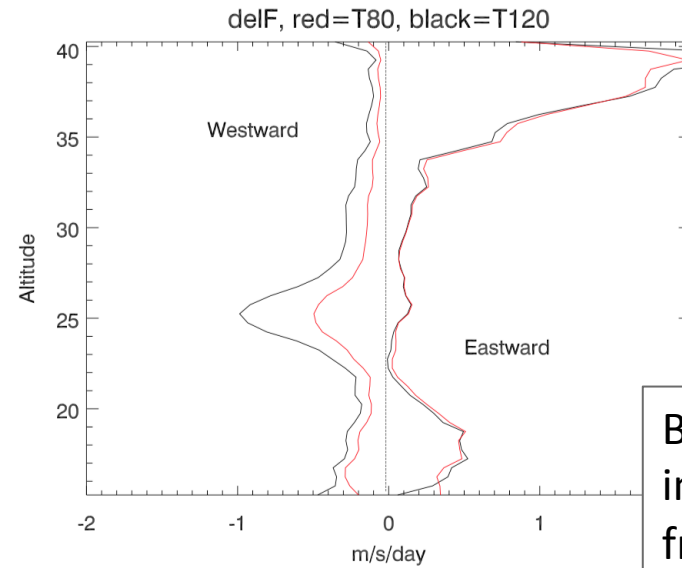
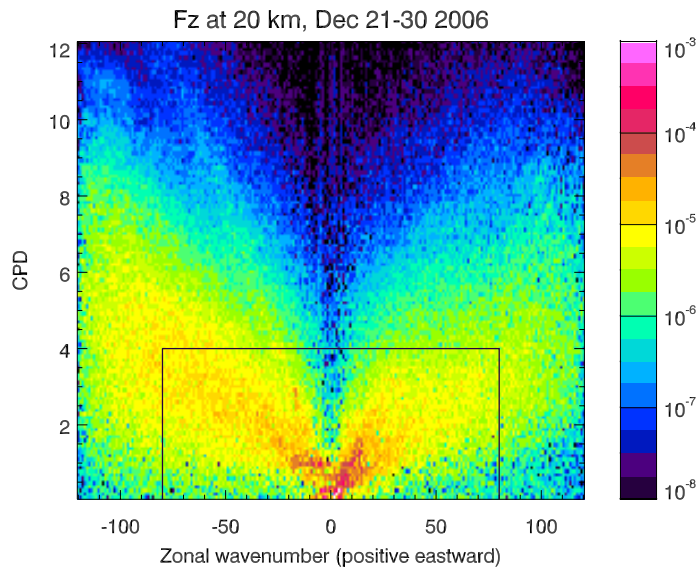
Difference between the black and red curves show the gravity wave forcing



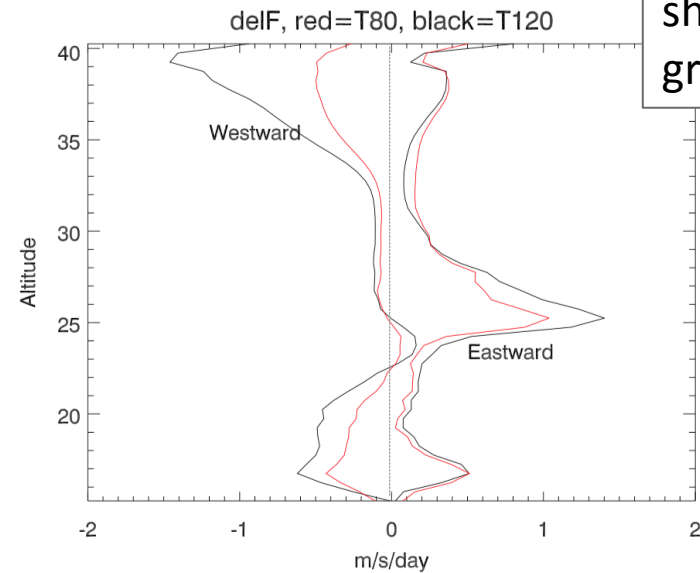
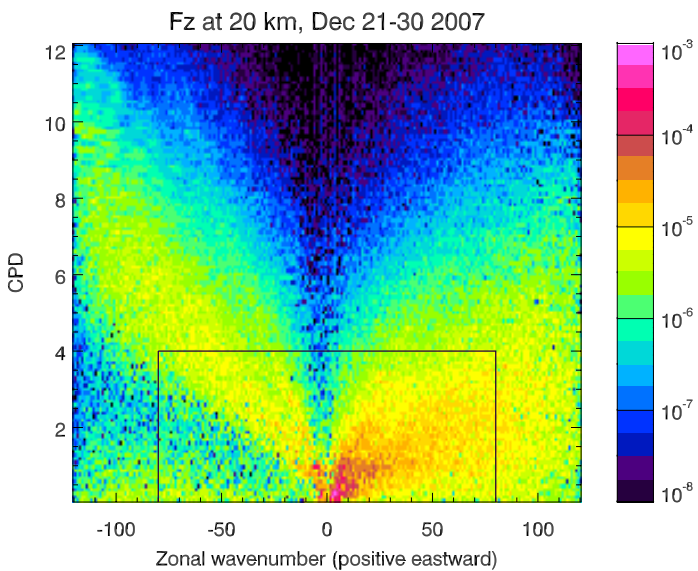


# Model Results: Force on the Flow

Dec  
2006



Dec  
2007

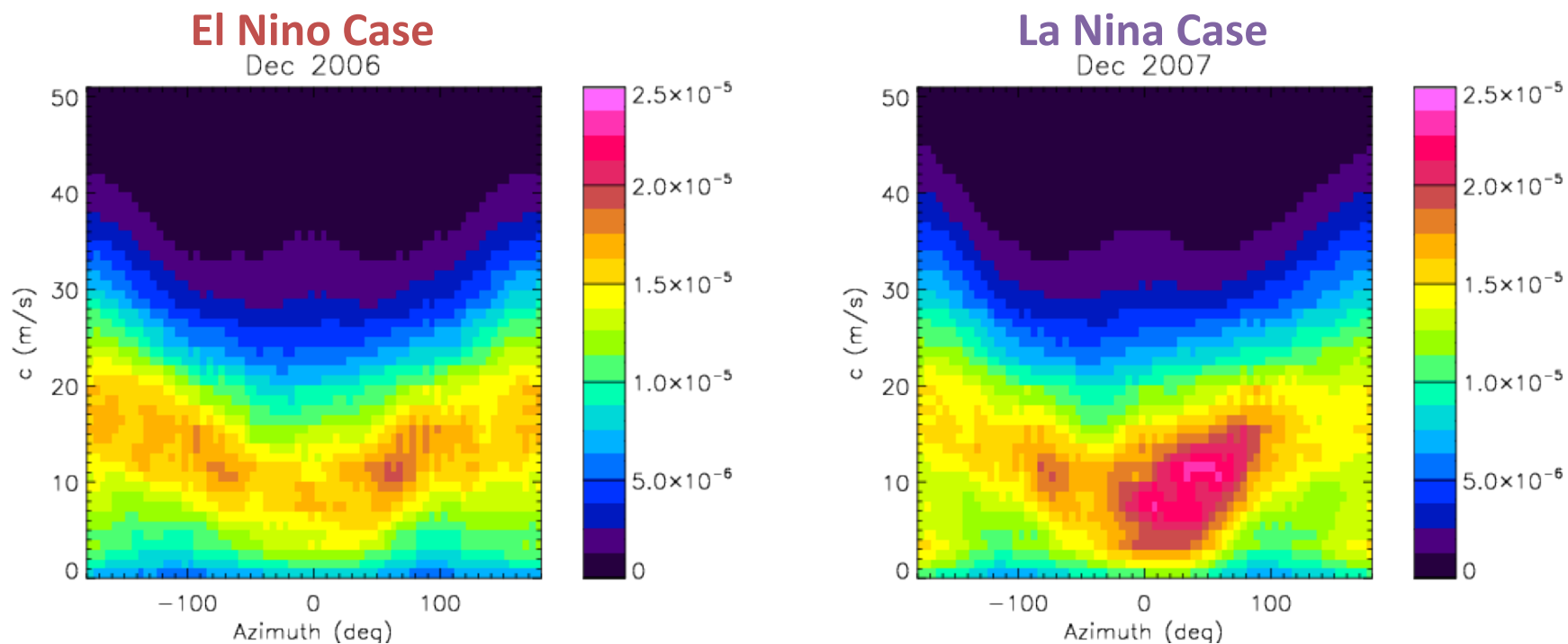


Black curves include high-frequency, short-scale gravity waves

# Zonal Mean Momentum Flux

Average of all longitudes, and altitudes 15-18 km

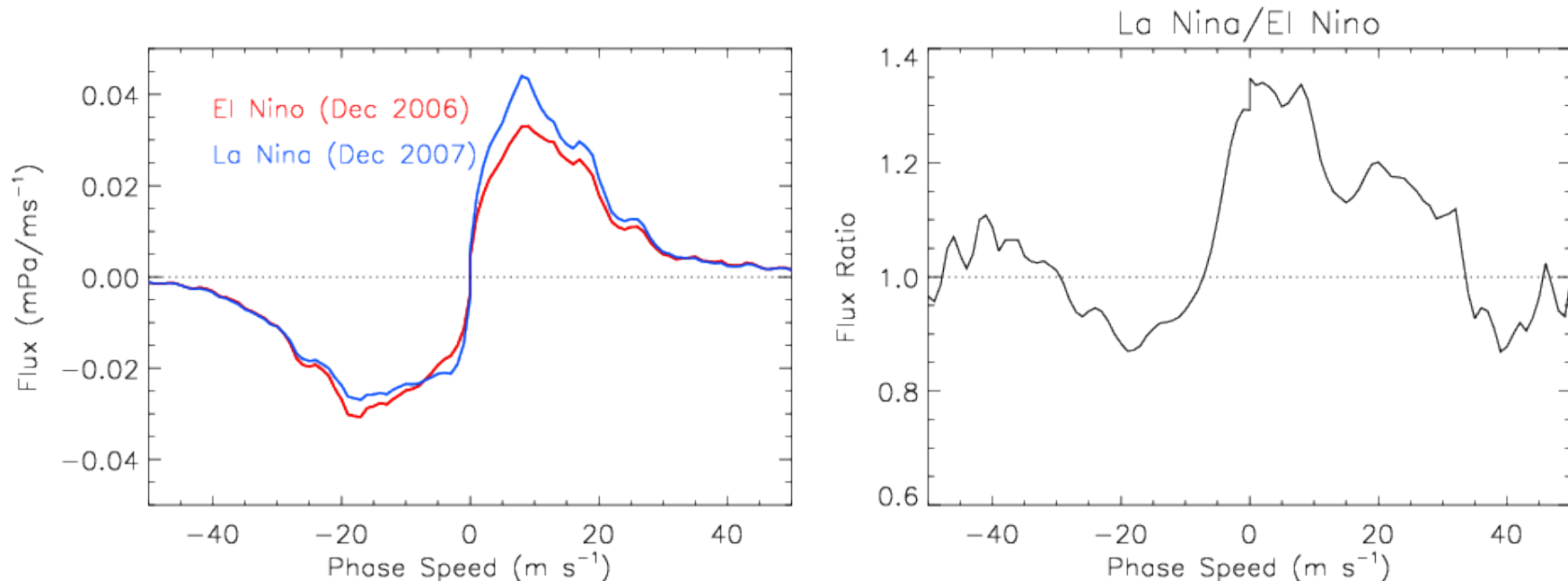
Wavelengths < 3000 km, Periods < 1 day



- **La Nina Flux** > **El Nino Flux**
- No obvious differences in spectral widths
- No confirmation of Geller et al [2016] hypothesis

# Zonal Flux Comparison

Average of all the longitudes, at  $z=16$  km

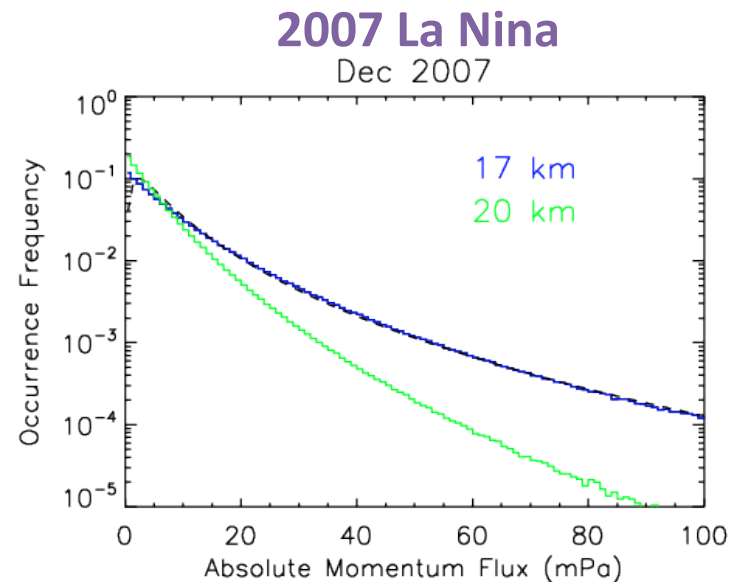
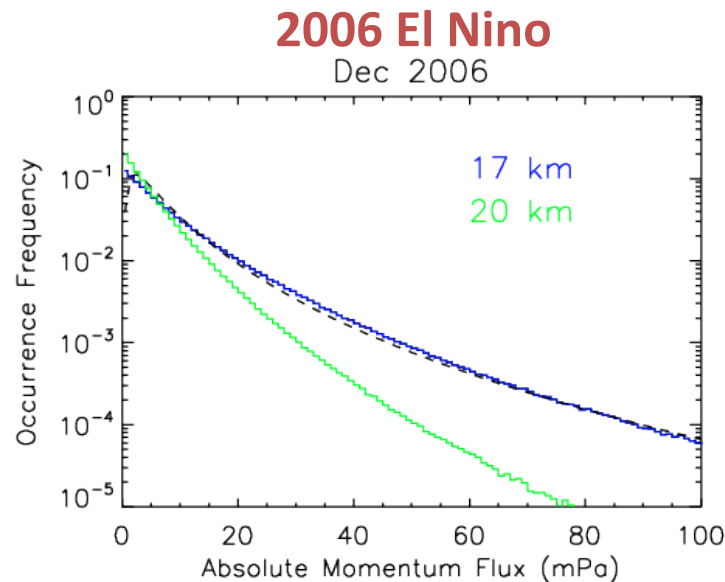
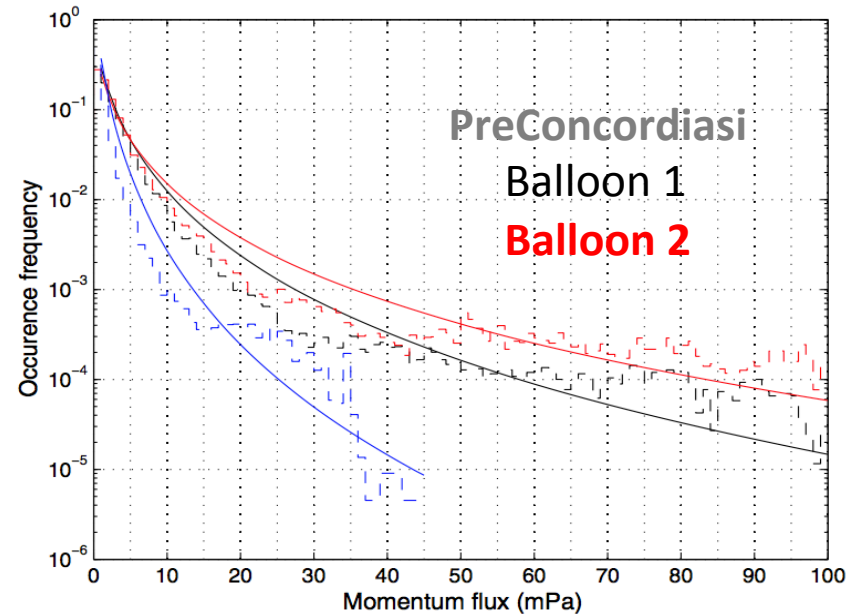


Notes on these results:

- Zonal mean fluxes are 8.5mPa (El Niño), 9.4mPa (La Niña)
  - El Niño year fluxes are 10-20% larger only for westward waves -5 to -30m/s and for eastward waves with phase speeds >35 m/s.
  - La Niña fluxes are 15-30% larger for eastward phase speeds < 30 m/s and 10% larger for westward waves < -40m/s.
- Overall, this limited sample does not support the Geller et al. [2016] hypothesis.

# Momentum Flux Distributions

- Long duration balloon observations in the tropics (red and black) show log-normal distributions →
- Model fluxes display same lognormal shape, although fewer large values near the balloon altitude (20km).
- **2007 La Nina** > **2006 El Nino**



# Conclusions

- **Combined HIRDLS + COSMIC give 3D momentum flux corrections ~ 5x in the tropics.** Sampling pattern of HIRDLS combined with wave propagation directions means traditional 2D methods have large errors in tropics.
- Observationally constrained model study comparing Dec 2006 (El Nino) to Dec 2007 (La Nina) conditions does not confirm previously hypothesized differences in the gravity wave spectrum.
- **Gravity waves in climate models are too uniform:** Missing large amplitude waves that will break in the lower stratosphere affecting QBO wind shears including lower levels most important for weather and climate effects.